

**TOWN OF KERNERSVILLE**  
**FIRE STATION #42 – NC HWY 66**  
**CONTRACT PROPOSAL**



**PROJECT NUMBER: 23-0333.002-RB-1**

**DESCRIPTION: INSTALLATION OF AN EMERGENCY VEHICLE FLASHER FOR FIRE STATION #42**

**BID OPENING: MARCH 26<sup>TH</sup>, 2026**

**NOTICE:**

**ALL BIDDERS SHALL COMPLY WITH ALL APPLICABLE LAWS REGULATING THE PRACTICE OF GENERAL CONTRACTING AS CONTAINED IN CHAPTER 87 OF THE GENERAL STATUTES OF NORTH CAROLINA WHICH REQUIRES THE BIDDER TO BE LICENSED BY THE N.C. LICENSING BOARD FOR CONTRACTORS WHEN BIDDING ON ANY NON-FEDERAL AID PROJECT WHERE THE BID IS \$40,000 OR MORE, EXCEPT FOR CERTAIN SPECIALTY WORK AS DETERMINED BY THE LICENSING BOARD OR SBE PROJECT. BIDDERS SHALL ALSO COMPLY WITH ALL OTHER APPLICABLE LAWS REGULATING THE PRACTICES OF ELECTRICAL, PLUMBING, HEATING AND AIR CONDITIONING AND REFRIGERATION CONTRACTING AS CONTAINED IN CHAPTER 87 OF THE GENERAL STATUTES OF NORTH CAROLINA.**

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**NAME OF BIDDER**

**N.C. CONTRACTOR'S LICENSE NUMBER**

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**ADDRESS OF BIDDER**

**RETURN BIDS TO: TOWN OF KERNERSVILLE**  
**509 MICHAEL ST., KERNERSVILLE, NC 27284**  
**ATTN: CHRIS JENSEN – PUBLIC SERVICES DIRECTOR**

## INSTRUCTIONS TO BIDDERS

1. The bid sheet with the proposal shall be used and shall not be altered in any manner. **DO NOT SEPARATE THE BID SHEET FROM THE PROPOSAL!**
2. All entries on the bid sheet, including signatures, shall be written in ink.
3. The Bidder shall submit a unit price for every item on the bid form. The unit prices for the various contract items shall be written in figures.
4. An amount bid shall be entered on the bid sheet for every item. The amount bid for each item shall be determined by multiplying each unit bid by the quantity for that item and shall be written in figures in the "Amount Bid" column of the sheet.
5. The total amount bid shall be written in figures in the proper place on the bid sheet. The total amount shall be determined by adding the amounts bid for each item.
6. Changes in any entry shall be made by marking through the entry in ink and making the correct entry adjacent thereto in ink. A representative of the Bidder shall initial the change in ink.
7. The bid shall be properly executed. All bids shall show the following information:
  - a. Name of individual, firm, corporation, partnership, or joint venture submitting bid.
  - b. Name and signature of individual or representative submitting bid and position or title.
  - c. Name, signature, and position or title of witness.
  - d. Federal Identification Number (or Social Security Number of Individual).
  - e. Contractor's License Number (if Applicable).
8. Bids submitted by corporations shall bear the seal of the corporation.
9. The bid shall not contain any unauthorized additions, deletions, or conditional bids.
10. The bidder shall not add any provision reserving the right to accept or reject an award, or to enter into a contract pursuant to an award.
11. **THE PROPOSAL WITH THE BID SHEET STILL ATTACHED SHOULD BE PLACED IN A SEALED ENVELOPE AND SHALL HAVE BEEN DELIVERED AND RECEIVED BY:**

**TIME: 2:00 PM**

**DATE: MARCH 26<sup>TH</sup>, 2026**

12. **If delivered by hand, office hours of the Public Services Department are 7:00 – 11:00 AM and 12:00 – 4:00 PM.** If delivered by mail, the sealed envelope shall be placed in another sealed envelope and the outer envelope shall be addressed as follows:

**TOWN OF KERNERSVILLE  
509 MICHAEL ST., KERNERSVILLE, NC 27284  
ATTN: CHRIS JENSEN – PUBLIC SERVICES DIRECTOR**

## AWARD OF CONTRACT

**The award of the contract, if it be awarded, will be made to the lowest responsive, responsible Bidder in accordance with Section 102 (excluding 102-2 and 102-11) of the NCDOT Standard Specifications for Roads and Structures 2024. The lowest responsive, responsible BIDDER will be notified that their bid has been accepted and that they have been awarded the contract. The Town of Kernersville reserves the right to reject any or all bids. No Bidder may withdraw their bid within 60 days after the date of the opening thereof.**

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## **ADVERTISEMENT FOR BIDS**

Sealed bids will be received until **2:00 PM on March 26<sup>th</sup>, 2026** by the Town of Kernersville for the installation of an emergency vehicle flasher for Fire Station #42.

The required Bid Documents may be mailed or hand delivered to the address listed below before **2:00 PM on March 26<sup>th</sup>, 2026**. Upon request, 2 copies of the original documents will need to be submitted to the address listed below by the end of the following business day.

Town of Kernersville  
509 Michael St., Kernersville, NC 27284  
Attn: Chris Jensen – Public Services Director

**Bidders may submit questions or requests for clarification regarding the Contract Documents. Questions shall be submitted no later than the close of business on March 19<sup>th</sup>, 2026. Responses to timely questions will be issued to all plan holders in the form of an Addendum by the close of business on March 20<sup>th</sup>, 2026. Questions may be submitted to any of the following individuals:**

**Chris Jensen, PE, CFM – [cjensen@toknc.com](mailto:cjensen@toknc.com)**  
**Brad Wall, PE – [brad.wall@summitde.com](mailto:brad.wall@summitde.com)**  
**Ed Sirgany, PE – [ed.sirgany@summitde.com](mailto:ed.sirgany@summitde.com)**  
**Doran Maltba, PWLF – [doran.maltba@summitde.com](mailto:doran.maltba@summitde.com)**  
**Randall Moore – [randall.moore@summitde.com](mailto:randall.moore@summitde.com)**

The scope of work includes: Installation of an Emergency Vehicle Flasher for Fire Station #42.

Contractors offering proposals on this project must be licensed to do the specified type of contracting in the State of North Carolina.

Complete plans, specifications and contract documents will be open for inspection at Summit Engineering and Design Services, 320 Executive Court, Hillsborough, NC 27278. Copies of the contract, specifications and plans may be obtained by contacting **Kaylee Fender**, with Summit Design and Engineering Services at [kaylee.fender@summitde.com](mailto:kaylee.fender@summitde.com). Hard copies of these contract documents shall require a \$50.00 non-refundable payment. Summit accepts major credit cards and cashier's checks. Electronic copies are available at no charge by contacting **Kaylee Fender**, with Summit Design and Engineering Services at [kaylee.fender@summitde.com](mailto:kaylee.fender@summitde.com).

**Minority and female – owned businesses are invited and encouraged to bid. The goal for participation by minority firms as subcontractors on this project have been set at 10% but is not required to submit a bid. Please see the appropriate affidavits included in the package.**

## **INFORMATION FOR BIDDERS**

1. **DEFINED TERMS.** Terms used in the Information for Bidders shall have the meanings assigned to them in the General Conditions and the Special Conditions. Additional terms are defined as follows:

**Owner:** Town of Kernersville  
509 Michael St., Kernersville, NC 27284  
Attention: Mr. Chris Jensen, Public Services Director  
Telephone: (336) 996-6916

**Engineer:** Summit Design & Engineering Services, Inc.  
320 Executive Court Hillsborough, NC 27278  
Attention: Edward Sirgany, PE  
Telephone: (919) 732-3883

2. **EXAMINATION OF CONTRACT DOCUMENTS AND SITE.** It is the responsibility of each Bidder, before submitting a Bid, to (a) thoroughly examine the Contract Documents, (b) visit the site to become familiar with local conditions that may affect cost, progress, performance or furnishing of the Work, (c) consider Federal, State and Local Laws and Regulations that may affect cost, progress, performance, or furnishing of the work, (d) study and carefully correlate Bidder's observations with the Contract Documents, and (e) notify Engineer of all conflicts, errors, or discrepancies discovered by Bidder in the Contract Documents.

3. **INTERPRETATIONS AND ADDENDA.** All questions about the meaning or intent of the Bidding Documents and the Contract Documents shall be submitted to Engineer in writing. Interpretations or clarifications considered necessary by Engineer in response to such questions will be issued by Addenda, mailed or delivered to all parties recorded by Engineer as having received the Bidding Documents. Questions received less than 10 days prior to the date for opening of Bids may not be answered. Only answers issued by Addenda will be binding. Oral and other interpretations or clarifications will be without legal effect. Bidders should contact the Engineer not less than 72 hours before bid opening to secure any Addenda which may affect bidding.

4. **BID SECURITY.** Each Bid shall be accompanied by Bid Security in the form of cash, certified check payable to the Owner, or Bid Bond payable to the Owner; and equal to five (5%) percent of the Bidder's maximum Bid price (determined by totaling the Base Bid and all Alternates). Bid security of the apparent successful Bidder will be retained until Owner awards a Contract to such Bidder and has executed Contract Documents with such Bidder. Cash, checks or bid bonds will be returned to all except the three (3) lowest bidders within three (3) days after the opening of bids.

5. **WITHDRAWAL OF BIDS.** Bids may be modified or withdrawn by an appropriate document duly executed (in the manner that a Bid must be executed) and delivered to the place where Bids are to be submitted at any time prior to the opening of Bids. Withdrawal of a bid, after bids are opened, will only be permitted under the provisions of North Carolina laws and regulations.

6. BIDS TO REMAIN SUBJECT TO ACCEPTANCE. All Bids will remain subject to acceptance for the number of days set forth in the Bid Documents, but Owner may, in its sole discretion, release any Bid and return the bid security prior to that date.

7. EVALUATION OF BIDS. Bidders shall submit a Bid on a unit price basis as set forth in the Bid Form. The total of all estimated prices will be determined as the sum of the products of the estimated quantity of each item and the unit price Bid for the item. The final quantities and Contract Price will be determined in accordance with the General Conditions. Discrepancies between the multiplication of units of Work and unit prices will be resolved in favor of the unit prices. Discrepancies between the indicated sum of any column of figures and the correct sum thereof will be resolved in favor of the correct sum.

8. SIGNING OF AGREEMENT. By submitting a Bid, the Contractor agrees to return signed Contract Documents with proper Bonds, Insurance Certificates, etc., within fourteen (14) calendar days of receipt. Should Contractor fail to return properly executed Contract Documents within the specified time, Owner may disqualify that Contractor and enter into contracts with the next highest bidder.

9. SALES AND USE TAX. Provisions for sales and use taxes are set forth in the General and Special Conditions. The Contractor will be required to submit statements on sales tax paid to the Owner as outlined in Division 1 “Applications for Payment”.

10. BONDS AND INSURANCE. General Conditions, as may be modified by the Special Conditions, sets forth Owner’s requirements as to performance and payment bonds and insurance. When the Successful Bidder delivers the Agreement (executed by the Successful Bidder) to the Owner, it shall be accompanied by required bonds and insurance documentation.

11. SAFETY. Bidders shall provide at time of bid opening to Owner the following documents as evidence of the safety record of the Contractor:

- a. OSHA 300A Log for the Bidder’s Firm for the last 5 years.
- b. Current Worker’s Compensation Rating for Bidder’s firm. Review of these records shall be a part of evaluating the bidder’s qualifications and a poor safety record may be cause for rejection of bid.

12. QUANTITIES AND UNIT PRICES. Owner reserves the right to delete any bid item or items in the bid prior to awarding the contract, except such deletions shall not reduce the total bid by more than 25% unless mutually agreed upon. The Owner/Engineer reserves the right to make at any time after award of the contract such changes in quantities as are necessary to complete the project. Such changes in quantities shall not invalidate the contract nor release the surety, and the Contractor agrees to perform the work as changed at the unit prices agreed to in the Proposal. The non-utilization or partial utilization of any bid item shall not serve as a claim for any contract or unit price adjustment as the Contractor shall be paid the unit price bid for the number of units actually installed.

13. SUBSTITUTE OR “OR EQUAL” ITEMS. The procedure for submission of any application for review of substitute or “or-equal” items by Contractor and consideration by Engineer is set forth in the General Conditions and as may be modified by the Special Conditions. The Contract, if awarded, will be based on materials and equipment indicated on the Drawings or specified in the Specifications. Application for review of substitute or “or equal” materials or equipment will not be considered by Engineer unless received by Engineer within 10 calendar days prior to the bid opening. Judgment concerning substitutes and “or-equal” reviews will be determined by the sole discretion of the Engineer.

**BID DOCUMENT**

Town of Kernersville – Fire Station #42, NC HWY 66

Proposal of \_\_\_\_\_  
(hereinafter called “BIDDER”) organized and existing under the Laws of the State of North Carolina,  
doing business as \_\_\_\_\_  
\_\_\_\_\_, to  
the Town of Kernersville – Fire Station #42, NC HWY 66 (hereinafter called “OWNER”).

*\*Insert “a corporation,” “a partnership,” or “an individual” as applicable.*

In compliance with your Advertisement for BIDS, BIDDER hereby proposes to perform all WORK, in strict accordance with the CONTRACT DOCUMENTS, within the time set forth therein, and at the prices listed below.

By permission of this BID, each BIDDER certifies, and in the case of a joint BID, each party thereto certifies as to his own organization, that this BID has been arrived at independently, without consultation, communication, or agreement as to any matter relating to this BID with any other BIDDER or with competitor.

BIDDER hereby agrees to commence WORK under this contract on or before a date to be specified in the NOTICE TO PROCEED and to fully complete the PROJECT within the specified consecutive calendar days thereafter, as provided in the General Conditions and the Bid Schedule. BIDDER further agrees to pay as liquidated damages the amount stated in the Bid Schedule for each consecutive calendar day work is done beyond the completion date, as provided in the General Conditions.

BIDDER acknowledges that they have:

- a. Visited and thoroughly examined the Site and studied all the drawings and technical data
- b. NOT solicited nor refrained any entity from bidding, and have NOT engaged in corrupt, fraudulent, collusive, or coercive practices in competing for the Contract

BIDDER agrees that should they fail to accept a Contract, if awarded to them, the cash, certified check, or Bid Bond shall become property of the Owner as ascertained as Liquidating Damages for such default.

BIDDER acknowledges receipt of the following ADDENDUM:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

BIDDER agrees to perform all the work described in the CONTRACT DOCUMENTS for the following unit prices or lump sum: \_\_\_\_\_

NOTE: BIDS shall include sales tax and all other applicable taxes and fees. Contracts will be awarded on the basis of low bid.

If Bidder is:

An Individual

Name(type or print): \_\_\_\_\_

By: \_\_\_\_\_(SEAL)

Doing business as: \_\_\_\_\_

Business address: \_\_\_\_\_

Phone: \_\_\_\_\_ Fax: \_\_\_\_\_

A Partnership

Partnership Name: \_\_\_\_\_(SEAL)

By: \_\_\_\_\_  
(Signature of general Partner – attach evidence of authority to sign)

Name(type or print): \_\_\_\_\_

Business Address: \_\_\_\_\_

Phone: \_\_\_\_\_ Fax: \_\_\_\_\_

A Corporation

Corporation Name: \_\_\_\_\_

State of Incorporation: \_\_\_\_\_

Type \_\_\_\_\_  
(General Business, Professional, Service, LLC)

By: \_\_\_\_\_  
(Signature – attach evidence of authority to sign)

Name(type or print): \_\_\_\_\_

Title: \_\_\_\_\_

Attest: \_\_\_\_\_ (CORPORATE SEAL)

Business Address:

\_\_\_\_\_

Phone: \_\_\_\_\_ Fax: \_\_\_\_\_

Date of Qualification to do business: \_\_\_\_\_

A Joint Venture

Joint Venture Name:

By: \_\_\_\_\_(SEAL)  
(Signature of joint venture partner – attach evidence of authority to sign)

Name(type or print): \_\_\_\_\_

Doing business as: \_\_\_\_\_

Business address: \_\_\_\_\_

Phone: \_\_\_\_\_ Fax: \_\_\_\_\_

## TOWN OF KERNERSVILLE BID FORM

ITEM	SPEC SECTION	DESCRIPTION	QUANTITY	UNIT	UNIT PRICE	AMOUNT BID
1	800	Mobilization (Max = 5% of Bid)	1.00	LS		
2	801	Construction Surveying	1.00	LS		
3	862	Steel Beam Guardrail	60.00	LF		
4	862	Guardrail Anchor Units, Type GREU TL-3	2.00	EA		
5	1205	Thermoplastic Pavement Marking Lines – 24", 90 mils	72.00	LF		
6	1705	Vehicle Signal Head (12", 3 Section)	5.00	EA		
7	1705	Signal Cable	845.00	LF		
8	1715	Unpaved Trenching (1, 2")	157.00	LF		
9	1715	Directional Drill (2, 2")	100.00	LF		
10	1716	Junction Box (Standard)	5.00	EA		
11	1743	Type II Pedestal w/ Foundation	1.00	EA		
12	1745	Sign for Signals	8.00	EA		
13	1750	Signal Cabinet Foundation	1.00	EA		
14	1751	Controller w/ Cabinet (2070LX, 332)	1.00	EA		
15	1753	Cabinet Base Extender	1.00	EA		
16	PSP	Temporary Traffic Control	1.00	LS		
17	PSP	Soil Test	2.00	EA		
18	PSP	Drilled Pier Foundation	8.00	CY		
19	PSP	Mast Arm w/ Metal Pole Design	2.00	EA		
20	PSP	Metal Pole w/ Single Mast Arm	2.00	EA		

21	PSP	Protective Coating for Single Mast Arm Pole (Semi-Gloss Black)	2.00	EA		
22	PSP	Protective Coating for Type II Signal Pedestal (Semi-Gloss Black)	1.00	EA		
23	PSP	Optical Preemption	1.00	LS		
24	PSP	Fire Station Preempt Button	1.00	LS		

***TOTAL BID FOR PROJECT:***

CONTRACTOR \_\_\_\_\_

ADDRESS \_\_\_\_\_

Federal Identification Number \_\_\_\_\_ Contractors License Number \_\_\_\_\_

Authorized Agent \_\_\_\_\_ Title \_\_\_\_\_

Signature \_\_\_\_\_ Date \_\_\_\_\_

Witness \_\_\_\_\_ Title \_\_\_\_\_

Signature \_\_\_\_\_ Date \_\_\_\_\_

**THIS SECTION TO BE COMPLETED BY THE OWNER**

*This bid has been reviewed in accordance with Article 103-1 of the NCDOT Standard Specifications for Roads and Structures 2024.*

*Reviewed by* \_\_\_\_\_ *(date)* \_\_\_\_\_

CONTRACTOR \_\_\_\_\_

ADDRESS \_\_\_\_\_

Federal Identification Number \_\_\_\_\_ Contractors License Number \_\_\_\_\_

Authorized Agent \_\_\_\_\_ Title \_\_\_\_\_

Signature \_\_\_\_\_ Date \_\_\_\_\_

Witness \_\_\_\_\_ Title \_\_\_\_\_

Signature \_\_\_\_\_ Date \_\_\_\_\_

**THIS SECTION TO BE COMPLETED BY THE ENGINEER**

*This bid has been reviewed in accordance with the applicable guidelines.*

*Reviewed by* \_\_\_\_\_ *(Date)* \_\_\_\_\_

*Accepted by* \_\_\_\_\_ *(Date)* \_\_\_\_\_

Town of Kernersville

Attest: \_\_\_\_\_ *(Date)* \_\_\_\_\_

## LIST OF SUBCONTRACTORS

All Bidders shall provide the following information regarding all subcontractors.

Subcontractor	Address	Phone

**STATE OF NORTH CAROLINA  
TOWN OF KERNERSVILLE  
FIRE STATION #42 SIGNAL**

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**BID BOND**

Principal: \_\_\_\_\_  
Name of Principal Contractor

Surety: \_\_\_\_\_  
Name of Surety

Contract Number: \_\_\_\_\_ County: \_\_\_\_\_

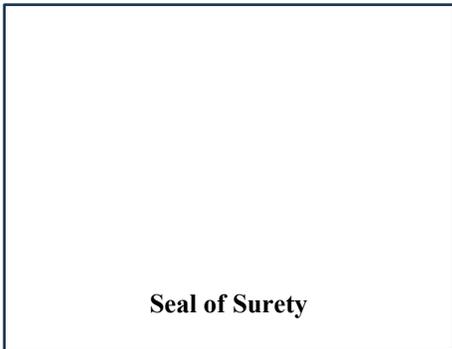
Date of Bid: \_\_\_\_\_

KNOW ALL MEN BY THESE PRESENTS, That we, the PRINCIPAL CONTRACTOR (hereafter, PRINCIPAL) and SURETY above named, are held and firmly bound unto the Local Government Agency in the full and just sum of five (5) percent of the total amount bid by the Principal for the project stated above, for the payment of which sum well and truly to be made, we bind ourselves, our heirs, executors, administrators, and successors, jointly and severally, firmly by these presents.

NOW, THEREFORE, in accordance with Article 102-10 of the *Standard Specifications*, the condition of this obligation is: the Principal shall not withdraw its bid within sixty (60) days after the opening of the bids, or within such other time period as may be provided in the proposal, and if the Local Government Agency shall award a contract to the Principal, the Principal shall, within fourteen (14) calendar days after written notice of award is received by him, provide bonds with good and sufficient surety, as required for the faithful performance of the contract and for the protection of all persons supplying labor, material, and equipment for the prosecution of the work. In the event the Principal requests permission to withdraw his bid due to mistake in accordance with the provisions of Article 103-3 of the *Standard Specifications*, the conditions and obligations of this Bid Bond shall remain in full force and effect until the Local Government Agency makes a final determination to either allow the bid to be withdrawn or to proceed with award of the contract. In the event a determination is made to award the contract, the Principal shall have fourteen (14) calendar days to comply with the requirements set forth above. In the event the Principal withdraws its bid after bids are opened except as provided in Article 103-3 of the *Standard Specifications*, or after award of the contract has been made fails to execute such additional documents as may be required and to provide the required bonds within the time period specified above, then the amount of the bid bond shall be immediately paid to the Local Government Agency as liquidated damages.

IN TESTIMONY WHEREOF, the Principal and Surety have caused these presents to be duly signed and sealed.

This the \_\_\_\_\_ day of \_\_\_\_\_, 20 \_\_\_\_\_



\_\_\_\_\_  
Print or type Surety Company Name                      NAIC #

By \_\_\_\_\_  
General Agent or Attorney-in-Fact Signature

\_\_\_\_\_  
Print or type Signer's Name

**BID BOND**

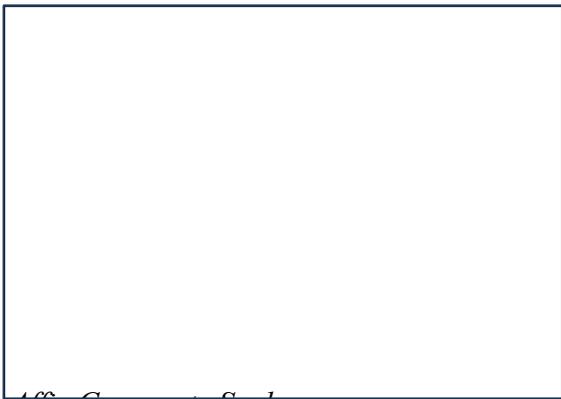
**CORPORATION**

SIGNATURE OF CONTRACTOR (Principal)

\_\_\_\_\_  
Full name of Corporation

\_\_\_\_\_  
Address as prequalified

By \_\_\_\_\_  
Signature of **President, Vice President, Assistant Vice President**  
*Select appropriate title*



*Affix Corporate Seal*

\_\_\_\_\_  
Print or type Signer's name

Attest \_\_\_\_\_  
Signature of **Secretary, Assistant Secretary**  
*Select appropriate title*

\_\_\_\_\_  
Print or type Signer's name

**BID BOND**

**LIMITED LIABILITY COMPANY**

SIGNATURE OF CONTRACTOR (Principal)

Name of Contractor

\_\_\_\_\_

Full name of Firm

\_\_\_\_\_

Address as prequalified

By:

\_\_\_\_\_

**Signature of Member, Manager, Authorized Agent**  
*Select appropriate title*

\_\_\_\_\_

Print or type Signer's name

**BID BOND**

**INDIVIDUAL DOING BUSINESS UNDER A FIRM NAME**

SIGNATURE OF CONTRACTOR (Principal)

Name of Contractor

\_\_\_\_\_  
Print or type Individual Name

Trading and doing business as

\_\_\_\_\_  
Full name of Firm

\_\_\_\_\_  
Address as prequalified

Signature of Contractor

\_\_\_\_\_  
Individually

\_\_\_\_\_  
Print or type Signer's name

\_\_\_\_\_  
Signature of Witness

\_\_\_\_\_  
Print or type Signer's name

**BID BOND**

**INDIVIDUAL DOING BUSINESS IN HIS OWN NAME**

SIGNATURE OF CONTRACTOR (Principal)

Name of Contractor

\_\_\_\_\_

Print or type Individual Name

\_\_\_\_\_

Address as prequalified

Signature of Contractor

\_\_\_\_\_

Individually

\_\_\_\_\_

Print or type Signer's name

\_\_\_\_\_

Signature of Witness

\_\_\_\_\_

Print or type Signer's name

**BID BOND**

**PARTNERSHIP**

SIGNATURE OF CONTRACTOR (Principal)

---

Full name of Partnership

---

Address as prequalified

By \_\_\_\_\_  
Signature of Partner

---

Print or type Signer's name

---

Signature of Witness

---

Print or type Signer's name



**PERFORMANCE BOND**

DATE OF EXECUTION: \_\_\_\_\_

NAME OF PRINCIPAL: \_\_\_\_\_  
(CONTRACTOR) \_\_\_\_\_

NAME OF SURETY: \_\_\_\_\_  
\_\_\_\_\_

NAME OF CONTRACTING BODY: Town of Kernersville – Fire Station #42, NC HWY 66

AMOUNT OF BOND: \_\_\_\_\_

CONTRACT NUMBER \_\_\_\_\_

KNOW ALL MEN BY THESE PRESENTS, THAT WE, the PRINCIPAL and SURETY above named, are held and firmly bound unto the above named CONTRACTING BODY, hereinafter the Contracting body, in the penal sum of the amount stated above for the payment of which sum well and truly to be made, we bind ourselves, our heirs, executors, administrators, successors and assigns, jointly and severally, firmly by these presents.

THE CONDITION OF THIS OBLIGATION IS SUCH, that whereas the PRINCIPAL entered into a certain contract with the Contracting Body, numbered as shown above and hereto attached:

NOW THEREFORE, if the PRINCIPAL shall well and truly perform and fulfill all the undertakings, covenants, terms, conditions, and agreements of said contract during the original term of said contract and any extensions thereof that may be granted by the Contracting Body, with or without notice to the Surety, and during the life of any guaranty required under the contract, and shall also as well and truly perform and fulfill all the undertakings, covenants, terms, conditions and agreements as of any and all duly authorized modifications of said contract that may hereafter be made, notice of which modifications to the Surety being hereby waived, then, this obligation to be void; otherwise to remain in full force and virtue. Should the PRINCIPAL fail to complete the undertaking as provided in the contract, then the Surety shall promptly pay the amount necessary to complete the work as provided in the contract, up to the amount of the Bond specified herein.

IN WITNESS WHEREOF, the above-bounden parties have executed this instrument under their several seals on the date indicated above, the name and corporate seal of each corporate party being hereto affixed and these presents duly signed by its undersigned representative, pursuant to authority of its governing body.

\_\_\_\_\_  
Principal (SEAL)

\_\_\_\_\_  
Surety (SEAL)

\_\_\_\_\_  
Name and Title  
Name and Title

\_\_\_\_\_  
Name and Title

Executed in \_\_\_\_\_ counterparts.

\_\_\_\_\_  
Witness

\_\_\_\_\_  
Name of Principal (Contractor)  
By \_\_\_\_\_  
(Print)  
\_\_\_\_\_  
(Signature)

Title: \_\_\_\_\_

(Owner, Partner, or Corp.  
Pres. or Vice President)

Attest: (Corporation)

By \_\_\_\_\_  
(Print)

\_\_\_\_\_  
(Signature)

Title: \_\_\_\_\_  
(Corp. Sec. Or Assist. Sec.)

\_\_\_\_\_  
(Corporate Seal)

\_\_\_\_\_  
(Surety Company)

Witness:

By: \_\_\_\_\_  
(Print)

By: \_\_\_\_\_  
(Print)

\_\_\_\_\_  
(Signature)

\_\_\_\_\_  
(Signature)

Title: \_\_\_\_\_  
(Attorney-in-Fact)

Countersigned:

\_\_\_\_\_

\_\_\_\_\_  
N.C. Licensed Resident, Agent

\_\_\_\_\_  
(Surety Corporate Seal)

\_\_\_\_\_  
Name and Address - Surety Agency

\_\_\_\_\_  
Surety Company Name and N.C.  
Regional or Branch Office Address

**PAYMENT BOND**

DATE OF EXECUTION: \_\_\_\_\_

NAME OF PRINCIPAL: \_\_\_\_\_

(CONTRACTOR): \_\_\_\_\_

NAME OF SURETY: \_\_\_\_\_  
\_\_\_\_\_

NAME OF CONTRACTING BODY: Town of Kernersville – Fire Station #42, NC HWY 66

AMOUNT OF BOND: \_\_\_\_\_

CONTRACT NUMBER: \_\_\_\_\_

KNOW ALL MEN BY THESE PRESENTS, THAT WE, the PRINCIPAL and SURETY above named, are held and firmly bound unto the above named CONTRACTING BODY, hereinafter the Contracting body, in the penal sum of the amount stated above for the payment of which sum well and truly to be made, we bind ourselves, our heirs, executors, administrators, successors and assigns, jointly and severally, firmly by these presents.

THE CONDITION OF THIS OBLIGATION IS SUCH, that whereas the PRINCIPAL entered into a certain contract with the Contracting Body, numbered as shown above and hereto attached:

NOW THEREFORE, if the PRINCIPAL shall promptly make payment to all persons supplying labor and material in the prosecution of the work provided for in said contract, and any and all duly authorized modifications of said contract that may hereafter be made, notice of which modifications to the Surety being hereby waived, then this obligation to be void; otherwise to remain in full force and virtue. Should the PRINCIPAL fail to timely pay all persons providing labor and/or materials for the work, then the Surety shall promptly pay all sums due and owing.

IN WITNESS WHEREOF, the above-bounden parties have executed this instrument under their several seals on the date indicated above, the name and corporate seal of each corporate party being hereto affixed and these presents duly signed by its undersigned representative, pursuant to authority of its governing body.

\_\_\_\_\_  
Principal (SEAL)

\_\_\_\_\_  
Surety (SEAL)

\_\_\_\_\_  
Name and Title

\_\_\_\_\_  
Name and Title

Executed in \_\_\_\_\_ counterparts.

\_\_\_\_\_  
Name of Principal (Contractor)

\_\_\_\_\_  
Witness

By \_\_\_\_\_  
(Print)

\_\_\_\_\_  
(Signature)

Title: \_\_\_\_\_  
(Owner, Partner, or Corp.  
Pres. or Vice President)

Attest: (Corporation)

By \_\_\_\_\_  
(Print)

\_\_\_\_\_  
(Signature)

Title: \_\_\_\_\_  
(Corp. Sec. Or Assist. Sec.)

\_\_\_\_\_  
(Corporate Seal)

\_\_\_\_\_  
(Surety Company)

Witness:

By: \_\_\_\_\_  
(Print)

By \_\_\_\_\_  
(Print)  
\_\_\_\_\_  
(Signature)

\_\_\_\_\_  
(Signature)

Title: \_\_\_\_\_  
(Attorney-in-Fact)

Countersigned:

\_\_\_\_\_

\_\_\_\_\_  
N.C. Licensed Resident, Agent

\_\_\_\_\_  
(Surety Corporate Seal)

\_\_\_\_\_  
Name and Address - Surety Agency

\_\_\_\_\_  
Surety Company Name and N.C.  
Regional or Branch Office Address

## CONSTRUCTION CONTRACT AGREEMENT

THIS AGREEMENT is entered into this the \_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_, by and between the **Town of Kernersville** whose address is 509 Michael St., Kernersville, NC 27284 (“Owner”) and \_\_\_\_\_, a North Carolina Corporation ("Contractor"), whose address is \_\_\_\_\_ and provides as follows:

### W I T N E S E T H:

WHEREAS, the Owner has identified Contractor as capable of doing the work described herein below;

WHEREAS, the parties wish to enter into a written agreement memorializing certain terms of the agreement.

NOW, THEREFORE, in consideration of the sum set forth herein below, the parties agree as follows:

1. The Owner hereby contracts with Contractor to do the following work for the Project described below (the “Work”):

See Contract Proposal,

Said Work is to be performed at the following location(s):

See Contract Proposal.

2. Contractor shall complete the Work in a good and workmanlike manner in accordance with general industry standards. Contractor shall complete the Work in accordance with the Contract Time and Intermediate Contract Time provisions established in the Contract Proposal. In the event that the Work is not completed in accordance with the Contract Time and Intermediate Contract Time, the Contractor shall pay liquidated damages as prescribed in the provisions, which sum shall not be considered a penalty but as a fair and reasonable estimate of the amount of damages incurred by the Owner if the Work is not completed by the Completion Date. The parties recognize and agree that the actual amount of damages would be difficult or impossible to ascertain.

3. The Owner shall pay the Contractor pursuant to the Total Estimate set out in the Proposal, which total cost will not exceed \$ \_\_\_\_\_ for the Work; provided, however, that if conditions are encountered that require additional materials above the allowances noted in the Proposal, those materials shall be billed at the prices set out in the Proposal. Said amounts shall be payable as follows: within 30 days of receiving an invoice from Contractor requesting payment following completion of the Work (unless any amount is in dispute). All invoices shall specify the amount paid for labor, materials, and any applicable taxes including, but not limited to, sales taxes. Any sales taxes shall specify the entity (state or local) to whom taxes are paid and the amount of taxes paid to said entity. If any amount owed under the terms hereof is disputed, the Owner shall pay Contractor the amount not in dispute and shall retain the disputed amount until such time as the dispute is settled or a judgment is entered in accordance with applicable law. No payments shall be made to contractor until IRS form W-9 and other necessary forms required by applicable law have been completed.

4. The Work contemplated by this Agreement shall be performed as set out in the attached proposal. The Owner may cancel this contract at any time upon written notice. The Owner shall pay the Contractor in accordance with Paragraph 3 through the date of cancellation.

5. The Contractor shall purchase and maintain during the life of this Agreement, with an insurance company acceptable to the Owner, authorized to do business in the State of North Carolina, the following insurance:

AUTOMOBILE LIABILITY - Bodily injury and property damage liability insurance covering all owned, non-owned, and hired automobiles with a combined single limit each accident of \$1,000,000.00. The automobile liability insurance coverage amounts may be satisfied with a combination of primary and excess/umbrella coverage.

COMMERCIAL GENERAL LIABILITY - Bodily injury and property damage liability shall protect the contractor, and any subcontractor performing work under this contract, from claims of bodily injury or property damage which arise from operation of this contract, whether such operations are performed by contractor, any subcontractor, or anyone directly or indirectly employed by either. The amounts of such insurance shall not be less than \$1,000,000 bodily injury each occurrence/\$2,000,000 aggregate and \$1,000,000 property damage each occurrence/\$2,000,000 aggregate. This insurance shall include coverage for products/completed operations, personal and advertising injury liability and contractual liability in an amount not less than \$1,000,000 each occurrence/\$2,000,000 aggregate. The liability insurance coverage amounts may be satisfied with a combination of primary and excess/umbrella coverage.

PROFESSIONAL LIABILITY/ POLLUTION COVERAGE - The Contractor shall maintain Professional Liability Insurance, including coverage for pollution liability arising from excavation, trenching, and other subsurface or earth-disturbing activities performed in connection with the project. Coverage shall be written on a claims-made basis with limits of not less than \$1,000,000 per claim and \$1,000,000 aggregate. This insurance shall cover damages arising from errors, omissions, or negligent acts, including pollution conditions caused by or resulting from the Contractor's operations.

WORKERS' COMPENSATION - Meeting the statutory requirements of the State of North Carolina, even if not required by law to maintain such insurance. Said Workers' Compensation insurance shall have at least the following limits: Employers Liability - \$100,000 per accident limit, \$500,000 disease per policy limit, \$100,000 disease each employee limit.

Original certificates of such insurance will be furnished and shall contain the provision that the Owner will be given thirty (30) days written notice of any intent to amend or terminate by either the Contractor or the insuring company. The Owner shall be listed as Additional Insured.

6. Contractor agrees to protect, defend, indemnify and hold the Owner, its employees, agents and elected and appointed officials harmless from any and all liability arising out of or in any way connected with the activities of the Contractor, Contractor's employees, agents, sub-contractors and anyone else working for or on behalf of Contractor arising out of or from the Work.

7. Any notice or other communication required or permitted under this Agreement shall be in writing and shall be deemed given as of (a) the date it is delivered by hand to the parties listed below; (b) the date three days following the date it is deposited in the mail, postage prepaid, return receipt requested, addressed to the parties listed below; or (c) the date three days following the date it is sent, shipping prepaid, return receipt requested, by a national courier service, addressed to the parties listed below:

**OWNER:**

Town of Kernersville  
509 Michael Street  
Kernersville, NC 27284

**CONTRACTOR:**

8. Neither party may assign, delegate or otherwise transfer any of its rights or obligations under this Agreement without the prior written consent of the other party.

9. Except as herein specifically provided otherwise, this Agreement shall inure to the benefit of and be binding upon the parties hereto and their respective successors. It is expressly understood and agreed that the enforcement of the terms and conditions of this Agreement, and all rights of action relating to such enforcement, shall be strictly reserved to Contractor and the Owner. Nothing contained in this document shall give or allow any claim or right of action whatsoever by any other third person. It is the express intention of Contractor and the Owner that any such person or entity, other than Contractor and the Owner, receiving services or benefits under this Agreement shall be deemed an incidental beneficiary only.

10. This Agreement and the following constitutes the entire agreement of the parties with respect to the subject matter hereof and are occasionally referred to herein as the "Contract Documents": A. Contract Proposal, B. Project Special Provisions, and C. Standard General Conditions of the Construction Contract. This Agreement shall supersede, terminate and replace any prior agreements for these services entered into by the parties. This Agreement merges all prior discussions among the parties and no party shall be bound by conditions, definitions, warranties, understandings, or representations concerning such subject matter except as provided in this Agreement or as may be specified later in writing and signed by properly authorized representatives of the parties.

11. The failure of a party in any instance to insist upon the strict performance of the terms of this Agreement shall not be construed to be a waiver or relinquishment of any of the terms of this Agreement, either at the time of the party's failure to insist upon strict performance or at any time in the future, and such term or terms shall continue in full force and effect.

12. The construction and performance of this Agreement shall be governed by and construed pursuant to the laws of the State of North Carolina. Venue for any legal actions initiated concerning this Agreement or arising in any way from and out of this Agreement shall be brought in the appropriate state court sitting in Forsyth County, North Carolina, having jurisdiction over said claim, or in the United States District Court, North Carolina Eastern District, as appropriate. The parties waive any right they may have to venue in any other jurisdiction.

13. Each clause of this Agreement is a distinct and severable clause; and if any clause is deemed illegal, void or unenforceable, the validity, legality and/or enforceability of the remaining clauses or portion of this Agreement shall not be affected thereby.

14. If any party shall be delayed or prevented from the performance of any act required by this Agreement by reason of acts of God; strikes, lockouts, labor troubles, inability to procure materials or other cause, without fault and beyond the reasonable control of the party obligated (financial inability excepted), performance

of such act shall be excused for the period of the delay, and the period for the performance of any such act shall be extended for a period equivalent to the period of such delay.

15. Neither party shall be considered the drafter of this Agreement. No provision shall be interpreted for or against either party because that party or that party's legal representative drafted such provision.

16. Any amendment to this Agreement shall be in writing and duly executed by appropriate representatives of each of the parties.

17. By signing below the parties hereto certify that they have read the entire contents of this Agreement; have individually been afforded sufficient opportunity to obtain independent legal advice prior to executing this Agreement; fully understand the provisions set forth in this Agreement and acknowledge that each term, condition and provision is fair and reasonable; and, that each party has received a signed copy of this Agreement.

18. That to facilitate execution, this document may be executed by handwritten signing or by electronically transmitted facsimile of such signing, either of which shall create a validly executed document, in as many counterparts as may be required.

[Remainder of Page Intentionally Left Blank; Signature Page Follows]

IN WITNESS WHEREOF, the parties have entered into this Agreement the day and year first above written.

Attest:

Town of Kernersville

\_\_\_\_\_

By \_\_\_\_\_

\_\_\_\_\_  
(Print Name and Title)

\_\_\_\_\_  
Curtis Swisher  
(Town Manager)

Contractor:

**This instrument has been preaudited in the manner required by the Local Government Budget and Fiscal Control Act.**

By \_\_\_\_\_

\_\_\_\_\_  
**(Signature of Finance Officer)**

\_\_\_\_\_  
(Print Name and Title)

## **GENERAL PROVISIONS**

This contract is for the following: Installation of an emergency vehicle flasher for Fire Station #42, NC HWY 66, Kernersville, NC 27284. All work and materials shall be in accordance with the provisions of the General Guidelines of this contract, the Project Special Provisions, the North Carolina Department of Transportation NCDOT 2024 Standard Specifications for Roads and Structures, and the North Carolina Department of Transportation Roadway 2024 Standard Drawings.

**The NCDOT District Engineer's Encroachment Approval Letter and Special Provisions are included in this contract. A copy of this letter and special provisions, encroachment agreement, and approved plans must be on the project site at all times.**

The Contractor shall keep himself fully informed of all Federal, State and local laws, ordinances, and regulations, and shall comply with the provisions of Section 107 of the NCDOT 2024 Standard Specifications.

## **CONTRACT TIME AND LIQUIDATED DAMAGES**

**The date of availability for this project will be the Date of the Notice to Proceed.** The Contractor may begin work prior to this date upon approval of the Engineer or his duly authorized representative. If such approval is given, and the Contractor begins work prior to the date of availability, Town of Kernersville will assume no responsibility for any delays caused prior to the date of availability by any reason whatsoever, and such delays, if any, will not constitute a valid reason for extending the completion date.

No work will be permitted, and no purchase order will be issued until all required bonds and prerequisite conditions and certifications have been satisfied.

**The completion date for this project is 240 days after a Notice to Proceed has been issued.** No extensions will be authorized except as authorized by Article 108-10 of the NCDOT 2024 Standard Specifications.

**Liquidated damages for this contract are Five Hundred Dollars (\$500.00) per calendar day.**

## **PROSECUTION OF WORK AND LIQUIDATED DAMAGES**

The provisions of section 108 of the 2024 NCDOT Standard Specifications shall apply with the following additions:

The Contractor will be required to pursue the work in a continuous and uninterrupted manner from the time he begins the work until completion and final acceptance of the

work. The Contractor will not be permitted to suspend his operations except for reasons beyond his control or except where the Engineer has authorized a suspension of the Contractor's operations in writing. In the event that the Contractor's operations are suspended in violation of the above provisions, the sum of **Five Hundred Dollars (\$500.00)** will be charged to the Contractor for each and every calendar day that such suspension takes place. The said amount is hereby agreed upon as liquidated damages due to extra engineering and maintenance costs and due to increased public hazard resulting from a suspension of the work. Liquidated damages chargeable due to suspension of the work will be additional to any liquidated damages that may become chargeable due to failure to complete the work on time.

### **NOTIFICATION OF WORK**

The Contractor should provide the Engineer a construction schedule at least two weeks prior to beginning the proposed work so that public notification can be placed.

### **DAY AND TIME RESTRICTIONS**

**Monday through Friday 7:00 a.m. to 9:00 a.m.**  
**Monday through Friday 4:00 p.m. to 6:00 p.m.**

The Contractor shall complete the required work of installing, maintaining, and removing the traffic control devices for lane closures and restoring traffic to the existing traffic pattern. The Contractor shall not close or narrow a lane of traffic during inclement weather nor during the following time restrictions:

### **HOLIDAY AND HOLIDAY WEEKEND LANE CLOSURE RESTRICTIONS**

1. For **unexpected occurrence** that creates unusually high traffic volumes, as directed by the Engineer.
2. For **New Year's Day**, between the hours of **6:00 a.m.** December 31st and **7:00 p.m.** January 2nd. If New Year's Day is on a Friday, Saturday, Sunday or Monday, then until **7:00 p.m.** the following Tuesday.
3. For **Easter**, between the hours of **6:00 a.m.** Thursday and **7:00 p.m.** Monday.
4. For **Memorial Day**, between the hours of **6:00 a.m.** Friday and **7:00 p.m.** Tuesday.
5. For **Independence Day**, between the hours of **6:00 a.m.** the day before Independence Day and **7:00 p.m.** the day after Independence Day.

If **Independence Day** is on a Friday, Saturday, Sunday or Monday, then between the hours of **6:00 a.m.** the Thursday before Independence Day and **7:00 p.m.** the Tuesday after Independence Day.

6. For **Labor Day**, between the hours of **6:00 a.m.** Friday and **7:00 p.m.** Tuesday.
7. For **Thanksgiving Day**, between the hours of **6:00 a.m.** Tuesday and **7:00 p.m.** Monday.
8. For **Christmas**, between the hours of **6:00 a.m.** the Friday before the week of Christmas Day and **7:00 p.m.** the following Tuesday after the week of Christmas Day.

Holidays and holiday weekends shall include New Year's Day, Easter, Memorial Day, Independence Day, Labor Day, Thanksgiving Day, and Christmas. The Contractor shall schedule his work so that lane closures will not be required during these periods, unless otherwise directed by the Engineer.

The time of availability for this intermediate contract work shall be the time the Contractor begins to install all traffic control devices for lane closures according to the time restrictions listed herein.

The completion time for this intermediate contract work shall be the time the Contractor is required to complete the removal of all traffic control devices for lane closures according to the time restrictions stated above and place traffic in the existing traffic pattern.

The liquidated damages are **Two Hundred Fifty Dollars (\$250.00)** per fifteen minutes.

**Nighttime and weekend operations will NOT be allowed unless written approval is received from the District Engineer.**

**If the work is within 1000 feet of a school location or designated bus route, the work shall be coordinated with the school start and end times to avoid traffic delays.**

### **USE OF SITE**

Contractor shall make it their best effort to confine construction equipment, temporary construction facilities, storage of materials and equipment, and the operations of the workers to the Site and not unreasonably encumber the Site. Contractor should also keep Site area free from accumulations of waste, materials, rubbish, and other debris and properly remove all surface encumbrances which may create a hazard. Warning systems, such as barricades or signals, should be employed for mobile equipment or surface hazards.

## **DISPUTE RESOLUTION**

Either the Owner or the Contractor may seek such remedies as are available to it by law for any disputes which they are unable to mutually resolve, and the venue for any legal action shall be in the court with jurisdiction over the matter in Forsyth County, NC.

## **TWELVE MONTH GUARANTEE**

The Contractor shall guarantee materials and workmanship against latent and patent defects arising from faulty materials, faulty workmanship or negligence for a period of twelve months following the date of final acceptance of the work for maintenance and shall replace such defective materials and workmanship without cost to the Town of Kernersville. The Contractor will not be responsible for damage due to faulty design, normal wear and tear, for negligence on the part of the Town of Kernersville, and/or for use in excess of the design.

This guaranteed provision shall be invoked only for major components of work in which the Contractor would be wholly responsible for under the terms of the contract. This provision will not be used as a mechanism to force the Contractor to return to the project to make repairs or perform additional work that the Town of Kernersville would normally compensate the Contractor for. In addition, routine maintenance activities (i.e. mowing grass, debris removal, ruts in earth shoulders,) are not parts of this guarantee.

Appropriate provisions of the payment and/or performance bonds shall cover this guarantee.

## **TRAFFIC CONTROL AND WORK ZONE SAFETY**

The Contractor shall maintain traffic during construction and provide, install, and maintain all traffic control devices in accordance with these project guidelines, the Project Special Provisions, North Carolina Department of Transportation 2024 Standard Specifications for Roads and Structures, and the current edition of the Manual of Uniform Traffic Control Devices (MUTCD). The Contractor shall utilize complete and proper traffic controls and traffic control devices during all operations. All traffic control and traffic control devices required for any operation shall be functional and in place prior to the commencement of that operation. Signs for temporary operations shall be removed during periods of inactivity. The Contractor is required to leave the project in a manner that will be safe to the traveling public and which will not impede motorists. Traffic movements through lane closures on roads with two way traffic shall be controlled by flaggers stationed at each end of the work zone. In situations where sight distance is limited, the Contractor shall provide additional means of controlling traffic, including, but not limited to, two-way radios, pilot vehicles, or additional flaggers. Flaggers shall be competent personnel, adequately trained in flagging procedures, and furnished with proper safety devices and equipment, including, but not limited to, safety vests and stop/slow paddles. All personnel when working in traffic areas or areas in close proximity to traffic shall wear an approved safety vest, or shirt or jacket which meets the color requirements of the Manual of Uniform Traffic Control Devices (MUTCD). The Contractor shall comply with all applicable Federal, State, and local laws, ordinances, and regulations governing safety, health, and sanitation, and shall provide all safeguards, safety devices, and protective equipment, and shall take any other needed actions, on his own responsibility that are reasonably necessary to protect the life and health of employees on the job and the safety of the public, and to protect property in connection with the performance of the work covered by the contract. The movement of equipment from one map to the other will be performed in such a way as not to effect traffic flow and in accordance with the MUTCD. The contractor will be responsible for the repair of any damages to the existing pavement resulting from these equipment moves. Failure to comply with any of the requirements for safety and traffic control of this contract shall result in suspension of work as provided in Article 108-7 of the Standard Specifications.

**Work requiring lane or shoulder closures shall not be performed on both sides of the road simultaneously within the same area.**

**Any work requiring equipment or personnel within 5 feet of the edge of any travel lane of an undivided facility and within 10 feet of the edge of any travel lane of a divided facility shall require a lane closure with appropriate tapers per current NCDOT Roadway Standard Drawings or Manual of Uniform Traffic Control Devices (MUTCD).**

**The mast arms for the flashers cannot be installed over traffic. This will require an outside lane closure and a shift to the center turn lane in accordance with the 2024 NCDOT Standard Drawings 1101.02 Sheets 2 and 3 of 19.**

## **FOUNDATIONS AND ANCHOR ROD ASSEMBLIES FOR METAL POLES**

Foundations for metal poles include foundations for signals, cameras, overhead and dynamic message signs (DMS) and high mount and light standards supported by metal poles or upright trusses. Foundations consist of footings with pedestals and drilled piers with or without grade beams or wings. Anchor rod assemblies consist of anchor rods (also called anchor bolts) with nuts and washers on the exposed ends of rods and nuts and a plate or washers on the other ends of rods embedded in the foundation.

Construct concrete foundations with the required resistances and dimensions and install anchor rod assemblies in accordance with the contract and accepted submittals. Construct drilled piers consisting of cast-in-place reinforced concrete cylindrical sections in excavated holes. Provide temporary casings or polymer slurry as needed to stabilize drilled pier excavations. Use a prequalified Drilled Pier Contractor to construct drilled piers for metal poles. Define “excavation” and “hole” as a drilled pier excavation and “pier” as a drilled pier.

This provision does not apply to foundations for signal pedestals; see Section 1743 of the *Standard Specifications* and Roadway Standard Drawing No. 1743.01.

### **Materials**

Refer to the *Standard Specifications*.

<b>Item</b>	<b>Section</b>
Conduit	1091-3
Grout, Type 2	1003
Polymer Slurry	411-2(B)(2)
Portland Cement Concrete	1000
Reinforcing Steel	1070
Rollers and Chairs	411-2(C)
Temporary Casings	411-2(A)

Provide Type 3 material certifications in accordance with Article 106-3 of the *Standard Specifications* for conduit, rollers, chairs and anchor rod assemblies. Store steel materials on blocking at least 12" above the ground and protect it at all times from damage; and when placing in the work make sure it is free from dirt, dust, loose mill scale, loose rust, paint, oil or other foreign materials. Load, transport, unload and store foundation and anchor rod assembly materials so materials are kept clean and free of damage. Bent, damaged or defective materials will be rejected.

Use conduit type in accordance with the contract. Use Class A concrete for footings and pedestals, Class Drilled Pier concrete for drilled piers and Class AA concrete for grade beams and wings

including portions of drilled piers above bottom of wings elevations. Corrugated temporary casings may be accepted at the discretion of the Engineer. A list of approved polymer slurry products is available from:

[connect.ncdot.gov/resources/Geological/Pages/Products.aspx](http://connect.ncdot.gov/resources/Geological/Pages/Products.aspx)

Provide anchor rod assemblies in accordance with the contract consisting of the following:

- (A) Straight anchor rods,
- (B) Heavy hex top and leveling nuts and flat washers on exposed ends of rods, and
- (C) Nuts and either flat plates or washers on the other ends of anchor rods embedded in foundations.

Do not use lock washers. Use steel anchor rods, nuts and washers that meet ASTM F1554 for Grade 55 rods and Grade A nuts. Use steel plates and washers embedded in concrete with a thickness of at least 1/4". Galvanize anchor rods and exposed nuts and washers in accordance with Article 1076-4 of the *Standard Specifications*. It is not necessary to galvanize nuts, plates and washers embedded in concrete.

### **Construction Methods**

Install the required size and number of conduits in foundations in accordance with the plans and accepted submittals. Construct top of piers, footings, pedestals, grade beams and wings flat, level and within 1" of elevations shown in the plans or approved by the Engineer. Provide an Ordinary Surface finish in accordance with Subarticle 825-6(B) of the *Standard Specifications* for portions of foundations exposed above finished grade. Do not remove anchor bolt templates or pedestal or grade beam forms or erect metal poles or upright trusses onto foundations until concrete attains a compressive strength of at least 3,000 psi.

- (A) **Drilled Piers**  
Before starting drilled pier construction, hold a predrill meeting to discuss the installation, monitoring and inspection of the drilled piers. Schedule this meeting after the Drilled Pier Contractor has mobilized to the site. The Resident or Division Traffic Engineer, Contractor and Drilled Pier Contractor Superintendent will attend this predrill meeting.

Do not excavate holes, install piles or allow equipment wheel loads or vibrations within 20 ft of completed piers until 16 hours after Drilled Pier concrete reaches initial set.

Check for correct drilled pier alignment and location before beginning drilling. Check plumbness of holes frequently during drilling.

Construct drilled piers with the minimum required diameters shown in the plans. Install piers with tip elevations no higher than shown in the plans or approved by the Engineer.

Excavate holes with equipment of the sizes required to construct drilled piers. Depending on the subsurface conditions encountered, drilling through rock and boulders may be required. Do not use blasting for drilled pier excavations.

Contain and dispose of drilling spoils and waste concrete as directed and in accordance with Section 802 of the *Standard Specifications*. Drilling spoils consist of all materials and fluids removed from excavations.

If unstable, caving or sloughing materials are anticipated or encountered, stabilize holes with temporary casings and/or polymer slurry. Do not use telescoping temporary casings. If it becomes necessary to replace a temporary casing during drilling, backfill the excavation, insert a larger casing around the casing to be replaced or stabilize the excavation with polymer slurry before removing the temporary casing.

If temporary casings become stuck or the Contractor proposes leaving casings in place, temporary casings should be installed against undisturbed material. Unless otherwise approved, do not leave temporary casings in place for mast arm poles and cantilever signs. The Engineer will determine if casings may remain in place. If the Contractor proposes leaving temporary casings in place, do not begin drilling until a casing installation method is approved.

Use polymer slurry and additives to stabilize holes in accordance with the slurry manufacturer's recommendations. Provide mixing water and equipment suitable for polymer slurry. Maintain the required slurry properties at all times except for sand content.

Define a "sample set" as slurry samples collected from mid-height and within 2 ft of the bottom of holes. Take sample sets from excavations to test polymer slurry immediately after filling holes with slurry, at least every 4 hours thereafter and immediately before placing concrete. Do not place Drilled Pier concrete until both slurry samples from an excavation meet the required polymer slurry properties. If any slurry test results do not meet the requirements, the Engineer may suspend drilling until both samples from a sample set meet the required polymer slurry properties.

Remove soft and loose material from bottom of holes using augers to the satisfaction of the Engineer. Assemble rebar cages and place cages and Drilled Pier concrete in accordance with Subarticle 411-4(E) of the *Standard Specifications* except for the following:

- (1) Inspections for tip resistance and bottom cleanliness are not required,
- (2) Temporary casings may remain in place if approved, and
- (3) Concrete placement may be paused near the top of pier elevations for anchor rod assembly installation and conduit placement or
- (4) If applicable, concrete placement may be stopped at bottom of grade beam or wing elevations for grade beam or wing construction.

If wet placement of concrete is anticipated or encountered, do not place Drilled Pier

concrete until a concrete placement procedure is approved. If applicable, temporary casings and fluids may be removed when concrete placement is paused or stopped in accordance with the exceptions above provided holes are stable. Remove contaminated concrete from exposed Drilled Pier concrete after removing casings and fluids. If holes are unstable, do not remove temporary casings until a procedure for placing anchor rod assemblies and conduit or constructing grade beams or wings is approved.

Use collars to extend drilled piers above finished grade. Remove collars after Drilled Pier concrete sets and round top edges of piers.

If drilled piers are questionable, pile integrity testing (PIT) and further investigation may be required in accordance with Article 411-5 of the *Standard Specifications*. A drilled pier will be considered defective in accordance with Subarticle 411-5(D) of the *Standard Specifications* and drilled pier acceptance is based in part on the criteria in Article 411-6 of the *Standard Specifications* except for the top of pier tolerances in Subarticle 411-6(C) of the *Standard Specifications*.

If a drilled pier is under further investigation, do not grout core holes, backfill around the pier or perform any work on the drilled pier until the Engineer accepts the pier. If the drilled pier is accepted, dewater and grout core holes and backfill around the pier with approved material to finished grade. If the Engineer determines a pier is unacceptable, remediation is required in accordance with Article 411-6 of the *Standard Specifications*. No extension of completion date or time will be allowed for remediation of unacceptable drilled piers or post repair testing.

Permanently embed a plate in or mark top of piers with the pier diameter and depth, size and number of vertical reinforcing bars and the minimum compressive strength of the concrete mix at 28 days.

(B) Footings, Pedestals, Grade Beams and Wings

Excavate as necessary for footings, grade beams and wings in accordance with the plans, accepted submittals and Section 410 of the *Standard Specifications*. If unstable, caving or sloughing materials are anticipated or encountered, shore foundation excavations as needed with an approved method. Notify the Engineer when foundation excavation is complete. Do not place concrete or reinforcing steel until excavation dimensions and foundation material are approved.

Construct cast-in-place reinforced concrete footings, pedestals, grade beams and wings with the dimensions shown in the plans and in accordance with Section 825 of the *Standard Specifications*. Use forms to construct portions of pedestals and grade beams protruding above finished grade. Provide a chamfer with a 3/4" horizontal width for pedestal and grade beam edges exposed above finished grade. Place concrete against undisturbed soil or backfill and fill in accordance with Article 410-8 of the *Standard Specifications*. Proper compaction around footings and wings is critical for foundations to resist uplift and torsion forces.

(C) Anchor Rod Assemblies

Size anchor rods for design and the required projection above top of foundations.

Determine required anchor rod projections from nut, washer and base plate thicknesses, the protrusion of 3 to 5 anchor rod threads above top nuts after tightening and the distance of one nut thickness between top of foundations and bottom of leveling nuts.

Protect anchor rod threads from damage during storage and installation of anchor rod assemblies. Before placing anchor rods in foundations, turn nuts onto and off rods past leveling nut locations. Turn nuts with the effort of one workman using an ordinary wrench without a cheater bar. Report any thread damage to the Engineer that requires extra effort to turn nuts.

Arrange anchor rods symmetrically about center of base plate locations as shown in the plans. Set anchor rod elevations based on required projections above top of foundations. Securely brace and hold rods in the correct position, orientation and alignment with a steel template. Do not weld to reinforcing steel, temporary casings or anchor rods.

Install top and leveling (bottom) nuts, washers and the base plate for each anchor rod assembly in accordance with the following procedure:

- (1) Turn leveling nuts onto anchor rods to a distance of one nut thickness between the top of foundation and bottom of leveling nuts. Place washers over anchor rods on top of leveling nuts.
- (2) Determine if nuts are level using a flat rigid template on top of washers. If necessary, lower leveling nuts to level the template in all directions or if applicable, lower nuts to tilt the template so the metal pole or upright truss will lean as shown in the plans. If leveling nuts and washers are not in full contact with the template, replace washers with galvanized beveled washers.
- (3) Verify the distance between the foundation and leveling nuts is no more than one nut thickness.
- (4) Place base plate with metal pole or upright truss over anchor rods on top of washers. High mount luminaires may be attached before erecting metal poles but do not attach cables, mast arms or trusses to metal poles or upright trusses at this time.
- (5) Place washers over anchor rods on top of base plate. Lubricate top nut bearing surfaces and exposed anchor rod threads above washers with beeswax, paraffin or other approved lubricant.
- (6) Turn top nuts onto anchor rods. If nuts are not in full contact with washers or washers are not in full contact with the base plate, replace washers with galvanized beveled washers.
- (7) Tighten top nuts to snug-tight with the full effort of one workman using a 12" wrench. Do not tighten any nut all at once. Turn top nuts in increments. Follow a star pattern cycling through each nut at least twice.
- (8) Repeat (7) for leveling nuts.
- (9) Replace washers above and below the base plate with galvanized beveled washers if the slope of any base plate face exceeds 1:20 (5%), any washer is not in firm contact with the base plate or any nut is not in firm contact with a washer. If any washers are replaced, repeat (7) and (8).

- (10) With top and leveling nuts snug-tight, mark each top nut on a corner at the intersection of 2 flats and a corresponding reference mark on the base plate. Mark top nuts and base plate with ink or paint that is not water-soluble. Use the turn-of-nut method for pretensioning. Do not pretension any nut all at once. Turn top nuts in increments for a total turn that meets the following nut rotation requirements:

<b>NUT ROTATION REQUIREMENTS (Turn-of-Nut Pretensioning Method)</b>	
<b>Anchor Rod Diameter, inch</b>	<b>Requirement</b>
$\leq 1 \frac{1}{2}$	1/3 turn (2 flats)
$> 1 \frac{1}{2}$	1/6 turn (1 flat)

Follow a star pattern cycling through each top nut at least twice.

- (11) Ensure nuts, washers and base plate are in firm contact with each other for each anchor rod. Cables, mast arms and trusses may now be attached to metal poles and upright trusses.
- (12) Between 4 and 14 days after pretensioning top nuts, use a torque wrench calibrated within the last 12 months to check nuts in the presence of the Engineer. Completely erect mast arm poles and cantilever signs and attach any hardware before checking top nuts for these structures. Check that top nuts meet the following torque requirements:

<b>TORQUE REQUIREMENTS</b>	
<b>Anchor Rod Diameter, inch</b>	<b>Requirement, ft-lb</b>
7/8	180
1	270
1 1/8	380
1 1/4	420
$\geq 1 \frac{1}{2}$	600

If necessary, retighten top nuts in the presence of the Engineer with a calibrated torque wrench to within  $\pm 10$  ft-lb of the required torque. Do not overtighten top nuts.

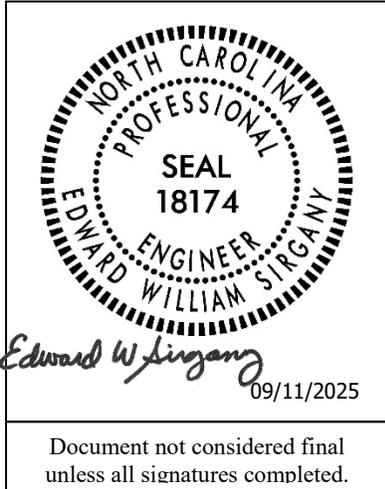
- (13) Do not grout under base plate.

### **Measurement and Payment**

Foundations and anchor rod assemblies for metal poles and upright trusses will be measured and paid for elsewhere in the contract.

No payment will be made for temporary casings that remain in drilled pier excavations. No payment will be made for PIT. No payment will be made for further investigation of defective piers. Further investigation of piers that are not defective will be paid as extra work in accordance with Article 104-7 of the *Standard Specifications*. No payment will be made for remediation of unacceptable drilled piers or post repair testing.

Signals and Intelligent Transportation Systems  
Project Special Provisions  
(Version 24.1)



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ALL EQUIPMENT SHALL BE FULLY COMPATIBLE WITH AND INTERCHANGEABLE WITH THE TOWN OF HOLLY SPRINGS’ EXISTING VEHICLE PREEMPTION SYSTEM. FOR REFERENCE PURPOSES, THE TOWN’S CURRENT SYSTEM USES OPTICOM GPS-ENABLED VEHICLE EQUIPMENT AND OPTICOM GPS RADIO UNITS WITH OPTICOM 764 MULTIMODE PHASE SELECTORS AT THE INTERSECTION. .... 28

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Furnish a GPS radio for the vehicle preemption system that is an integrated stand-alone unit.....28 consisting of a GPS receiver with antenna and 2.4 GHz spread spectrum transceiver with antenna. The transceiver receive and process data being transmitted by equipped vehicles within radio range. This data shall include vehicle location, heading and speed. ....28

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## 1. 2024 STANDARD SPECIFICATIONS FOR ROADS & STRUCTURES

*The 2024 Standard Specifications are revised as follows:*

### 1.1. ELECTRICAL JUNCTION BOXES (1091-5)

Page 10-209, revise paragraphs beginning on line 26 to read "Provide electrical junction boxes with covers of the type and size indicated by the contract or plans for the termination of conduits. Boxes and covers shall meet all requirements and specifications of ANSI/SCTE 77 2017. Structural load tests shall meet the Tier 15 application type."

Page 10-209, line 28, revise title of section 1091-5(B) from "Polymer Concrete (PC) Junction Boxes" to "Polymer Concrete (PC), Composite, and Thermoplastic Junction Boxes".

Page 10-209, revise paragraphs beginning on line 29 through line 41 to read "For PC junction boxes, use polymer concrete material made of an aggregate consisting of sand and gravel bound together with a polymer and reinforced with glass strands to fabricate box and cover components.

Provide junction boxes which have bolted covers and open bottoms. Provide vertical extensions of 6 inches to 12 inches as required by project provisions.

Provide the required logo on the cover. Provide at least two size 3/8 inch diameter hex head stainless steel cover bolts to match inserts in the box. Provide pull slot(s) with stainless steel pin(s). Bodies of junction boxes shall be a single piece.

Polymer concrete, composite, and thermoplastic junction boxes are not required to be listed electrical devices."

### 1.2. TRAFFIC SIGNAL ACTIVATION (1700-4)

Page 17-4, revise paragraph beginning on line 42 through line 46 to read "Prior to placing signal in the steady (stop-and-go) mode, the signal should be placed in yellow-red flashing mode for up to 7 days or as directed by the Engineer. Yellow-red flashing mode differs from the red-red flashing mode shown in the signal plan. Yellow-red flash mode includes flashing the yellow signal indications on all main street through movements while flashing the red signal indications on all side street signal heads and any left turn heads on the main street. The signal should not be placed in the steady (stop-and-go) mode on a Saturday or Sunday without prior approval from the Engineer. Do not place the signal in steady (stop-and-go) mode until inspected and without prior approval of the Engineer."

## 2. SIGNAL HEADS

### 2.1. MATERIALS

#### A. General:

Fabricate vehicle signal head housings and end caps from die-cast aluminum. Provide visor mounting screws, door latches, and hinge pins fabricated from stainless steel. Provide interior screws, fasteners, and metal parts fabricated from stainless steel.

Fabricate tunnel and traditional visors from sheet aluminum.

Paint all surfaces inside and outside of signal housings and doors. Paint outside surfaces of tunnel and traditional visors, wire outlet bodies, wire entrance fitting brackets and end caps when supplied as components of messenger cable mounting assemblies, pole and pedestal mounting assemblies, and pedestrian pushbutton housings. Have electrostatically-applied, fused-polyester paint in highway yellow (Federal Standard 595C, Color Chip Number 13538) a minimum of 2.5 to 3.5 mils thick. Do not apply paint to the latching hardware, rigid vehicle signal head mounting brackets for mast-arm attachments, messenger cable hanger components or balance adjuster components.

Have the interior surfaces of tunnel and traditional visors painted an alkyd urea black synthetic baking enamel with a minimum gloss reflectance and meeting the requirements of MIL-E-10169, "Enamel Heat Resisting, Instrument Black."

Where required, provide polycarbonate signal heads and visors that comply with the provisions pertaining to the aluminum signal heads listed on the QPL with the following exceptions:

Fabricate signal head housings, end caps, and visors from virgin polycarbonate material. Provide UV stabilized polycarbonate plastic with a minimum thickness of  $0.1 \pm 0.01$  inches that is highway yellow (Federal Standard 595C, Color Chip 13538). Ensure the color is incorporated into the plastic material before molding the signal head housings and end caps. Ensure the plastic formulation provides the following physical properties in the assembly (tests may be performed on separately molded specimens):

Test	Required	Method
Specific Gravity	1.17 minimum	ASTM D 792
Flammability	Self-extinguishing	ASTM D 635
Tensile Strength, yield, PSI	8500 minimum	ASTM D 638
Izod impact strength, ft-lb/in [notched, 1/8 inch]	12 minimum	ASTM D 256

For pole mounting, provide side of pole mounting assemblies with framework and all other hardware necessary to make complete, watertight connections of the signal heads to the poles and pedestals. Fabricate the mounting assemblies and frames from aluminum with all necessary hardware, screws, washers, etc. to be stainless steel. Provide mounting fittings that match the positive locking device on the signal head with the serrations integrally cast into the brackets. Provide upper and lower pole plates that have a 1 ¼-inch vertical conduit entrance hubs with the hubs capped on the lower plate and 1 ½-inch horizontal hubs. Ensure that the assemblies provide rigid attachments to poles and pedestals so as to allow no twisting or swaying of the signal heads. Ensure that all raceways are free of sharp edges and protrusions, and can accommodate a minimum of ten Number 14 AWG conductors.

For pedestal mounting, provide a post-top slipfitter mounting assembly that matches the positive locking device on the signal head with serrations integrally cast into the slipfitter. Provide stainless steel hardware, screws, washers, etc. Provide a minimum of six 3/8 X 3/4-inch long square head bolts for attachment to pedestal. Provide a center post for multi-way slipfitters.

For light emitting diode (LED) traffic signal modules, provide the following requirements for inclusion on the Department's Qualified Products List for traffic signal equipment.

1. Sample submittal,
2. Third-party independent laboratory testing results for each submitted module with evidence of testing and conformance with all of the Design Qualification Testing specified in section 6.4 of each of the following Institute of Transportation Engineers (ITE) specifications:
  - Vehicle Traffic Control Signal Heads – Light Emitting Diode (LED) Circular Signal Supplement
  - Vehicle Traffic Control Signal Heads – Light Emitting Diode (LED) Vehicle Arrow Traffic Signal Supplement
  - Pedestrian Traffic Control Signal Indications –Light Emitting Diode (LED) Signal Modules.

(Note: The Department currently recognizes two approved independent testing laboratories. They are Intertek ETL Semko and Light Metrics, Incorporated with Garwood Laboratories. Independent laboratory tests from other laboratories may be considered as part of the QPL submittal at the discretion of the Department,

3. Evidence of conformance with the requirements of these specifications,
4. A manufacturer's warranty statement in accordance with the required warranty, and
5. Submittal of manufacturer's design and production documentation for the model, including but not limited to, electrical schematics, electronic component values, proprietary part numbers, bill of materials, and production electrical and photometric test parameters.
6. Evidence of approval of the product to bear the Intertek ETL Verified product label for LED traffic signal modules.

Ensure LED traffic signal modules meet the performance requirements for the minimum period of 15 years, provide a written warranty against defects in materials and workmanship for the modules for a period of 15 years after installation of the modules. During the warranty period, the manufacturer must provide new replacement modules within 45 days of receipt of modules that have failed at no cost to the State. Repaired or refurbished modules may not be used to fulfill the manufacturer's warranty obligations. Provide manufacturer's warranty documentation to the Department during evaluation of product for inclusion on Qualified Products List (QPL).

#### **B. Vehicle Signal Heads:**

Comply with the ITE standard "Vehicle Traffic Control Signal Heads". Provide housings with provisions for attaching backplates.

Provide visors that are 10 inches in length for 12-inch vehicle signal heads.

Provide a termination block with one empty terminal for field wiring for each indication plus one empty terminal for the neutral conductor. Have all signal sections wired to the termination block. Provide barriers between the terminals that have terminal screws with a minimum Number 8 thread size and that will accommodate and secure spade lugs sized for a Number 10 terminal screw.

Mount termination blocks in the yellow signal head sections on all in-line vehicle signal heads. Mount the termination block in the red section on five-section vehicle signal heads.

Furnish vehicle signal head interconnecting brackets. Provide one-piece aluminum brackets less than 4.5 inches in height and with no threaded pipe connections. Provide hand holes on the bottom of the brackets to aid in installing wires to the signal heads. Lower brackets that carry no wires and are used only for connecting the bottom signal sections together may be flat in construction.

For mast-arm mounting, provide rigid vehicle signal head mounting brackets and all other hardware necessary to make complete, watertight connections of the vehicle signal heads to the mast arms and to provide a means for vertically adjusting the vehicle signal heads to proper alignment. Fabricate the mounting assemblies from aluminum, and provide serrated rings made of aluminum. Provide stainless steel cable attachment assemblies to secure the brackets to the mast arms. Ensure all fastening hardware and fasteners are fabricated from stainless steel.

Provide LED vehicular traffic signal modules (hereafter referred to as modules) that consist of an assembly that uses LEDs as the light source in lieu of an incandescent lamp for use in traffic signal sections. Use LEDs that are aluminum indium gallium phosphorus (AlInGaP) technology for red and yellow indications and indium gallium nitride (InGaN) for green indications. Install the ultra bright type LEDs that are rated for 100,000 hours of continuous operation from -40°F to +165°F. Design modules to have a minimum useful life of 15 years and to meet all parameters of this specification during this period of useful life.

For the modules, provide spade terminals crimped to the lead wires and sized for a #10 screw connection to the existing terminal block in a standard signal head. Do not provide other types of crimped terminals with a spade adapter.

Ensure the power supply is integral to the module assembly. On the back of the module, permanently mark the date of manufacture (month & year) or some other method of identifying date of manufacture.

Tint the red, yellow and green lenses to correspond with the wavelength (chromaticity) of the LED. Transparent tinting films are unacceptable. Provide a lens that is integral to the unit with a smooth outer surface.

**1. LED Circular Signal Modules:**

Provide modules in the following configurations: 12-inch circular sections, and 8-inch circular sections. All makes and models of LED modules purchased for use on the State Highway System shall appear on the current NCDOT Traffic Signal Qualified Products List (QPL).

Provide the manufacturer’s model number and the product number (assigned by the Department) for each module that appears on the 2024 or most recent Qualified Products List. In addition, provide manufacturer’s certification in accordance with Article 106-3 of the *Standard Specifications*, that each module meets or exceeds the ITE “Vehicle Traffic Control Signal Heads – Light Emitting Diode (LED) Circular Signal Supplement” dated June 27, 2005 (hereafter referred to as VTCSH Circular Supplement) and other requirements stated in this specification.

Provide modules that meet the following requirements when tested under the procedures outlined in the VTCSH Circular Supplement:

Module Type	Max. Wattage at 165° F	Nominal Wattage at 77° F
12-inch red circular	17	11
12-inch green circular	15	15

For yellow circular signal modules, provide modules tested under the procedures outlined in the VTCSH Circular Supplement to insure power required at 77° F is 22 Watts or less for the 12-inch circular module.

Note: Use a wattmeter having an accuracy of ±1% to measure the nominal wattage and maximum wattage of a circular traffic signal module. Power may also be derived from voltage, current and power factor measurements.

### 3. CONTROLLERS WITH CABINETS

#### 3.1. MATERIALS – TYPE 2070LX CONTROLLERS

Furnish model 2070LX controller units that conform to CALTRANS *Transportation Electrical Equipment Specifications* (TEES) (dated March 12, 2009, plus Errata 1 dated January 21, 2010 and Errata 2 dated December 5, 2014) except as required herein.

The Department will provide software at the beginning of the burning-in period. Contractor shall give 5 working days notice before needing software. Program software provided by the Department.

Provide model 2070LX controllers with Linux kernel 2.6.18 or higher and device drivers, composed of the unit chassis and at a minimum the following modules and assemblies:

- MODEL 2070-1C, CPU Module, Single Board, with 8Mb Datakey (blue in color)
- MODEL 2070-2E+, Field I/O Module (FI/O)
  - Note: Configure the Field I/O Module to disable both the External WDT Shunt/Toggle Switch and SP3 (SP3 active indicator is “off”)
- MODEL 2070-3B, Front Panel Module (FP), Display B (8x40)
- MODEL 2070-4A, Power Supply Module, 10 AMP

Provide a Board Support Package (BSP) to the state and to any specified applications software manufacturer when requested by the state to facilitate the porting of application software.

#### 3.2. MATERIALS – GENERAL CABINETS

Provide a moisture resistant coating on all circuit boards.

Provide one 20 mm diameter radial lead UL-recognized metal oxide varistor (MOV) between each load switch field terminal and equipment ground. Electrical performance is outlined below.

<b>PROPERTIES OF MOV SURGE PROTECTOR</b>	
Maximum Continuous Applied Voltage at 185° F	150 VAC (RMS) 200 VDC
Maximum Peak 8x20µs Current at 185° F	6500 A
Maximum Energy Rating at 185° F	80 J
Voltage Range 1 mA DC Test at 77° F	212-268 V
Max. Clamping Voltage 8x20µs, 100A at 77° F	395 V
Typical Capacitance (1 MHz) at 77° F	1600 pF

Provide a power line surge protector that is a two-stage device that will allow connection of the radio frequency interference filter between the stages of the device. Ensure that a maximum continuous current is at least 10A at 120V. Ensure that the device can withstand a minimum of 20 peak surge current occurrences at 20,000A for an 8x20 microsecond waveform. Provide a maximum clamp voltage of 395V at 20,000A with a nominal series inductance of 200µh. Ensure that the voltage does not exceed 395V. Provide devices that comply with the following:

Frequency (Hz)	Minimum Insertion Loss (dB)
60	0
10,000	30
50,000	55
100,000	50
500,000	50
2,000,000	60
5,000,000	40
10,000,000	20
20,000,000	25

### 3.3. MATERIALS – TYPE 170E CABINETS

#### A. Type 170 E Cabinets General:

Conform to the city of Los Angeles' Specification No. 54-053-08, *Traffic Signal Cabinet Assembly Specification* (dated July 2008), except as required herein.

Furnish model 332 base mounted cabinets configured for 8 vehicle phases, 4 pedestrian phases, and 6 overlaps. When overlaps are required, provide auxiliary output files for the overlaps. Do not reassign load switches to accommodate overlaps unless shown on electrical details.

Provide model 200 load switches, model 222 loop detector sensors, model 252 AC isolators, and model 242 DC isolators according to the electrical details. As a minimum, provide one (1) model 2018 conflict monitor, one (1) model 206L power supply unit, two (2) model 204 flashers, one (1) DC isolator (located in slot I14), and four (4) model 430 flash transfer relays (provide seven (7) model 430 flash transfer relays if auxiliary output file is installed) with each cabinet.

#### B. Type 170 E Cabinet Electrical Requirements:

Provide a cabinet assembly designed to ensure that upon leaving any cabinet switch or conflict monitor initiated flashing operation, the controller starts up in the programmed start up phases and start up interval.

Furnish two sets of non-fading cabinet wiring diagrams and schematics in a paper envelope or container and placed in the cabinet drawer.

All AC+ power is subject to radio frequency signal suppression.

Provide surge suppression in the cabinet for each type of cabinet device. Provide surge protection for the full capacity of the cabinet input file. Provide surge suppression devices that operate properly over a temperature range of -40° F to +185° F. Ensure the surge suppression devices provide both common and differential modes of protection.

Provide a pluggable power line surge protector that is installed on the back of the PDA (power distribution assembly) chassis to filter and absorb power line noise and switching transients. Ensure the device incorporates LEDs for failure indication and provides a dry relay contact closure for the purpose of remote sensing. Ensure the device meets the following specifications:

Peak Surge Current (Single pulse, 8x20µs).....	20,000A
Occurrences (8x20µs waveform).....	10 minimum @ 20,000A
Maximum Clamp Voltage.....	395VAC
Operating Current.....	15 amps
Response Time.....	< 5 nanoseconds

Provide a loop surge suppressor for each set of loop terminals in the cabinet. Ensure the device meets the following specifications:

Peak Surge Current (6 times, 8x20µs)	
(Differential Mode).....	400A
(Common Mode).....	1,000A
Occurrences (8x20µs waveform).....	500 min @ 200A
Maximum Clamp Voltage	
(Differential Mode @400A).....	35V
(Common Mode @1,000A).....	35V
Response Time.....	< 5 nanoseconds
Maximum Capacitance.....	35 pF

Provide a data communications surge suppressor for each communications line entering or leaving the cabinet. Ensure the device meets the following specifications:

Peak Surge Current (Single pulse, 8x20µs).....	10,000A
Occurrences (8x20µs waveform).....	100 min @ 2,000A
Maximum Clamp Voltage.....	Rated for equipment protected
Response Time.....	< 1 nanosecond
Maximum Capacitance.....	1,500 pF
Maximum Series Resistance.....	15Ω

Provide a DC signal surge suppressor for each DC input channel in the cabinet. Ensure the device meets the following specifications:

Peak Surge Current (Single pulse, 8x20µs).....	10,000A
Occurrences (8x20µs waveform).....	100 @ 2,000A
Maximum Clamp Voltage.....	30V
Response Time.....	< 1 nanosecond

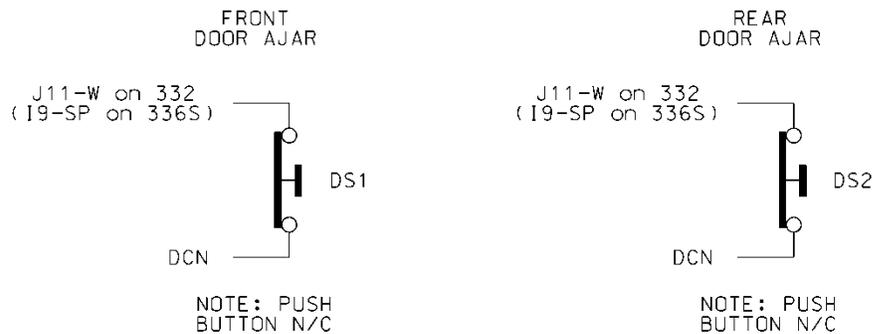
Provide a 120 VAC signal surge suppressor for each AC+ interconnect signal input. Ensure the device meets the following specifications:

Peak Surge Current (Single pulse, 8x20µs).....	20,000A
Maximum Clamp Voltage.....	350VAC
Response Time.....	< 200 nanoseconds
Discharge Voltage.....	<200 Volts @ 1,000A
Insulation Resistance.....	≥100 MΩ

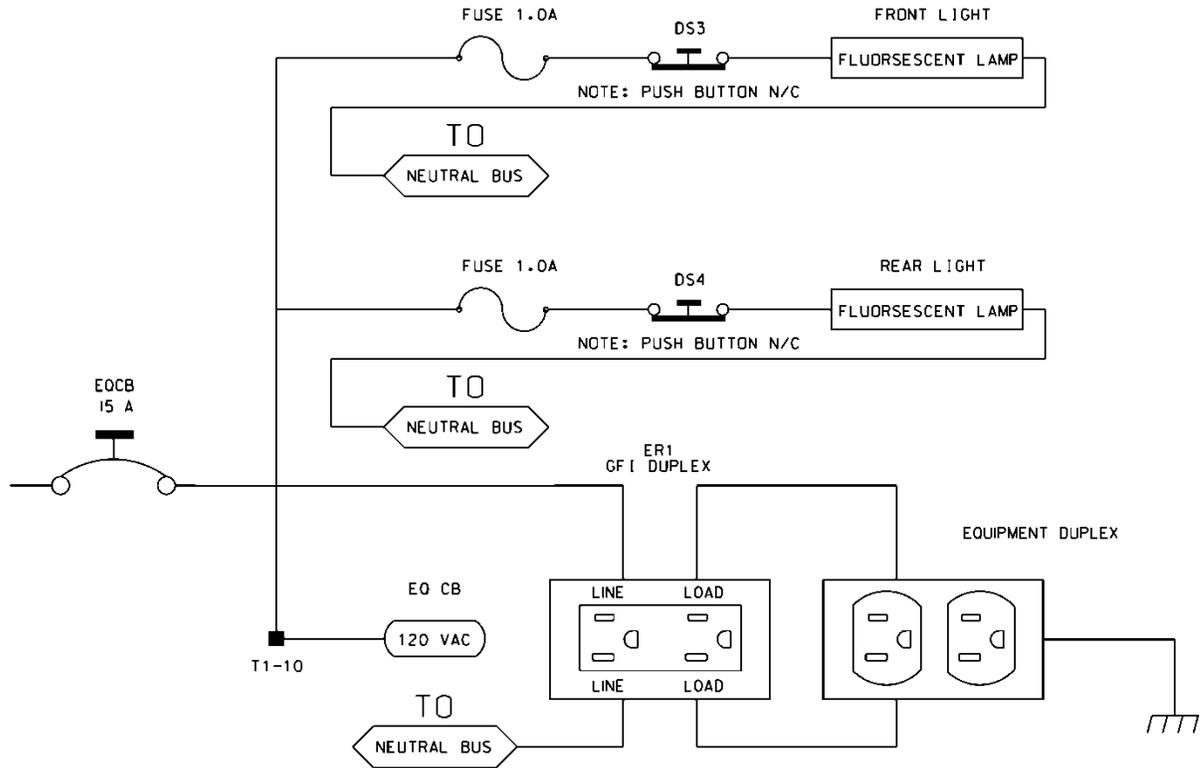
Provide conductors for surge protection wiring that are of sufficient size (ampacity) to withstand maximum overcurrents which could occur before protective device thresholds are attained and current flow is interrupted.

If additional surge protected power outlets are needed to accommodate fiber transceivers, modems, etc., install a UL listed, industrial, heavy-duty type power outlet strip with a minimum rating of 15 A / 125 VAC, 60 Hz. Provide a strip that has a minimum of 3 grounded outlets. Ensure the power outlet strip plugs into one of the controller unit receptacles located on the rear of the PDA. Ensure power outlet strip is mounted securely; provide strain relief if necessary.

Provide a door switch in the front and a door switch in the rear of the cabinet that will provide the controller unit with a Door Ajar alarm when either the front or the rear door is open. Ensure the door switches apply DC ground to the Input File when either the front door or the rear door is open.



Furnish a fluorescent fixture in the rear across the top of the cabinet and another fluorescent fixture in the front across the top of the cabinet at a minimum. Ensure that the fixtures provide sufficient light to illuminate all terminals, labels, switches, and devices in the cabinet. Conveniently locate the fixtures so as not to interfere with a technician’s ability to perform work on any devices or terminals in the cabinet. Provide a protective diffuser to cover exposed bulbs. Install 16 watt T-4 lamps in the fluorescent fixtures. Provide a door switch to provide power to each fixture when the respective door is open. Wire the fluorescent fixtures to the 15 amp ECB (equipment circuit breaker).



Furnish a police panel with a police panel door. Ensure that the police panel door permits access to the police panel when the main door is closed. Ensure that no rainwater can enter the cabinet even with the police panel door open. Provide a police panel door hinged on the right side as viewed from the front. Provide a police panel door lock that is keyed to a standard police/fire call box key. In addition to the requirements of LA Specification No. 54-053-08, provide the police panel with a toggle switch connected to switch the intersection operation between normal stop-and-go operation (AUTO) and manual operation (MANUAL). Ensure that manual control can be implemented using inputs and software such that the controller provides full programmed clearance times for the yellow clearance and red clearance for each phase while under manual control.

Provide a 1/4-inch locking phone jack in the police panel for a hand control to manually control the intersection. Provide sufficient room in the police panel for storage of a hand control and cord.

For model 332 base mounted cabinets, ensure terminals J14-E and J14-K are wired together on the rear of the Input File. Connect TB9-12 (J14 Common) on the Input Panel to T1-2 (AC-) on the rear of the PDA.

Provide detector test switches mounted at the top of the cabinet rack or other convenient location which may be used to place a call on each of eight phases based on the chart below. Provide three positions for each switch: On (place call), Off (normal detector operation), and Momentary On (place momentary call and return to normal detector operation after switch is released). Ensure that the switches are located such that the technician can read the controller display and observe the intersection.

Connect detector test switches for cabinets as follows:

332 Cabinet	
Detector Call Switches	Terminals
Phase 1	I1-W
Phase 2	I4-W
Phase 3	I5-W
Phase 4	I8-W
Phase 5	J1-W
Phase 6	J4-W
Phase 7	J5-W
Phase 8	J8-W

Provide the PCB 28/56 connector for the conflict monitor unit (CMU) with 28 independent contacts per side, dual-sided with 0.156 inch contact centers. Provide the PCB 28/56 connector contacts with solder eyelet terminations. Ensure all connections to the PCB 28/56 connector are soldered to the solder eyelet terminations.

Ensure that all cabinets have the CMU connector wired according to the 332 cabinet connector pin assignments (include all wires for auxiliary output file connection). Wire pins 13, 16, R, and U of the CMU connector to a separate 4 pin plug, P1, as shown below. Provide a second plug, P2, which will mate with P1 and is wired to the auxiliary output file as shown below. Provide an additional plug, P3, which will mate with P1 and is wired to the pedestrian yellow circuits as shown below. When no auxiliary output file is installed in the cabinet, provide wires for the green and yellow inputs for channels 11, 12, 17, and 18, the red inputs for channels 17 and 18, and the wires for the P2 plug. Terminate the two-foot wires with ring type lugs, insulated, and bundled for optional use.

PIN	P1		P2		P3	
	FUNCTION	CONN TO	FUNCTION	CONN TO	FUNCTION	CONN TO
1	CH-9G	CMU-13	OLA-GRN	A123	2P-YEL	114
2	CH-9Y	CMU-16	OLA-YEL	A122	4P-YEL	105
3	CH-10G	CMU-R	OLB-GRN	A126	6P-YEL	120
4	CH-10Y	CMU-U	OLB-YEL	A125	8P-YEL	111

Do not provide the P20 terminal assembly (red monitor board) or red interface ribbon cable as specified in LA Specification No. 54-053-08.

Provide a P20 connector that mates with and is compatible with the red interface connector mounted on the front of the conflict monitor. Ensure that the P20 connector and the red interface connector on the conflict monitor are center polarized to ensure proper connection. Ensure that

removal of the P20 connector will cause the conflict monitor to recognize a latching fault condition and place the cabinet into flashing operation.

Wire the P20 connector to the output file and auxiliary output file using 22 AWG stranded wires. Ensure the length of these wires is a minimum of 42 inches in length. Provide a durable braided sleeve around the wires to organize and protect the wires.

Wire the P20 connector to the traffic signal red displays to provide inputs to the conflict monitor as shown below. Ensure the pedestrian Don't Walk circuits are wired to channels 13 through 16 of the P20 connector. When no auxiliary output file is installed in the cabinet, provide wires for channels 9 through 12 reds. Provide a wire for special function 1. Terminate the unused wires with ring type lugs, insulated, and bundled for optional use.

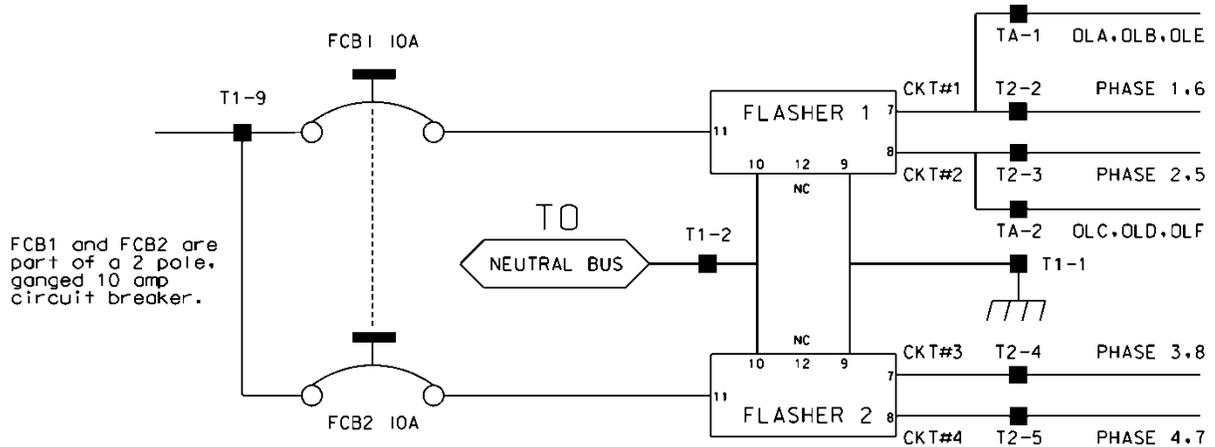
P20 Connector					
PIN	FUNCTION	CONN TO	PIN	FUNCTION	CONN TO
1	Channel 15 Red	119	2	Channel 16 Red	110
3	Channel 14 Red	104	4	Chassis GND	01-9
5	Channel 13 Red	113	6	N/C	
7	Channel 12 Red	AUX 101	8	Spec Function 1	
9	Channel 10 Red	AUX 124	10	Channel 11 Red	AUX 114
11	Channel 9 Red	AUX 121	12	Channel 8 Red	107
13	Channel 7 Red	122	14	Channel 6 Red	134
15	Channel 5 Red	131	16	Channel 4 Red	101
17	Channel 3 Red	116	18	Channel 2 Red	128
19	Channel 1 Red	125	20	Red Enable	01-14

Ensure the controller unit outputs to the auxiliary output file are pre-wired to the C5 connector. When no auxiliary output file is installed in the cabinet, connect the C5 connector to a storage socket located on the Input Panel or on the rear of the PDA.

Do not wire pin 12 of the load switch sockets.

In addition to the requirements of LA Specification No. 54-053-08, ensure relay K1 on the Power Distribution Assembly (PDA) is a four pole relay and K2 on the PDA is a two pole relay.

Provide a two pole, ganged circuit breaker for the flash bus circuit. Ensure the flash bus circuit breaker is an inverse time circuit breaker rated for 10 amps at 120 VAC with a minimum of 10,000 RMS symmetrical amperes short circuit current rating. Do not provide the auxiliary switch feature on the flash bus circuit breaker. Ensure the ganged flash bus circuit breaker is certified by the circuit breaker manufacturer to provide gang tripping operation.



Ensure auxiliary output files are wired as follows:

AUXILIARY OUTPUT FILE TERMINAL BLOCK TA ASSIGNMENTS	
POSITION	FUNCTION
1	Flasher Unit #1, Circuit 1/FTR1 (OLA, OLB)/FTR3 (OLE)
2	Flasher Unit #1, Circuit 2/FTR2 (OLC, OLD)/FTR3 (OLF)
3	Flash Transfer Relay Coils
4	AC -
5	Power Circuit 5
6	Power Circuit 5
7	Equipment Ground Bus
8	NC

Provide four spare load resistors mounted in each cabinet. Ensure each load resistor is rated as shown in the table below. Wire one side of each load resistor to AC-. Connect the other side of each resistor to a separate terminal on a four (4) position terminal block. Mount the load resistors and terminal block either inside the back of Output File No. 1 or on the upper area of the Service Panel.

ACCEPTABLE LOAD RESISTOR VALUES	
VALUE (ohms)	WATTAGE
1.5K – 1.9 K	25W (min)
2.0K – 3.0K	10W (min)

Provide Model 200 load switches, Model 204 flashers, Model 242 DC isolators, Model 252 AC isolators, and Model 206L power supply units that conform to CALTRANS' *Transportation Electrical Equipment Specifications* dated March 12, 2009 with Erratum 1.

### **C. Type 170 E Cabinet Physical Requirements:**

Do not mold, cast, or scribe the name "City of Los Angeles" on the outside of the cabinet door as specified in LA Specification No. 54-053-08. Do not provide a Communications Terminal Panel as specified in LA Specification No. 54-053-08. Do not provide terminal block TBB on the Service Panel. Do not provide Cabinet Verification Test Program software or associated test jigs as specified in LA Specification No. 54-053-08.

Furnish unpainted, natural, aluminum cabinet shells. Ensure that all non-aluminum hardware on the cabinet is stainless steel or a Department approved non-corrosive alternate.

Ensure the lifting eyes, gasket channels, police panel, and all supports welded to the enclosure and doors are fabricated from 0.125 inch minimum thickness aluminum sheet and meet the same standards as the cabinet and doors.

Provide front and rear doors with latching handles that allow padlocking in the closed position. Furnish 0.75 inch minimum diameter stainless steel handles with a minimum 0.5 inch shank. Place the padlocking attachment at 4.0 inches from the handle shank center to clear the lock and key. Provide an additional 4.0 inches minimum gripping length.

Provide Corbin #2 locks on the front and rear doors. Provide one (1) Corbin #2 and one (1) police master key with each cabinet. Ensure main door locks allow removal of keys in the locked position only.

Provide a surge protection panel with 16 loop surge protection devices and designed to allow sufficient free space for wire connection/disconnection and surge protection device replacement. For model 332 cabinets, provide an additional 20 loop surge protection devices. Provide an additional two AC+ interconnect surge devices to protect one slot and eight DC surge protection devices to protect four slots. Provide no protection devices on slot I14.

For base mounted cabinets, mount surge protection panels on the left side of the cabinet as viewed from the rear. Attach each panel to the cabinet rack assembly using bolts and make it easily removable. Mount the surge protection devices in vertical rows on each panel and connect the devices to one side of 12 position, double row terminal blocks with #8 screws. For each surge protection panel, terminate all grounds from the surge protection devices on a copper equipment ground bus attached to the surge protection panel. Wire the terminals to the rear of a standard input file using spade lugs for input file protection.

Provide permanent labels that indicate the slot and the pins connected to each terminal that may be viewed from the rear cabinet door. Label and orient terminals so that each pair of inputs is next to each other. Indicate on the labeling the input file (I or J), the slot number (1-14) and the terminal pins of the input slots (either D & E for upper or J & K for lower).

Provide a minimum 14 x 16 inch pull out, hinged top shelf located immediately below controller mounting section of the cabinet. Ensure the shelf is designed to fully expose the table surface outside the controller at a height approximately even with the bottom of the controller. Ensure the shelf has a storage bin interior which is a minimum of 1 inch deep and approximately the same dimensions as the shelf. Provide an access to the storage area by lifting the hinged top of the shelf. Fabricate the shelf and slide from aluminum or stainless steel and ensure the assembly can support the 2070L controller plus 15 pounds of additional weight. Ensure shelf has a locking mechanism to secure it in the fully extended position and does not inhibit the removal of the 2070L controller or removal of

cards inside the controller when fully extended. Provide a locking mechanism that is easily released when the shelf is to be returned to its non-use position directly under the controller.

**D. Model 2018 Enhanced Conflict Monitor:**

Furnish Model 2018 Enhanced Conflict Monitors that provide monitoring of 18 channels. Ensure each channel consists of a green, yellow, and red field signal input. Ensure that the conflict monitor meets or exceeds CALTRANS' Transportation Electrical Equipment Specifications dated March 12, 2009, with Erratum 1 (hereafter referred to as CALTRANS' 2009 TEES) for a model 210 monitor unit and other requirements stated in this specification.

Ensure the conflict monitor is provided with an 18 channel conflict programming card. Pin EE and Pin T of the conflict programming card shall be connected together. Pin 16 of the conflict programming card shall be floating. Ensure that the absence of the conflict programming card will cause the conflict monitor to trigger (enter into fault mode), and remain in the triggered state until the programming card is properly inserted and the conflict monitor is reset.

Provide a conflict monitor that incorporates LED indicators into the front panel to dynamically display the status of the monitor under normal conditions and to provide a comprehensive review of field inputs with monitor status under fault conditions. Ensure that the monitor indicates the channels that were active during a conflict condition and the channels that experienced a failure for all other per channel fault conditions detected. Ensure that these indications and the status of each channel are retained until the Conflict Monitor is reset. Furnish LED indicators for the following:

- AC Power (Green LED indicator)
- VDC Failed (Red LED indicator)
- WDT Error (Red LED indicator)
- Conflict (Red LED indicator)
- Red Fail (Red LED indicator)
- Dual Indication (Red LED indicator)
- Yellow/Clearance Failure (Red LED indicator)
- PCA/PC Ajar (Red LED indicator)
- Monitor Fail/Diagnostic Failure (Red LED indicator)
- 54 Channel Status Indicators (1 Red, 1 Yellow, and 1 Green LED indicator for each of the 18 channels)

Provide a switch to set the Red Fail fault timing. Ensure that when the switch is in the ON position the Red Fail fault timing value is set to 1350 +/- 150 ms (2018 mode). Ensure that when the switch is in the OFF position the Red Fail fault timing value is set to 850 +/- 150 ms (210 mode).

Provide a switch to set the Watchdog fault timing. Ensure that when the switch is in the ON position the Watchdog fault timing value is set to 1.0 +/- 0.1 s (2018 mode). Ensure that when the switch is in the OFF position the Watchdog fault timing value is set to 1.5 +/- 0.1 s (210 mode).

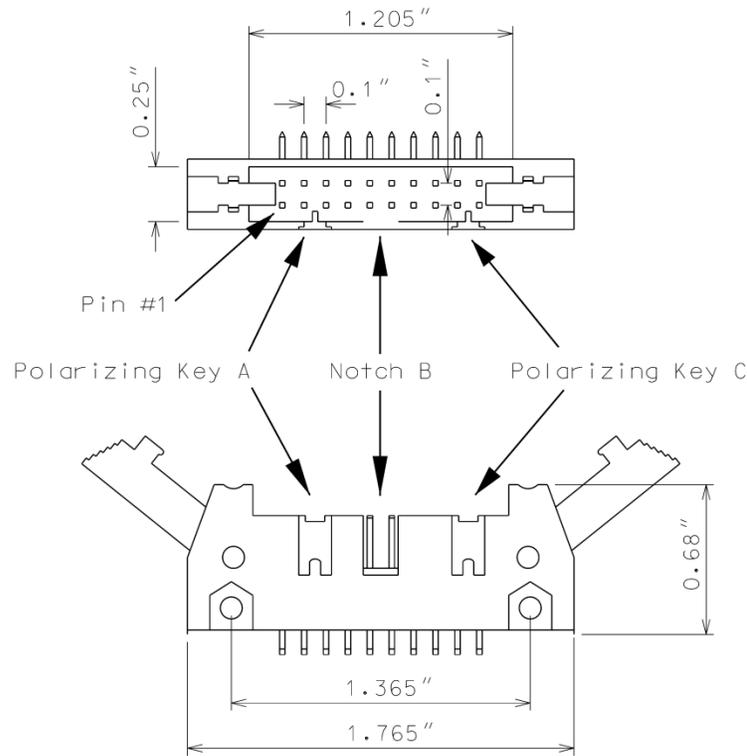
Provide a jumper or switch to set the AC line brown-out levels. Ensure that when the jumper is present or the switch is in the ON position the AC line dropout voltage threshold is 98 +/- 2 Vrms, the AC line restore voltage threshold is 103 +/- 2 Vrms, and the AC line brown-out timing value is set to 400 +/- 50ms (2018 mode). Ensure that when the jumper is not present or the switch is in the OFF position the AC line dropout voltage threshold is 92 +/- 2 Vrms, the AC line restore voltage

threshold is 98 +/- 2 Vrms, and the AC line brown-out timing value is set to 80 +/- 17 ms (210 mode).

Provide a jumper or switch that will enable and disable the Watchdog Latch function. Ensure that when the jumper is not present or the switch is in the OFF position the Watchdog Latch function is disabled. In this mode of operation, a Watchdog fault will be reset following a power loss, brownout, or power interruption. Ensure that when the jumper is present or the switch is in the ON position the Watchdog Latch function is enabled. In this mode of operation, a Watchdog fault will be retained until a Reset command is issued.

Provide a jumper that will reverse the active polarity for pin #EE (output relay common). Ensure that when the jumper is not present pin #EE (output relay common) will be considered 'Active' at a voltage greater than 70 Vrms and 'Not Active' at a voltage less than 50 Vrms (Caltrans mode). Ensure that when the jumper is present pin #EE (output relay common) will be considered 'Active' at a voltage less than 50 Vrms and 'Not Active' at a voltage greater than 70 Vrms (Failsafe mode).

In addition to the connectors required by CALTRANS' 2009 TEES, provide the conflict monitor with a red interface connector mounted on the front of the monitor. Ensure the connector is a 20 pin, right angle, center polarized, male connector with latching clip locks and polarizing keys. Ensure the right angle solder tails are designed for a 0.062" thick printed circuit board. Keying of the connector shall be between pins 3 and 5, and between 17 and 19. Ensure the connector has two rows of pins with the odd numbered pins on one row and the even pins on the other row. Ensure the connector pin row spacing is 0.10" and pitch is 0.10". Ensure the mating length of the connector pins is 0.24". Ensure the pins are finished with gold plating 30µ" thick.



Ensure the red interface connector pins on the monitor have the following functions:

Pin #	Function	Pin #	Function
1	Channel 15 Red	2	Channel 16 Red
3	Channel 14 Red	4	Chassis Ground
5	Channel 13 Red	6	Special Function 2
7	Channel 12 Red	8	Special Function 1
9	Channel 10 Red	10	Channel 11 Red
11	Channel 9 Red	12	Channel 8 Red
13	Channel 7 Red	14	Channel 6 Red
15	Channel 5 Red	16	Channel 4 Red
17	Channel 3 Red	18	Channel 2 Red
19	Channel 1 Red	20	Red Enable

Ensure that removal of the P20 cable connector will cause the conflict monitor to recognize a latching fault condition and place the cabinet into flashing operation.

Provide Special Function 1 and Special Function 2 inputs to the unit which shall disable only Red Fail Monitoring when either input is sensed active. A Special Function input shall be sensed active when the input voltage exceeds 70 Vrms with a minimum duration of 550 ms. A Special Function input shall be sensed not active when the input voltage is less than 50 Vrms or the duration is less than 250 ms. A Special Function input is undefined by these specifications and may or may not be sensed active when the input voltage is between 50 Vrms and 70 Vrms or the duration is between 250 ms and 550 ms.

Ensure the conflict monitor recognizes field signal inputs for each channel that meet the following requirements:

- consider a Red input greater than 70 Vrms and with a duration of at least 500 ms as an “on” condition;
- consider a Red input less than 50 Vrms or with a duration of less than 200 ms as an “off” condition (no valid signal);
- consider a Red input between 50 Vrms and 70 Vrms or with a duration between 200 ms and 500 ms to be undefined by these specifications;
- consider a Green or Yellow input greater than 25 Vrms and with a duration of at least 500 ms as an “on” condition;
- consider a Green or Yellow input less than 15 Vrms or with a duration of less than 200 ms as an “off” condition; and
- consider a Green or Yellow input between 15 Vrms and 25 Vrms or with a duration between 200 ms and 500 ms to be undefined by these specifications.

Provide a conflict monitor that recognizes the faults specified by CALTRANS’ 2009 TEES and the following additional faults. Ensure the conflict monitor will trigger upon detection of a fault and

will remain in the triggered (in fault mode) state until the unit is reset at the front panel or through the external remote reset input for the following failures:

1. **Red Monitoring or Absence of Any Indication (Red Failure):** A condition in which no “on” voltage signal is detected on any of the green, yellow, or red inputs to a given monitor channel. If a signal is not detected on at least one input (R, Y, or G) of a conflict monitor channel for a period greater than 1000 ms when used with a 170 controller and 1500 ms when used with a 2070 controller, ensure monitor will trigger and put the intersection into flash. If the absence of any indication condition lasts less than 700 ms when used with a 170 controller and 1200 ms when used with a 2070 controller, ensure conflict monitor will not trigger. Red fail monitoring shall be enabled on a per channel basis by the use of switches located on the conflict monitor. Have red monitoring occur when all of the following input conditions are in effect:
  - a) Red Enable input to monitor is active (Red Enable voltages are “on” at greater than 70 Vrms, off at less than 50 Vrms, undefined between 50 and 70 Vrms), and
  - b) Neither Special Function 1 nor Special Function 2 inputs are active.
  - c) Pin #EE (output relay common) is not active
2. **Short/Missing Yellow Indication Fault (Clearance Error):** Yellow indication following a green is missing or shorter than 2.7 seconds (with  $\pm 0.1$ -second accuracy). If a channel fails to detect an “on” signal at the Yellow input for a minimum of 2.7 seconds ( $\pm 0.1$  second) following the detection of an “on” signal at a Green input for that channel, ensure that the monitor triggers and generates a clearance/short yellow error fault indication. Short/missing yellow (clearance) monitoring shall be enabled on a per channel basis by the use of switches located on the conflict monitor. This fault shall not occur when the channel is programmed for Yellow Inhibit, when the Red Enable signal is inactive or pin #EE (output relay common) is active.
3. **Dual Indications on the Same Channel:** In this condition, more than one indication (R,Y,G) is detected as “on” at the same time on the same channel. If dual indications are detected for a period greater than 500 ms, ensure that the conflict monitor triggers and displays the proper failure indication (Dual Ind fault). If this condition is detected for less than 200 ms, ensure that the monitor does not trigger. G-Y-R dual indication monitoring shall be enabled on a per channel basis by the use of switches located on the conflict monitor. G-Y dual indication monitoring shall be enabled for all channels by use of a switch located on the conflict monitor. This fault shall not occur when the Red Enable signal is inactive or pin #EE (output relay common) is active.
4. **Configuration Settings Change:** The configuration settings are comprised of (as a minimum) the permissive diode matrix, dual indication switches, yellow disable jumpers, any option switches, any option jumpers, and the Watchdog Enable switch. Ensure the conflict monitor compares the current configuration settings with the previous stored configuration settings on power-up, on reset, and periodically during operation. If any of the configuration settings are changed, ensure that the conflict monitor triggers and causes the program card

indicator to flash. Ensure that configuration change faults are only reset by depressing and holding the front panel reset button for a minimum of three seconds. Ensure the external remote reset input does not reset configuration change faults.

Ensure the conflict monitor will trigger and the AC Power indicator will flash at a rate of 2 Hz ± 20% with a 50% duty cycle when the AC Line voltage falls below the “drop-out” level. Ensure the conflict monitor will resume normal operation when the AC Line voltage returns above the “restore” level. Ensure the AC Power indicator will remain illuminated when the AC voltage returns above the “restore” level. Should an AC Line power interruption occur while the monitor is in the fault mode, then upon restoration of AC Line power, the monitor will remain in the fault mode and the correct fault and channel indicators will be displayed.

Provide a flash interval of at least 6 seconds and at most 16 seconds in duration following a power-up, an AC Line interruption, or a brownout restore. Ensure the conflict monitor will suspend all fault monitoring functions, close the Output relay contacts, and flash the AC indicator at a rate of 4 Hz ± 20% with a 50% duty cycle during this interval. Ensure the termination of the flash interval after at least 6 seconds if the Watchdog input has made 5 transitions between the True and False state and the AC Line voltage is greater than the “restore” level. If the watchdog input has not made 5 transitions between the True and False state within 10 ± 0.5 seconds, the monitor shall enter a WDT error fault condition.

Ensure the conflict monitor will monitor an intersection with a minimum of four approaches using the four-section Flashing Yellow Arrow (FYA) vehicle traffic signal as outlined by the NCHRP 3-54 research project for protected-permissive left turn signal displays. Ensure the conflict monitor will operate in the FYA mode and FYAc (Compact) mode as specified below to monitor each channel pair for the following fault conditions: Conflict, Flash Rate Detection, Red Fail, Dual Indication, and Clearance. Provide a switch to select between the FYA mode and FYAc mode. Provide a switch to select each FYA phase movement for monitoring.

**FYA mode**

FYA Signal Head	Phase 1	Phase 3	Phase 5	Phase 7
Red Arrow	Channel 9 Red	Channel 10 Red	Channel 11 Red	Channel 12 Red
Yellow Arrow	Channel 9 Yellow	Channel 10 Yellow	Channel 11 Yellow	Channel 12 Yellow
Flashing Yellow Arrow	Channel 9 Green	Channel 10 Green	Channel 11 Green	Channel 12 Green
Green Arrow	Channel 1 Green	Channel 3 Green	Channel 5 Green	Channel 7 Green

## FYAc mode

FYA Signal Head	Phase 1	Phase 3	Phase 5	Phase 7
Red Arrow	Channel 1 Red	Channel 3 Red	Channel 5 Red	Channel 7 Red
Yellow Arrow	Channel 1 Yellow	Channel 3 Yellow	Channel 5 Yellow	Channel 7 Yellow
Flashing Yellow Arrow	Channel 1 Green	Channel 3 Green	Channel 5 Green	Channel 7 Green
Green Arrow	Channel 9 Green	Channel 9 Yellow	Channel 10 Green	Channel 10 Yellow

If a FYA channel pair is enabled for FYA operation, the conflict monitor will monitor the FYA logical channel pair for the additional following conditions:

1. **Conflict:** Channel conflicts are detected based on the permissive programming jumpers on the program card. This operation remains unchanged from normal operation except for the solid Yellow arrow (FYA clearance) signal.
2. **Yellow Change Interval Conflict:** During the Yellow change interval of the Permissive Turn channel (flashing Yellow arrow) the conflict monitor shall verify that no conflicting channels to the solid Yellow arrow channel (clearance) are active. These conflicting channels shall be determined by the program card compatibility programming of the Permissive Turn channel (flashing Yellow arrow). During the Yellow change interval of the Protected Turn channel (solid Green arrow) the conflict monitor shall verify that no conflicting channels to the solid Yellow arrow channel (clearance) are active as determined by the program card compatibility programming of the Protected Turn channel (solid Green arrow).
3. **Flash Rate Detection:** The conflict monitor unit shall monitor for the absence of a valid flash rate for the Permissive turn channel (flashing Yellow arrow). If the Permissive turn channel (flashing Yellow arrow) is active for a period greater than 1600 milliseconds, ensure the conflict monitor triggers and puts the intersection into flash. If the Permissive turn channel (flashing Yellow arrow) is active for a period less than 1400 milliseconds, ensure the conflict monitor does not trigger. Ensure the conflict monitor will remain in the triggered (in fault mode) state until the unit is reset at the front panel or through the external remote reset input. Provide a jumper or switch that will enable and disable the Flash Rate Detection function. Ensure that when the jumper is not present or the switch is in the OFF position the Flash Rate Detection function is enabled. Ensure that when the jumper is present or the switch is in the ON position the Flash Rate Detection function is disabled.
4. **Red Monitoring or Absence of Any Indication (Red Failure):** The conflict monitor unit shall detect a red failure if there is an absence of voltage on all four of the inputs of a FYA channel pair (RA, YA, FYA, GA).
5. **Dual Indications on the Same Channel:** The conflict monitor unit shall detect a dual indication if two or more inputs of a FYA channel pair (RA, YA, FYA, GA) are “on” at the same time.

6. **Short/Missing Yellow Indication Fault (Clearance Error):** The conflict monitor unit shall monitor the solid Yellow arrow for a clearance fault when terminating both the Protected Turn channel (solid Green arrow) interval and the Permissive Turn channel (flashing Yellow arrow) interval.

Ensure that the conflict monitor will log at least nine of the most recent events detected by the monitor in non-volatile EEPROM memory (or equivalent). For each event, record at a minimum the time, date, type of event, status of each field signal indication with RMS voltage, and specific channels involved with the event. Ensure the conflict monitor will log the following events: monitor reset, configuration, previous fault, and AC line. Furnish the signal sequence log that shows all channel states (Greens, Yellows, and Reds) and the Red Enable State for a minimum of 2 seconds prior to the current fault trigger point. Ensure the display resolution of the inputs for the signal sequence log is not greater than 50 ms.

For non-Ethernet connected monitors, provide a RS-232C/D compliant port (DB-9 female connector) on the front panel of the conflict monitor in order to provide communications from the conflict monitor to the 170/2070 controller or to a Department-furnished laptop computer. Electrically isolate the port interface electronics from all monitor electronics, excluding Chassis Ground. Ensure that the controller can receive all event log information through a controller Asynchronous Communications Interface Adapter (Type 170E) or Async Serial Comm Module (2070). Furnish and connect a serial cable from the conflict monitor's DB-9 connector to Comm Port 1 of the 2070 controller. Ensure conflict monitor communicates with the controller. Provide a Windows based graphic user interface software to communicate directly through the same monitor RS-232C/D compliant port to retrieve and view all event log information to a Department-furnished laptop computer. The RS-232C/D compliant port on the monitor shall allow the monitor to function as a DCE device with pin connections as follows:

<b>Conflict Monitor RS-232C/D (DB-9 Female) Pinout</b>		
<b>Pin Number</b>	<b>Function</b>	<b>I/O</b>
1	DCD	O
2	TX Data	O
3	RX Data	I
4	DTR	I
5	Ground	-
6	DSR	O
7	CTS	I
8	RTS	O
9	NC	-

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**MONITOR BOARD EDGE CONNECTOR**


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<b>Pin #</b>	<b>Function (Back Side)</b>	<b>Pin #</b>	<b>Function (Component Side)</b>
1	Channel 2 Green	A	Channel 2 Yellow
2	Channel 13 Green	B	Channel 6 Green
3	Channel 6 Yellow	C	Channel 15 Green
4	Channel 4 Green	D	Channel 4 Yellow
5	Channel 14 Green	E	Channel 8 Green
6	Channel 8 Yellow	F	Channel 16 Green
7	Channel 5 Green	H	Channel 5 Yellow
8	Channel 13 Yellow	J	Channel 1 Green
9	Channel 1 Yellow	K	Channel 15 Yellow
10	Channel 7 Green	L	Channel 7 Yellow
11	Channel 14 Yellow	M	Channel 3 Green
12	Channel 3 Yellow	N	Channel 16 Yellow
13	Channel 9 Green	P	Channel 17 Yellow
14	Channel 17 Green	R	Channel 10 Green
15	Channel 11 Yellow	S	Channel 11 Green
16	Channel 9 Yellow	T	Channel 18 Yellow
17	Channel 18 Green	U	Channel 10 Yellow
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18	Channel 12 Yellow	V	Channel 12 Green
19	Channel 17 Red	W	Channel 18 Red
20	Chassis Ground	X	Not Assigned
21	AC-	Y	DC Common
22	Watchdog Timer	Z	External Test Reset
23	+24VDC	AA	+24VDC
24	Tied to Pin 25	BB	Stop Time (Output)
25	Tied to Pin 24	CC	Not Assigned
26	Not Assigned	DD	Not Assigned
27	Relay Output, Side #3, N.O.	EE	Relay Output, Side #2, Common
28	Relay Output, Side #1, N.C.	FF	AC+

-- Slotted for keying between Pins 17/U and 18/V

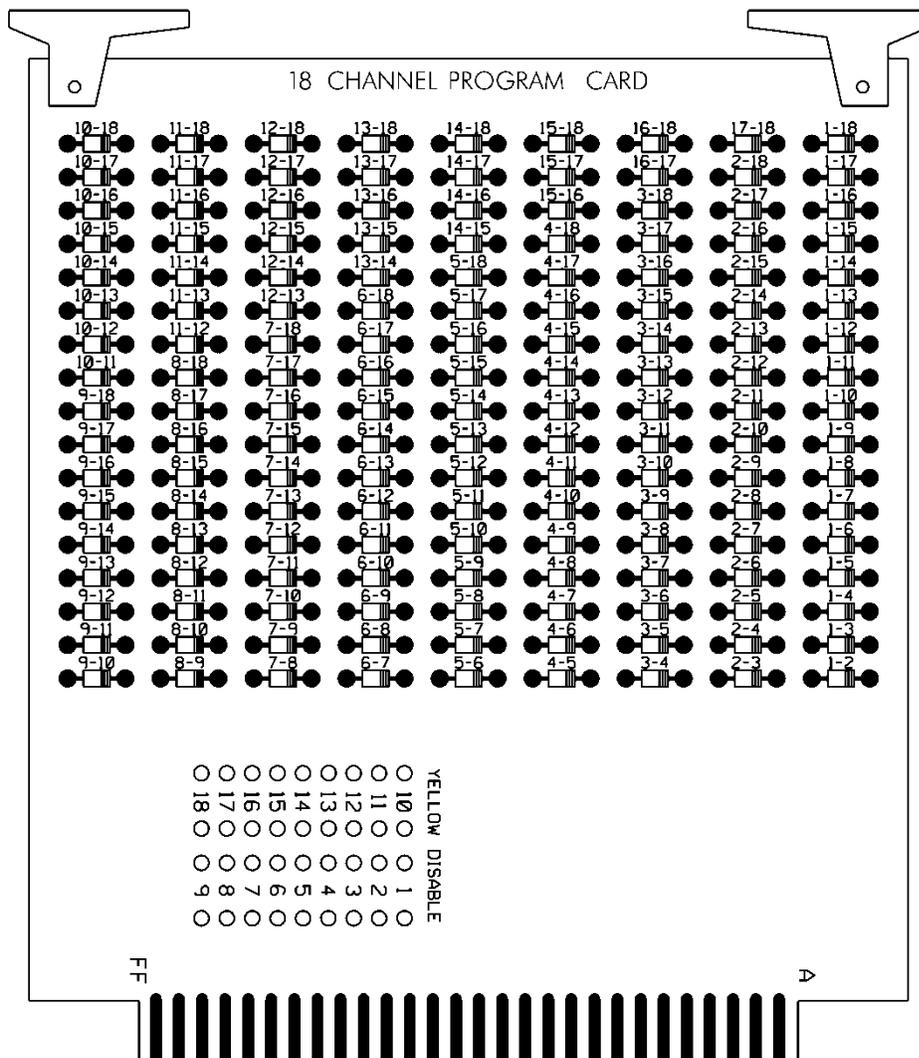
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**CONFLICT PROGRAM CARD PIN ASSIGNMENTS**


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<b>Pin #</b>	<b>Function (Back Side)</b>	<b>Pin #</b>	<b>Function (Component Side)</b>
1	Channel 2 Green	A	Channel 1 Green
2	Channel 3 Green	B	Channel 2 Green
3	Channel 4 Green	C	Channel 3 Green
4	Channel 5 Green	D	Channel 4 Green
5	Channel 6 Green	E	Channel 5 Green
6	Channel 7 Green	F	Channel 6 Green
7	Channel 8 Green	H	Channel 7 Green
8	Channel 9 Green	J	Channel 8 Green
9	Channel 10 Green	K	Channel 9 Green
10	Channel 11 Green	L	Channel 10 Green
11	Channel 12 Green	M	Channel 11 Green
12	Channel 13 Green	N	Channel 12 Green
13	Channel 14 Green	P	Channel 13 Green
14	Channel 15 Green	R	Channel 14 Green
15	Channel 16 Green	S	Channel 15 Green
16	N/C	T	PC AJAR
17	Channel 1 Yellow	U	Channel 9 Yellow
18	Channel 2 Yellow	V	Channel 10 Yellow
19	Channel 3 Yellow	W	Channel 11 Yellow
20	Channel 4 Yellow	X	Channel 12 Yellow
21	Channel 5 Yellow	Y	Channel 13 Yellow
22	Channel 6 Yellow	Z	Channel 14 Yellow
23	Channel 7 Yellow	AA	Channel 15 Yellow
24	Channel 8 Yellow	BB	Channel 16 Yellow
--		--	
25	Channel 17 Green	CC	Channel 17 Yellow
26	Channel 18 Green	DD	Channel 18 Yellow
27	Channel 16 Green	EE	PC AJAR (Program Card)
28	Yellow Inhibit Common	FF	Channel 17 Green

-- Slotted for keying between Pins 24/BB and 25/CC



**E. Preemption and Sign Control Box**

Provide preemption and sign control box to operate in a Model 332 cabinet. Provide hardware to mount the box to the cage of the cabinet to ensure the front side is facing the opposite side of the cabinet. Furnish the material of the box from a durable finished metallic or thermoplastic case. Ensure the size of the box is not greater than 7(l) x 5(w) x 5(d) inches. Ensure that no modification is necessary to mount the box on the cabinet cage.

Provide the following components in the preemption and sign control box: relays, fuses, terminal blocks, MOVs, resistor, RC network, lamp, and push button switch.

Provide UL Listed or Recognized relay K1 as a DPDT enclosed relay (120 VAC, 60 Hz coil) with an 8-pin octal-style plug and associated octal base. Provide contact material made of AgCdO with a 10 amp, 240 VAC rating. Ensure the relay has a specified pickup voltage of 102 VAC.

Provide relay SSR1 as a Triac SPST normally open solid state relay that is rated for 120 VAC input and zero-crossing (resistive load) 25 amp @ 120 VAC output. Ensure the relay turns on at 90 Vrms within 10 ms and turns off at 10 Vrms within 40 ms. Ensure the relay has physical

characteristics as shown in the wiring detail in Figure 1. Provide 4 terminal screws with saddle clamps.

Provide fuses F1 and F2 as a UL Listed ¼" x 1-1/4" glass tube rated at 250 volts with a 10kA interrupting rating. Ensure F1 non-delay (fast-acting) and F2 slow-blow (time-delay) fuses have a maximum opening times of 60 minutes and 120 seconds for currents of 135 and 200 percent of the ampere rating, respectively. Ensure F2 slow-blow (time-delay) fuses have a minimum opening times of 12 seconds at 200 percent of the ampere rating. Provide fuse holders that are UL Recognized panel-mounted holders rated 250V, 15 ampere minimum with bayonet-type knobs which accept ¼" x 1-1/4" glass tube fuses.

Provide terminal blocks that are rated for 300V and are made of electrical grade thermoplastic or thermosetting plastic. Ensure each terminal block is of closed back design and has recessed-screw terminals with molded barriers between terminals. Ensure each terminal block is labeled with a block designation. Ensure each terminal is labeled with the function and a number.

Provide 3/4-inch diameter radial lead UL-recognized metal oxide varistors (MOVs) that have electrical performance as outlined below.

PROPERTIES OF MOV SURGE PROTECTOR	
Maximum Continuous Applied Voltage at 185° F	150 VAC (RMS) 200 VDC
Maximum Peak 8x20µs Current at 185° F	6500 A
Maximum Energy Rating at 185° F	80 J
Voltage Range 1 mA DC Test at 77° F	212-268 V
Max. Clamping Voltage 8x20µs, 100A at 77° F	395 V
Typical Capacitance (1 MHz) at 77° F	1600 pF

Provide resistor R1 as a 2K ohm, 12 watt, wirewound resistor with tinned terminals and attaching leads. Ensure the resistor is spaced apart from surrounding wires.

Provide a LED or incandescent lamp that has a voltage rating of 120 VAC with a minimum life rating at 50,000 hours.

Wire the preemption and sign control box as shown in Figure 1.

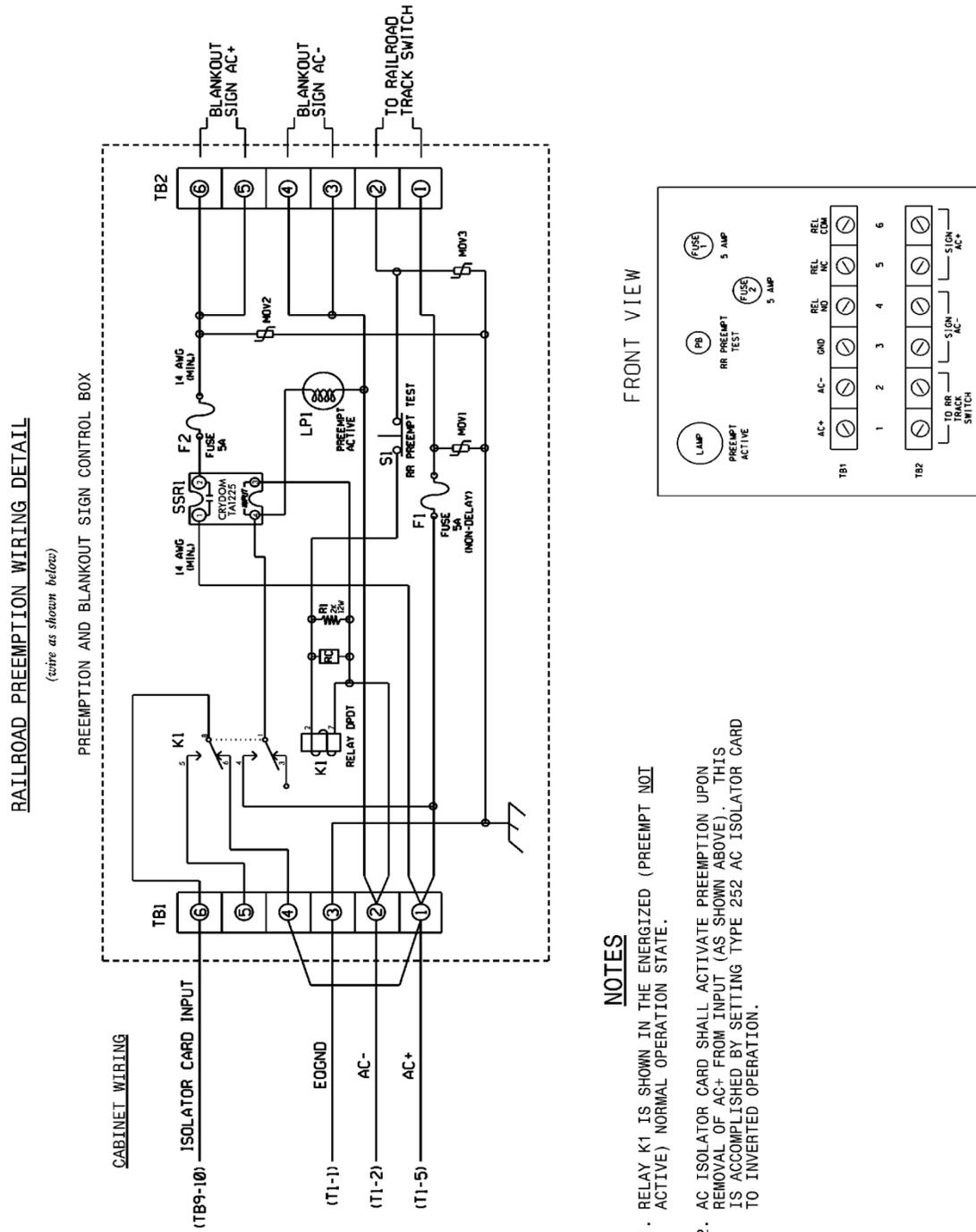


Figure 1

#### 4. VEHICLE PREEMPTION SYSTEM

##### 4.1. DESCRIPTION

At locations shown in the Signal Plans, the Contractor shall furnish, install and integrate GPS-based vehicle preemption systems.

Coordinate vehicle preemption work with the Engineer and proper operating authority.

##### 4.2. MATERIALS

###### A. General

Material, equipment and hardware under this section that is pre-approved on the ITS and Signals QPL.

**All equipment shall be fully compatible with and interchangeable with the Town of Holly Springs' existing vehicle preemption system.** For reference purposes, the Town's current system uses Opticom GPS-enabled vehicle equipment and Opticom GPS radio units with Opticom 764 multimode Phase Selectors at the intersection.

###### B. System Functionality

The vehicle preemption system shall provide the following features and functionality:

- The system shall be GPS-based and provide vehicle-to-intersection communications using 2.4 GHz spread spectrum radio transceivers with a minimum range of 1,500 feet. No infrared or cellular communications shall be used.
- The system shall provide for high and low levels of priority.
- The system shall allow each vehicle to have a unique identification code.

###### C. Intersection Equipment

Furnish a GPS radio for the vehicle preemption system that is an integrated stand-alone unit consisting of a GPS receiver with antenna and 2.4 GHz spread spectrum transceiver with antenna. The transceiver receive and process data being transmitted by equipped vehicles within radio range. This data shall include vehicle location, heading and speed.

Furnish a phase selector for the vehicle preemption system that is card-based and can be installed directly in the input file of the traffic signal cabinet. The phase selector shall be Ethernet-enabled for future integration with the signal system communications network.

Furnish cabling to connect the GPS radio and phase selector per the manufacturer's recommendation. All cabling shall be outdoor rated and suitable for lashing to existing signal cable or installed in existing underground conduit, vertical risers, or metal pole raceways.

##### 4.3. CONSTRUCTION METHODS

###### A. General

Install and integrate all vehicle preemption system equipment according to manufacturer's recommendations.

**B. Intersection Equipment**

Install GPS radio on the exterior of the traffic signal cabinet and routing cabling to the phase selector using a method approved by the Engineer.

Integrate and program the phase selector according to the Signal Plans.

The Contractor shall be responsible for approach mapping at the intersection level.

**4.4. MEASUREMENT AND PAYMENT**

*Vehicle Preemption System Intersection Equipment* will be measured and paid for as the actual number of intersections where GPS radios and phase selectors are furnished, installed and accepted.

No measurement will be made for cabling, integration, programming, radio surveys, testing, test vehicle equipment, or any other activities required to establish a fully functioning vehicle preemption system, as this will be considered incidental to the intersection equipment and software.

Payment will be made under:

<b>Pay Item</b>	<b>Pay Unit</b>
Vehicle Preemption System Intersection Equipment .....	Each

**5. METAL POLE SUPPORTS**

**5.1. METAL POLES**

**A. General:**

Furnish and install metal poles, grounding systems, and all necessary hardware. Work covered under this special provision includes requirements for design, fabrication, and installation of standard and custom/site-specific designed metal pole supports and associated foundations.

Comply with applicable sections of the *2024 STANDARD SPECIFICATIONS FOR ROADS & STRUCTURES*, hereinafter referred to as the *Standard Specifications*. Provide designs of completed assemblies with hardware equaling or exceeding *AASHTO LRFD Specifications for Structural Supports for Highway Signs, Luminaires and Traffic Signals* 1<sup>st</sup> Edition, 2015 (hereinafter called 1<sup>st</sup> Edition AASHTO), including the latest interim specifications. Provide assemblies with a round or near-round (18 sides or more) cross-section, or a multi-sided cross section with no less than six sides. The sides may be straight, convex, or concave.

For bid purposes, pole heights shown on plans are estimated from available data. Prior to furnishing metal poles, use field measurements and adjusted cross-sections to determine whether pole heights will meet required clearances. If pole heights do not meet required clearances, the Contractor should immediately notify the Engineer of the required revised pole heights.

Standard Drawings for Metal Poles are available that supplement these project special provisions. The drawings are located on the Department’s website:

<https://connect.ncdot.gov/resources/safety/pages/ITS-Design-Resources.aspx>

Comply with article 1098-1(B) of the *Standard Specifications* for submittal requirements. Furnish shop drawings for approval. Provide copies of detailed shop drawings for each type of

structure as summarized below. Ensure shop drawings include material specifications for each component. Ensure shop drawings identify welds by type and size on the detail drawing only, not in table format. **Do not release structures for fabrication until shop drawings have been approved by NCDOT.** Ensure shop drawings contain an itemized bill of materials for all structural components and associated connecting hardware.

Comply with article 1098-1(A) of the *Standard Specifications* for Qualified Products List (QPL) submittals. All shop drawings must include project location description, signal or asset inventory number(s) and project number or work order number.

Summary of information required for metal pole review submittal:

Item	Electronic Submittal	Comments / Special Instructions
Sealed, Approved Signal or ITS Plan/Loading Diagram	1 set	All structure design information needs to reflect the latest approved Signal or ITS plans
Custom Pole Shop Drawings	1 set	Submit drawings on 11" x 17" format media. Show NCDOT signal or asset inventory number(s), Contractor's name and relevant revision number in the title block. All drawings must have a <u>unique drawing number</u> for each project.
Standard Strain Pole Shop Drawings (from the QPL)	1 set	Submit drawings on 11" x 17" format media. Show NCDOT signal inventory number(s), Contractor's name and relevant revision number in the title block. All drawings must have a <u>unique drawing number</u> for each project.
Structure Calculations	1 set	Not required for Standard QPL Poles
Standard Strain Pole Foundation Drawings	1 set	Submit drawings on 11" x 17" format media. Submit a completed Standard Foundation Selection form for each pole using foundation table on Metal Pole Drawing M8.
Custom Foundation Drawings	1 set	Submit drawings on 11" x 17" format media. Show NCDOT signal or asset inventory number(s), Contractor's name and relevant revision number in the title block. All drawings must have a <u>unique drawing number</u> for each project. If QPL Poles are used, include the corresponding QPL pole shop drawings with this submittal.
Foundation Calculations	1 set	<b>Submit copies of LPILE input, output, and pile tip deflection graph per Section titled Drilled Pier Foundations for Metal Poles of this specification for each foundation.</b> Not required for Standard Strain Poles (from the QPL)
Soil Boring Logs and Report	1 set	Report shall include a location plan and a soil classification report including soil capacity,

	water level, hammer efficiency, soil bearing pressure, soil density, etc. for each pole.
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**NOTE** – All shop drawings and custom foundation design drawings must be sealed by a Professional Engineer licensed in the state of North Carolina. All geotechnical information must be sealed by either a Professional Engineer or Geologist licensed in the state of North Carolina. Include a title block and revision block on the shop drawings and foundation drawings showing the NCDOT signal or asset inventory number(s).

**Shop drawings and foundation drawings may be submitted together or separately for approval. However, shop drawings must be approved before foundations can be reviewed.** Foundation designs will be returned without review if the associated shop drawing has not been approved. Boring reports shall include the following: Engineer’s summary, boring location maps, soil classification per AASHTO Classification System, hammer efficiency, and Metal Pole Standard Foundation Selection Form. Incomplete submittals will be returned without review. The Reviewer has the right to request additional analysis and copies of the calculations to expedite the approval process.

**B. Materials:**

Fabricate metal pole from coil or plate steel that meet the requirements of ASTM A 572 Gr 55 or ASTM A 595 Grade A tubes. For structural steel shapes, plates, and bars use, as a minimum, ASTM A572 Gr 50, AASHTO M270 Gr 50, ASTM A709 Gr 50, or an approved equivalent. Provide pole shafts of round or near round (18 sides or more) cross-section, or multi-sided tubular cross-section with no less than six sides, having a uniform linear taper of 0.14 in/ft. Construct shafts from one piece of single-ply plate or coil. For anchor base fabrication, conform to the applicable bolt pattern and orientation as shown on Metal Pole Standard Drawing Sheet M2.

Use the submerged arc process, or other NCDOT previously approved process suitable for shafts, to continuously weld pole shafts along their entire length. Finish the longitudinal seam weld flush with the outside contour of the base metal. Ensure shaft has no circumferential welds except at the lower end joining the shaft to the pole base. Use full penetration groove welds with backing ring for all tube-to-transverse-plate connections in accordance with 1<sup>st</sup> Edition AASHTO. Provide welding that conforms to Article 1072-18 of the *Standard Specifications*. No field welding on any part of the pole will be permitted unless approved by a qualified Engineer.

After fabrication, hot-dip galvanize steel poles and all assembly components in accordance with section 1076-3 of the *Standard Specifications*. Design structural assemblies with weep holes large enough and properly located to drain molten zinc during the galvanization process. Galvanize hardware in accordance with section 1076-4 of the *Standard Specifications*. Ensure threaded material is brushed and re-tapped as necessary after galvanizing. Perform repair of damaged galvanizing in accordance with section 1076-7 of the *Standard Specifications*. *Ensure* all hardware is galvanized steel or stainless steel. The Contractor is responsible for ensuring the Designer/Fabricator specifies connecting hardware and/or materials that prevent a dissimilar metal corrosive reaction.

Ensure each anchor rod is 2-inch minimum diameter and 60-inch length. Provide 10-inch minimum thread projection at the top of the rod, and 8-inch minimum at the bottom of the rod. Use anchor rod assembly and drilled pier foundation materials complying with SP09\_R005, hereinafter referred to as *Foundations and Anchor Rod Assemblies for Metal Poles*.

Ensure anchor bolt hole diameters are ¼-inch larger than the anchor bolt diameters in the base plate.

Provide a circular anchor bolt lock plate securing the anchor bolts at the embedded end with two (2) washers and two (2) nuts. Provide a base plate template matching the bolt circle diameter of the anchor bolt lock plate. Construct plates and templates from ¼-inch minimum thick steel with a minimum width of 4 inches. Hot-dip galvanizing is not required for both plates.

Provide four (4) heavy hex nuts and four (4) flat washers for each anchor bolt. For nuts, use AASHTO M291 grade 2H, DH, or DH3 or equivalent material. For flat washers, use AASHTO M293 or equivalent material. Ensure anchor bolts have required diameters, lengths, and positions, and will develop strengths comparable to their respective poles.

For each pole, provide a grounding lug with a ½-inch minimum thread diameter, coarse thread stud and nut that will accommodate #4 AWG ground wire. Ensure the lug is electrically bonded to the pole and is conveniently located inside the pole at the hand hole.

Provide a removable pole cap with stainless steel attachment screws for the top of each pole. Ensure cap is cast aluminum conforming to Aluminum Association Alloy 356.0F. Furnish cap attached to the pole with a sturdy stainless-steel chain that is long enough to permit cap to hang clear of the pole-top opening when cap is removed.

Where required by the plans, furnish couplings 42 inches above bottom of the pole base for mounting of pedestrian pushbuttons. Provide mounting points consisting of 1½-inch internally threaded half-couplings complying with the NEC, mounted within the poles. Ensure that couplings are essentially flush with the outside surfaces of the poles and are installed before any required hot-dip galvanizing. Provide a threaded plug in each mounting point. Ensure the surface of the plug is essentially flush with the outer end of the mounting point when installed and has a recessed slot that will accommodate a ½ “drive standard socket wrench.

Metal poles may be erected and fully loaded after concrete has attained a minimum allowable compressive strength of 3,000 psi.

Connect poles to grounding electrodes and bond them to the electrical service grounding electrodes.

When field drilling is necessary for wire or cable entrances into the pole, comply with the following requirements:

- Do not drill holes within 2 inches of any welds.
- Do not drill any holes larger than 3 inches in diameter without checking with the ITS & Signals Structure Engineers.
- Avoid drilling multiple holes along the same cross section of tube shafts.
- Install rubber grommets in all field drilled holes that wire, or cable will directly enter unless holes are drilled for installation of weather heads or couplings.
- Treat the inside of the drilled holes and repair all galvanized surfaces in accordance with Section 1076-7 of the latest edition of the *Standard Specification prior to installing grommets, caps, or plugs*.
- Cap or plug any existing field drilled holes that are no longer used with rubber, aluminum, or stainless-steel hole plugs.

When street lighting is installed on metal signal structures, isolate the conductors feeding the luminaires inside the pole shaft using liquid tight flexible metal conduit (Type LFMC), liquid tight flexible nonmetallic conduit (Type LFNC), high density polyethylene conduit (Type HDPE), or approved equivalent. All conductors supplying power for luminaires must run through an external disconnect prior to entrance into the structure. In accordance with the National Electrical Code (NEC) Article 230.2(E), provide identification of the electrical source provider for the luminaire

feeder circuit with contact information on a permanent label located in the pole hand hole near the feeder circuit raceway.

Install a ¼-inch thick plate for a concrete foundation tag to include the following information: concrete grade, depth, diameter, and reinforcement sizes of the installed foundation. Install galvanized wire mesh to cover gap between the base plate and top of foundation for debris and pest control. Refer to standard drawing M7 for further details.

Immediately notify the Engineer of any structural deficiency that becomes apparent in any assembly, or member of any assembly, because of the design requirements imposed by these specifications, the plans, or the typical drawings.

### C. Design:

Unless otherwise specified, design all metal pole support structures using the following 1<sup>st</sup> Edition AASHTO specifications:

- Use 700-Year MRI and 10-Year MRI wind pressure maps developed from 3-second gust speeds, as provided in Section 3.8.
- Ensure metal pole support structures include natural wind gust loading and truck-induced gust loading for fatigue design, as provided in Sections 11.7.1.2 and 11.7.1.3, respectively. Designs need not consider periodic galloping forces.
- Assume 11.2 mph natural wind gust speed in North Carolina. For natural wind fatigue stress calculations, utilize a drag coefficient ( $C_d$ ) based on the yearly mean wind velocity of 11.2 mph.
- When selecting Fatigue Importance Factors, utilize Fatigue Importance Category II, as provided for in Table 11.6-1, unless otherwise specified.
- Calculate all forces using applicable equations from Section 5. The Maximum allowable force ratio for all metal pole support designs is 0.9.
- Conform to Sections 10.4.2 and 11.8 for deflection requirements. For CCTV and MVD support structures, ensure maximum deflection at top of pole does not exceed 2.0 percent of pole height.
- Assume the combined minimum weight of a messenger cable bundle (including messenger cable, signal cable and detector lead-in cables) is 1.3 lbs/ft. Assume the combined minimum diameter of the cable bundle is 1.3 inches.

Unless otherwise specified by special loading criteria, the following computed surface area for ice load on signal heads shall be used:

- 3-section, 12-inch, Surface area: 26.0 ft<sup>2</sup>
- 4-section, 12-inch, Surface area: 32.0 ft<sup>2</sup>
- 5-section, 12-inch, Surface area: 42.0 ft<sup>2</sup>

Design a base plate for each pole. The minimum base plate thickness for all poles is determined by the following criteria:

Case 1 Circular or rectangular solid base plate with the upright pole welded to the top surface of base plate with full penetration butt weld, where no stiffeners are provided. A base plate with a small center hole, which is less than 1/3 of the upright diameter, and located concentrically with the upright pole, may be considered as a solid base plate.

The magnitude of bending moment in the base plate, induced by the anchoring force of each anchor bolt is  $M = (P \times D_1) / 2$ , where

$M$  = bending moment at the critical section of the base plate induced by one (1) anchor bolt

$P$  = anchoring force of each anchor bolt

$D_1$  = horizontal distance between the anchor bolt center and the outer face of the upright, or the difference between the bolt circle radius and the outside radius of the upright

Locate the critical section at the face of the anchor bolt and perpendicular to the bolt circle radius. The overlapped part of two (2) adjacent critical sections is considered ineffective.

Case 2 Circular or rectangular base plate with the upright pole socketed into and attached to the base plate with two (2) lines of fillet weld, and where no stiffeners are provided, or any base plate with a center hole that is larger in diameter than 1/3 of the upright diameter.

The magnitude of bending moment induced by the anchoring force of each anchor bolt is  $M = P \times D_2$ ,

where  $P$  = anchoring force of each anchor bolt

$D_2$  = horizontal distance between the face of the upright and the face of the anchor bolt nut

Locate the critical section at the face of the anchor bolt top nut and perpendicular to the radius of the bolt circle. The overlapped part of two (2) adjacent critical sections is considered ineffective.

If the base plate thickness calculated for Case 2 is less than Case 1, use the thickness calculated for Case 1.

The following additional requirements apply concerning pole base plates.

- Ensure that whichever case governs as defined above, the anchor bolt diameter is set to match the base plate thickness. If the minimum diameter required for the anchor bolt exceeds the thickness required for the base plate, set the base plate thickness equal to the required bolt diameter.
- For all metal poles, use a full penetration groove weld with a backing ring to connect the pole upright component to the base. Refer to Metal Pole Standard Drawing Sheet M3 or M4.

The Professional Engineer is wholly responsible for the design of all poles. Review and acceptance of these designs by the Department does not relieve the said Professional Engineer of his or her responsibility.

#### **D. Mast Arm Poles:**

Refer to Metal Pole Standard Drawing Sheets M2 through M5 for fabrication details.

Fabricate metal arm shaft from coil or plate steel that meet the requirements of ASTM A 595 Grade A tubes. Provide arm shafts of round or near round (18 sides or more) cross-section, or multi-sided tubular cross-section with no less than six sides, having a uniform linear taper of 0.14 in/ft. Construct shafts from one piece of single-ply plate or coil, eliminating circumferential weld splices.

Use the submerged arc process, or other NCDOT previously approved process suitable for arm shafts, to continuously weld arm shafts along their entire length. The longitudinal seam weld shall be finished flush to the outside contour of the base metal. Ensure arm shaft has no circumferential welds except at the lower end joining the shaft to the arm flange plate. Use full penetration groove

welds with backing ring for all tube-to-transverse-plate connections in accordance with 1<sup>st</sup> Edition AASHTO. Provide welding that conforms to Article 1072-18 of the *Standard Specifications*, except no field welding on any part of the arm shaft will be permitted unless approved by a qualified Engineer.

After fabrication, hot-dip galvanize steel arm shafts and all assembly components per section 1076 of the *Standard Specifications*. Design arm shafts with weep holes large enough and properly located to drain molten zinc during the galvanization process. Provide hot-dip galvanizing on steel arm shafts that meets or exceeds ASTM Standard A-123, AASHTO M111, or an approved equivalent. Perform repair of damaged galvanizing that complies with the following *Standard Specifications* article:

Repair of Galvanizing .....Article 1076-7

Ensure metal arm shafts permit cables to be installed inside arm shafts. For holes in arm shafts used to accommodate cables, provide full-circumference grommets. Wire access holes for arm flange plates should be deburred, non-grommeted, and oversized to fit around 4-inch diameter grommeted wire access holes for shaft flange plates.

Provide a minimum of four (4) 1-1/2" diameter high strength bolts for connection between arm plate and pole plate. Increase number of bolts to a minimum of six (6) 1-1/2" diameter high strength bolts when arm lengths are greater than 50'-0" long.

Provide designs with a 6" x 12" hand hole with reinforcing frame for each pole.

Provide a terminal compartment with cover and screws in each pole encompassing the hand hole and containing a 12-terminal barrier type terminal block. Provide two (2) terminal screws with a removable shorting bar between them for each termination. Furnish terminal compartment covers attached to the pole by a sturdy chain or cable approved by the Engineer. Ensure chain or cable is long enough to permit cover to hang clear of the compartment opening when cover is removed and is strong enough to prevent vandalism. Ensure chain or cable will not interfere with service to cables in the pole base.

Have poles permanently stamped above the hand holes with the identification tag details as shown on Metal Pole Standard Drawing Sheets M2 and M4.

Provide a removable end cap with stainless steel attachment screws for the end of each mast arm. Ensure cap is cast aluminum conforming to Aluminum Association Alloy 356.0F. Furnish cap attached to arm with a sturdy chain or cable approved by the Engineer. Ensure chain or cable is long enough to permit cap to hang clear of arm end opening when cap is removed.

Provide pole flange plates and associated gussets and fittings for attachment of required mast arms. As part of each mast arm attachment, provide a cable passage hole in pole to allow passage of cables from pole to arm. Provide a grommeted 4-inch diameter cable passage hole on the shaft side of the connection to allow passage of cables from pole to arm.

Furnish all arm plates and necessary attachment hardware, including bolts and brackets.

Provide two (2) extra bolts for each arm.

Provide arms with weatherproof connections for attaching to the pole shaft.

Provide hardware that is galvanized steel, stainless steel, or corrosive-resistant aluminum.

Install metal poles, hardware, and fittings as shown on the manufacturer's installation drawings. Ensure the installed pole, when fully loaded, is within 1 degree 40 minutes (1°40') of vertical. Install poles with the manufacturer's recommended "rake." Where required, use threaded leveling nuts to establish rake.

Install horizontal-type arms with a manufactured rise preventing arm from deflecting below arm attachment height.

Ensure maximum angular rotation of the top of mast arm pole does not exceed 1 degree 40 minutes (1°40'). Ensure allowable mast arm deflection does not exceed that allowed per 1<sup>st</sup> Edition AASHTO. For all load combination limit states specified under Section 3 of 1<sup>st</sup> Edition AASHTO, restrict tip of fully loaded arm from going below arm attachment point with the pole.

## 5.2. DRILLED PIER FOUNDATIONS FOR METAL POLES

Analysis procedures and formulas shall be based on AASHTO 1<sup>st</sup> Edition, latest ACI-318 code and the *Drilled Shafts: Construction Procedures and Design Methods* FHWA-NHI-10-016 manual. Design methods based on engineering publications or research papers must have prior approval from NCDOT. The Department reserves the right to accept or reject any method used for the analysis.

Ensure deflection at top of foundation does not exceed 1 inch for worst-case (Service Limit State) lateral load.

Use LPILE Plus V6.0 or later for lateral analysis. Submit inputs, results and corresponding graphs with the design calculations.

Calculate skin friction using the  $\alpha$ -method for cohesive soils and the  $\beta$ -method for cohesion-less soils (**Broms method will not be accepted**). Detailed descriptions of the “ $\alpha$ ” and “ $\beta$ ” methods can be found in *FHWA-NHI-10-016*.

Omit first 2.5 feet for cohesive soils when calculating skin friction.

Assume a hammer efficiency of 0.70 unless value is provided.

All CCTV and MVD pole drilled shafts shall be a minimum of 4'-0" diameter. Refer to Standard Drawing Nos. M7 and M8.

Design custom foundations to carry maximum capacity of each metal pole. For standard case strain poles with custom design, use actual shear, axial and moment reactions from the Standard Strain Pole Foundation Selection Table shown on Standard Drawing No. M8.

When poor soil conditions are encountered, which could create an excessively large foundation design, consideration may be given to allow an exemption to the maximum capacity design. The Contractor must gain approval from the Engineer before reducing a foundation's capacity. On projects where poor soil is known to be present, the Contractor should have foundation designs approved before releasing poles for fabrication.

Have the Contractor notify the Engineer if the proposed foundation is to be installed on a slope other than 8H: 1V or flatter.

### A. Description:

Furnish and install foundations for NCDOT metal poles with all necessary hardware in accordance with the plans and specifications.

Metal Pole Standards have been developed and implemented by NCDOT for use at signalized intersections in North Carolina. If the plans call for a standard strain pole, then a standard foundation may be selected from the plans. However, the Contractor is not required to use a standard foundation. If the Contractor chooses to design a non-standard site-specific foundation for a standard strain pole or if the plans call for a non-standard site-specific pole, design the foundation to conform to the applicable provisions in the NCDOT Metal Pole Standard Drawings and Section B4 (Non-Standard Foundation Design) below. If non-standard site-specific foundations are designed for standard QPL approved strain poles, the foundation designer must use the design moment

specified by load case on Metal Pole Standard Drawing Sheet M8. Failure to conform to this requirement will be grounds for rejection of the design.

If the Contractor chooses to design a non-standard foundation for a standard strain pole and the soil test results indicate a standard foundation is feasible for the site, the Contractor will be paid the cost of the standard foundation. Any additional cost associated with a non-standard site-specific foundation including additional materials, labor and equipment will be considered incidental to the cost of the standard foundation. All costs for the non-standard foundation design will be considered incidental to the cost of the standard foundation.

## **B. Soil Test and Foundation Determination:**

### **1. General:**

Drilled piers are reinforced concrete sections, cast-in-place against in situ, undisturbed material. Drilled piers are of straight shaft type and vertical.

### **2. Soil Test:**

Perform a soil test at each proposed metal pole location. Complete all required fill placement and excavation at each pole location to finished grade before drilling each boring. Soil tests performed that are not in compliance with this requirement may be rejected and will not be paid. Drill one boring to a depth of 26 feet within a 25-foot radius of each proposed foundation.

Perform standard penetration tests (SPT) in accordance with ASTM D 1586 at depths of 1, 2.5, 5, 7.5, 10, 15, 20 and 26 feet. Discontinue the boring if one of the following occurs:

- A total of 100 blows have been applied in any two consecutive 6-inch intervals.
- A total of 50 blows have been applied with < 3-inch penetration.

Describe each pole location along the project corridor in a manner that is easily discernible to both the Contractor's Designer and NCDOT Reviewers. If the pole is at an intersection, label the boring the "Intersection of (*Route or SR #*), (*Street Name*) and (*Route or SR #*), (*Street Name*), \_\_\_\_\_ County, Signal or Asset Inventory No. \_\_\_\_\_". Label borings with "B- *N, S, E, W, NE, NW, SE or SW*" corresponding to the quadrant location within the intersection.

If the pole location is located between intersections, provide a coordinate location and offset, or milestone number and offset. Pole numbers should be made available to the Drill Contractor. Include pole numbers in the boring label if they are available. If they are not available, ensure the boring labels can be cross-referenced to corresponding pole numbers. For each boring, submit a legible (hand-written or typed) boring log signed and sealed by a licensed Geologist or Professional Engineer registered in North Carolina. Include on each boring the SPT blow counts and N-values at each depth, depth of the boring, hammer efficiency, depth of water table and a general description of the soil types encountered using the AASHTO Classification System.

Borings that cannot be easily correlated to their specific pole location will be returned to the Contractor for clarification; or if approved by the Engineer, the foundation may be designed using the worst-case soil condition obtained as part of this project.

### **3. Standard Foundation Determination:**

Use the following method for determining the Design N-value:

$$N_{AVG} = \frac{N_{@1'} + N_{@2.5'} + \dots + N_{@Deepest\ Boring\ Depth}}{Total\ Number\ of\ N\ values}$$

$$Y = (N_{@1'})^2 + (N_{@2.5'})^2 + \dots + (N_{@Deepest\ Boring\ Depth})^2$$

$$Z = N_{@1'} + N_{@2.5'} + \dots + N_{@Deepest \text{ Boring Depth}}$$

$$N_{STD \text{ DEV}} = \sqrt{\left( \frac{(Total \text{ Number of } N \text{ values} \times Y) - Z^2}{(Total \text{ Number of } N \text{ values}) \times (Total \text{ Number of } N \text{ values} - 1)} \right)}$$

**Design N-value** equals lesser of the following two conditions:

$$N_{AVG} - (N_{STD \text{ DEV}} \times 0.45)$$

**OR**

$$\text{Average of First Four (4) } N \text{ values} = \frac{N_{@1'} + N_{@2.5'} + N_{@5'} + N_{@7.5'}}{4}$$

*Note: If less than four (4) N-values are obtained because of criteria listed in Section 2 above, use average of N-values collected for second condition. Do not include the N-value at the deepest boring depth for above calculations if the boring is discontinued at or before the required boring depth because of criteria listed in Section 2 above. Use N-value of zero (0) for weight of hammer or weight of rod. If N-value is greater than fifty (50), reduce N-value to fifty (50) for calculations.*

If standard NCDOT strain poles are shown on the plans and the Contractor chooses to use standard foundations, determine a drilled pier length, "L," for each signal pole from the Standard Strain Pole Foundations Chart (sheet M8) based on the Design N-value and the predominant soil type. For each standard pole location, submit a completed "Metal Pole Standard Foundation Selection Form" signed by the Contractor's representative. Signature on form is for verification purposes only. Include the Design N-value calculation and resulting drilled pier length, "L," on each form.

If non-standard site-specific poles are shown on the plans, submit completed boring logs collected in accordance with Section 2 (Soil Test) along with pole loading diagrams from the plans to the Contractor-selected pole Fabricator to assist in the pole and foundation design.

If one of the following occurs, the Standard Foundations Chart shown on the plans may not be used and a non-standard foundation may be required. In such case, contact the Engineer.

- The Design N-value is less than four (4).
- The drilled pier length, "L", determined from the Standard Foundations Chart, is greater than the depth of the corresponding boring.

In the case where a standard foundation cannot be used, the Department will be responsible for the additional cost of the non-standard foundation.

Foundation designs are based on level ground around the traffic signal pole. If the slope around the edge of the drilled pier is steeper than 8:1 (H:V) or the proposed foundation will be less than 10 feet from the top of an embankment slope, the Contractor is responsible for providing slope information to the foundation Designer and to the Engineer so it can be considered in the design.

The "Metal Pole Standard Foundation Selection Form" may be found at:

<https://connect.ncdot.gov/resources/safety/Pages/ITS-Design-Resources.aspx>

If assistance is needed, contact the Engineer.

**4. Non-Standard Foundation Design:**

Design non-standard foundations based upon site-specific soil test information collected in accordance with Section 2 (Soil Test). Design drilled piers for side resistance in accordance with Section 10.8 of the *2014 AASHTO LRFD Bridge Design Specifications, 7<sup>th</sup> Edition*. Use computer software LPILE version-6.0 or later manufactured by Ensoft, Inc. to analyze drilled piers. Use computer software gINT V8i or later manufactured by Bentley Systems, Inc. with the current NCDOT gINT library and data template to produce SPT boring logs. Provide a drilled pier foundation for each pole with a length and diameter resulting in horizontal lateral movement less than 1 inch at top of the pier, and horizontal rotational movement less than 1 inch at the edge of pier. Contact the Engineer for pole loading diagrams of standard poles used for non-standard foundation designs. Submit non-standard foundation designs including drawings, calculations, and soil boring logs to the Engineer for review and approval before construction.

**C. Drilled Pier Construction:**

Construct drilled pier foundation and Install anchor rod assemblies in accordance with the *Foundations and Anchor Rod Assemblies for Metal Poles* Standard Special Provision SP09-R005 located at:

<https://connect.ncdot.gov/resources/Specifications/Pages/2024-Specifications-and-Special-Provisions.aspx>

**5.3. POLE NUMBERING SYSTEM**

**New Poles**

Attach an identification tag to each pole shaft section as shown on Metal Pole Standard Sheet M2 “Typical Fabrication Details for All Metal Poles.”

**5.4. MEASUREMENT AND PAYMENT**

Actual number of metal poles with single mast arms furnished, installed, and accepted.

Actual number of designs for mast arms with metal poles furnished and accepted.

Actual number of soil tests with SPT borings drilled furnished and accepted.

Actual volume of concrete poured in cubic yards of drilled pier foundation furnished, installed and accepted.

No measurement will be made for foundation designs prepared with metal pole designs, as these will be considered incidental to designing Traffic Signal , CCTV or MVD support structures.

**Payment will be made under:**

Metal Pole with Single Mast Arm .....	Each
Mast Arm with Metal Pole Design .....	Each
Soil Test .....	Each
Drilled Pier Foundation.....	Cubic Yard

## 6. PROTECTIVE COATING FOR METAL POLES

### 6.1. General

This special provision is intended for use as an additional treatment to metal traffic signal structures installed in areas where maintaining an aesthetic appearance is important and specified in the project documents. The provision contains all of the requirements necessary to accomplish this additional treatment to galvanized steel traffic signal structures fabricated by a steel manufacturer using their local powder coating/paint facility and includes the material and shop certification requirements. The provision also contains pay items for protective coating treatment to aluminum signal and pedestrian pedestals that are Standard Specification items (See Section 1743 and associated Standard Drawings). These aluminum pedestals are on the Qualified Product List (QPL), and as such would not likely be powder coated at the same facility and thus not bound by the material certification requirements in this provision. In this case, the pedestal supplier should comply with Type 6 – Supplier’s Certification as defined in Section 106-3 of the Standard Specification.

### 6.2. Description

**Protective coating for metal poles is a supplemental durable color coating that is applied to galvanized steel and aluminum traffic signal structures. Powder Coating is the preferred supplemental protective coating process for coating galvanized steel and aluminum structures. However, for the purposes of this special provision, an Acrylic Primer and topcoat paint system is included as an acceptable alternative when protective color coating is required.**

Provide protective coating over galvanization for all steel poles including all necessary hardware in accordance with the plans and specifications.

### 6.3. Materials

With the exception of aluminum components, furnish all metal poles with galvanic protection along with a tough and durable application of protective coating. Aluminum components shall have a durable powder coating application. Galvanization is not required for aluminum components.

Furnish pole caps that have a low gloss powder finish applied over a hot-dipped galvanized surface. Comply with the applicable provisions of Section 442-10 and 442-13 of the 2024 Standard Specifications.

**Ensure the selected color for protective coating has been verified and approved by the Engineer prior to fabrication.**

**The color selected for this design shall match Federal 595C Chip 17038, Semi-Gloss Black.**

### 6.4. Facility Approval

The Department maintains an approved producer/supplier listing for various facility types associated with this work, which include powder applicator (PA), structural steel galvanizer (SSG), and structural steel shop coating facilities (SCF). A complete list of approved facilities can be found at the following weblink: <https://apps.ncdot.gov/vendor/ApprovedProducts/Producer.aspx> Approve the coating shop facility prior to the application of any coating process. Submit all new facility requests, procedures, and documents electronically to:

Materials and Test  
1801 Blue Ridge Road  
Raleigh, NC 27607  
Attn: Manufactured Products Engineer

## Powder Coating Shop Approval

- A) Submit a quality control procedure that the company has established to ensure a quality and durable coating. The quality control procedure shall contain at a minimum the following:
- Qualified / Certified personnel to manage the QC Program and to conduct Quality Control tests
  - Qualified / certified coaters
  - Source and type of powder
  - How the powder will be stored
  - Powder application facility (heated or unheated)
  - Surface pre-treatment
  - Surface preparation including profile
  - Application methods
  - Curing conditions (conventional or infrared)
  - Curing Temperature
  - Adhesion & Holiday Detection
  - Repair Procedure
  - Storage and protection of coated items
  - Shipping and handling (packing, protection, and wrapping)
- B) Submit a powder certification from the manufacturer
- C) Submit the following to the Chemical Testing Engineer a minimum of four weeks prior to coating application.
1. Two test panels of ASTM A36 steel,  $\frac{1}{4}$  or greater in thickness measuring 8 inches by 11 inches using the proposed color of the final coat; a powder coated over galvanized test panel and a powder coated over un-galvanized test panel.
  2. In addition, provide two (2) samples of the same or comparable material and thickness as production pieces. Ensure production piece replicas do not exceed twelve inches (12") in length and width nor 50 pounds in weight.
  3. Submit all test panels with inspection reports and records according to *Standard Specifications*, Section 442, Section 1072, Section 1076, and Section 1080.
  4. Acceptance of the panels is determined by meeting the requirements of ASTM D-4541 of 800 psi for both galvanized and un-galvanized and production piece test panels.
  5. Send all panels to:  
Materials and Tests Unit  
1801 Blue Ridge Road  
Raleigh, NC 27607  
Attn: Chemical Lab

## 6.5. POWDER COATING

### A. Galvanizing

Galvanize steel products in accordance with Section 1076 of the Standard Specifications. Ensure the fabricator or designated representative(s) that is supplying the components to be galvanized communicates with the galvanizer to indicate that the galvanized pieces will be powder coated to avoid water or chromate quenching.

### B. Surface Preparation

Comply with manufacturer's recommended surface coating specifications, Steel Structure Painting Council (SSPC) specifications and applicable articles of Section 442 (Painting Steel Structures) of the Standard Specifications. Ensure that surface preparations and treatments are performed and meet the requirements of the above referenced specifications.

Some pole components, specifically steel plates  $\frac{3}{4}$  inches or more in thickness, may need blast cleaning prior to structure assembly to remove impurities and non-metallic foreign materials. Mechanically remove all weld flux after structure is assembled

Degrease and prepare steel structure for zinc coating after assembly using full immersion baths and pickling processes in heat controlled caustic and acid solutions. Rinse and clean structure to remove caustic or acid solutions by immersion in a circulating fresh water bath. Immerse structure in a heat controlled concentrated zinc ammonium chloride flux solution and air dry as a final prep before hot-dip galvanization.

Ensure that the surface preparation is no less than specified by the powder manufacturer's recommendations. Prepare all components to be coated in accordance with SSPC SP-2 (Hand Tool Cleaning) and/or SSPC SP-3 (Power Tool Cleaning). Remove all drainage spikes, high spots, protrusions or other surface defects using hand or power tools. Do not remove the galvanization below the limits set forth in AASHTO M111.

Remove grease, oils, moisture, scale, rust or any other foreign matter prior to powder coating to ensure ideal adhesion and coating performance. Prepare and coat the galvanized surface as soon as possible after the galvanization process.

### C. Powder Coating Application and Curing

Prepare galvanized finish for powder coating by brush blasting in accordance with SSPC-SP7. Ensure all threaded components of the structure are protected from damage during blasting process.

Use thermosetting powder resin that meets 5A or 5B classifications of ASTM D3359. Apply powder coating electrostatically. Follow manufacturer's recommended preheating requirements. Ensure the topcoat finish is applied uniformly to all surfaces with a dry film thickness of between 3.0 to 5.0 mils. Cure the topcoat by heating the structure to manufacturer recommended temperatures at the duration required to ensure complete and uniform bond.

### D. Quality Control

Ensure the applicator provides all test reports and documentation and inspects all coated material as outlined in the Standard Specifications, Section 442, Section 1072, Section 1076, and Section 1080. Ensure the quality control inspection is kept separate from the production functions.

### E. Storage, Shipping, and Handling

Store all powder coated material inside or as directed by the Engineer.

Protect the product from incurring damage during all shipping, handling, and storing activities. Do not store the product directly on the ground or in areas where water may pool; the Engineer determines the effectiveness of all storage, shipping and handling methods.

#### **F. Repair of Powder Coated Material**

Repair all damage to the coating by the original method of application as outlined in the coating facility's repair procedure. Ensure all repair areas meet the original requirements for adhesion as stated in this Project Special Provision.

Photograph, document, and report all damages upon delivery to the project site prior to unloading. Provide documented damage notifications to the Engineer or to their authorized representative so the application firm can be notified. The Engineer has the authority to accept or reject the material as outlined in the Standard Specifications.

Submit to the Engineer a repair procedure for damaged coatings which occur during storage, transporting, handling and or installation. Utilize a liquid paint approved by the Department, compatible with the powder applied product. Ensure all repair areas demonstrate an adhesion rating of 400 psi in accordance with ASTM D-4541. Obtain Engineer's acceptance of the final finish.

### **6.6.ACRYLIC PRIMER AND TOPCOAT PAINT SYSTEM**

#### **A. Description**

Follow NCDOT procedures for Powder Coating over Galvanizing. Provide an Acrylic Primer and topcoat when a substitute for powder coating is necessary.

Provide supplemental coating for all mast arms with metal signal poles and all necessary hardware for the signalized intersection in accordance with the Structural Steel Shop Coatings Program, NCDOT Standard specifications – sections 442 and 1080, as contained herein, and as shown on the plans. The Structural Steel Shop Coatings Program can be found at the following link: <https://connect.ncdot.gov/resources/Materials/MaterialsResources/Structural%20Steel%20Shop%20Coatings%20Program.pdf>

Ensure all painting work for new structures, except field touch-up and bolt painting is performed in the shop.

#### **Coatings Shop Approval**

Use only NCDOT approved shop coating facilities meeting the requirements outlined in the current edition of the Structural Steel Shop Coatings Program. This program is available on the Materials and Tests website. [Structural Steel Shop Coatings Program.pdf \(ncdot.gov\)](#)

Provide shop certification in accordance with the Structural Steel Shop Coatings Program (Shop facilities that are currently certified and in good standing with the American Institute Steel Construction (AISC) / Sophisticated Paint Endorsement (SPE) and/or the Society of Protective Coatings (SSPC) Qualification Procedure Three (QP-3).

#### **B. Surface Preparation**

Ensure all surface preparation is not less than that specified by the paint manufacturer's recommendations.

Clean galvanized surfaces to be painted with a 2,500-psi pressure washer. Allow surfaces to dry completely before beginning surface preparation.

Ensure all components to be coated are prepared in accordance with SSPC SP2 (Hand Tool Cleaning and or SSPC SP-3 (Power Tool Cleaning). Smooth high spots and rough edges, such as

metal drip lines, of galvanized surfaces in accordance with ASTM D6386. Do not remove the galvanization below the limits set forth in AASHTO M111.

Perform abrasive sweep blasting in accordance with ASTM D6386. Refer to this section for a description of the abrasive blast material to be used. Use a material and technique capable of stripping action to remove corrosion products and to provide a rough surface profile while leaving base zinc layers intact.

Blow down all blasted surfaces with clean compressed air to provide a clean, dry surface.

Ensure all surfaces are free of visible zinc oxides or zinc hydroxides.

### C. Materials

Use an approved/qualified waterborne paint meeting the requirements of NCDOT Standard specification section 1080. Do not apply paint until each batch has been tested by the Department. Provide color as specified in the contract documents.

Ensure all paint used on this contract is produced by the same manufacturer.

### D. Painting

Apply paint in accordance with the requirements of the Structural Steel Shop Coatings Program, Section 442 and Section 1080 of the *Standard Specifications* as modified herein.

#### System for Paint over Galvanize Acrylic Primer and Topcoats

Coat	Material	Mils Dry/Wet Film	Mils Dry/Wet Film
		Thickness	Thickness
		Minimum	Maximum
Primer	1080-9 White	3.0 DFT	5.0 DFT
Stripe	1080-9 *	4.0 WFT	7.0 WFT
Topcoat	1080-9 *	2.0 DFT	4.0 DFT
<b>Total</b>		5.0 DFT	9.0 DFT

**\*Ensure the selected color for protective coating has been verified and approved by the Engineer prior to fabrication.**

The time between blast and coating application shall be in accordance with ASTM D6386 time requirements. In no case shall the prepared surface extend beyond 8 hours.

Mask off and do not paint all data plates and faying surfaces prior to application.

Spray apply all coatings except for the stripe coat. Brush apply the stripe coat to all plate edges, welds, bolt holes and bolts prior to applying the finish coat.

### E. Curing

Follow manufacturer recommendations.

### F. Inspection

Quality Control shall conduct the required quality control tests as outlined in the Structural Steel Shop Coatings Program and report the minimum information required by the appropriate ASTM test

methods. At a minimum, quality control forms shall be on company letterhead with logo that provides a daily inspection report form equivalent to the information required on the M&T-611 Form. The M&T-611 Form can be found in the Structural Steel Shop Coatings Program. Dry Film Thickness (DFT) measurements shall be obtained on all coating layers, including the galvanized layer and shall incorporate the use of a Type 2 gauge as defined in SSPC PA-2.

Ensure all material is of a uniform appearance free of runs, drips, and sags.

**G. Handling**

Do not handle, ship, or erect coated members until paint is thoroughly dry.

Protect all shipping and handling either from the coating facility to project site and or storage site to area(s) to construction location from incurring damage to product. Wood blocks and nylon slings are recommended for securing, loading, hoisting or storing members.

**H. Repair of Damaged Coating**

Repair damage occurring to the galvanized portion of the coating during shipment or installation in accordance with Articles 1076-7 and 1080-7 of the *Standard Specifications*. Repair damage occurring to the painted portion of the coating during shipment or installation by applying 4.0-7.0 wet mils of topcoat with a brush or roller and feather or taper this to be level with the surrounding areas.

**6.7. MEASUREMENT AND PAYMENT**

Actual number of single mast arm poles with protective coating applied furnished, installed, and accepted.

Actual number of signal TYPE II pedestals with protective coating applied furnished, installed, and accepted.

**Payment will be made under:**

Protective Coating for Single Mast Arm Pole (Semi-Gloss Black).....	Each
Protective Coating for Type II Signal Pedestal (Semi-Gloss Black).....	Each

STATE OF NORTH CAROLINA AFFIDAVIT

Town of Kernersville – Fire Station #42, NC HWY 66

\*\*\*\*\*

I, \_\_\_\_\_(the individual attesting below), being duly authorized by and on behalf of \_\_\_\_\_, the Employer, after first being duly sworn hereby state as follows:

1. Employer understands that E-Verify is the federal E-Verify program operated by the United States Department of Homeland Security and other federal agencies, or any successor or equivalent program used to verify the work authorization of newly hired employees pursuant to federal law in accordance with NCGS §64-25(5).
2. Employer understands that Employers (as defined in paragraph 3) must Use E-Verify. Each employer, after hiring an employee to work in the United States, shall verify the work authorization of the employee through E-Verify in accordance with NCGS§64-26(a).
3. Employer is a person, business entity, or other organization that transacts business in this State and that employs 25 or more employees in this State. (mark Yes or No)
  - a. YES \_\_\_\_\_, or
  - b. NO \_\_\_\_\_
4. Employer's subcontractors comply with E-Verify, and Employer will ensure compliance with E-Verify by any subcontractors subsequently hired by Employer.

This \_\_\_\_ day of \_\_\_\_\_, 2026.

\_\_\_\_\_  
Signature of Affiant  
Print or Type Name: \_\_\_\_\_, Title: \_\_\_\_\_

State of North Carolina  
\_\_\_\_\_ County

Sworn to and subscribed before me this \_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_.

\_\_\_\_\_  
Notary Public  
My commission expires \_\_\_\_\_.

**FORM W-9**

[Rev. 1-92; Rev. 10-94  
for Division Contract Use]

Pursuant to Internal Revenue Service Regulations, vendors must furnish their **Taxpayer Identification Number (TIN)** to the State. **If this number is not provided, you may be subject to a 31% withholding on each payment.** To avoid this 31% withholding and to insure that accurate tax information is reported to the Internal Revenue Service and the State, please use this form to provide the requested information **exactly as it appears on file with the IRS.**

Legal Business Name \_\_\_\_\_

Address \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

9 Digit Taxpayer Identification Number \_\_\_\_\_  
Social Security Number \_\_\_\_\_  
Federal Employer Identification Number \_\_\_\_\_

Business Designation (Check One) \_\_\_\_\_ Individual (Soc.Sec. #)  
\_\_\_\_\_ Sole Proprietorship (Soc.Sec. #)  
\_\_\_\_\_ Partnership (Fed. ID)  
\_\_\_\_\_ Estate/Trust (Fed. ID)  
\_\_\_\_\_ Corporation (Fed. ID)  
\_\_\_\_\_ Public Service Corporation (Fed. ID)  
\_\_\_\_\_ Governmental/Non-Profit (Fed. ID)

Under penalties of perjury, I declare that I have examined this request and to the best of my knowledge and belief, it is true, correct, and complete. I have not been notified by the IRS that I am subject to backup withholding for failure to report income.

\_\_\_\_\_  
Name (Print or Type name of individual-not company) Title (Print or Type)

\_\_\_\_\_  
Signature Date Telephone Number

## **MINORITY BUSINESS CONTRACT PROVISIONS (CONSTRUCTION)**

### **SECTION A: INTENT**

It is the intent of these guidelines that the Town of Kernersville, as awarding authority for this construction project, and the contractors and subcontractors performing the construction contract (s) awarded shall cooperate and in good faith do all things legal, proper and reasonable to achieve the statutory goal of ten percent (10%) for participation by minority businesses in each construction project as mandated by GS 143-128.2. Nothing in these guidelines shall be construed to require contractors or awarding authorities to award contracts or subcontracts to or to make purchases of materials or equipment from minority-business contractors or minority-business subcontractors who do not submit the lowest responsible, responsive bid or bids.

### **SECTION B: DEFINITIONS**

1. Minority - a person who is a citizen or lawful permanent resident of the United States and who is:
  - A. Black, that is, a person having origins in any of the black racial groups in Africa;
  - B. Hispanic, that is, a person of Spanish or Portuguese culture with origins in Mexico, South or Central America, or the Caribbean Islands, regardless of race;
  - C. Asian American, that is, a person having origins in any of the original peoples of the Far East, Southeast Asia and Asia, the Indian subcontinent, or the Pacific Islands;
  - D. American Indian; that is, a person having origins in any of the original Indian people of North America; or
  - E. Female.
  
2. Minority Business – means a business:
  - A. In which at least fifty-one percent (51%) is owned by one or more minority persons, or in the case of a corporation, in which at least fifty-one percent (51%) of the stock is owned by one or more minority persons or socially or economically disadvantaged individuals; and
  - B. Of which the management and daily business operations are controlled by one or more of the minority persons or socially and economically disadvantaged individuals who own it.
  
3. Socially and economically disadvantaged individual – means the same as defined in 15 U.S.C. 637. “Socially disadvantaged individuals are those who have been subjected to racial or ethnic prejudice or cultural bias because of their identity as a member of a group without regard to their individual qualities”. “Economically disadvantaged individuals are those socially disadvantaged individuals whose ability to compete in the free enterprise system has been impaired due to diminished capital and credit opportunities as compared to others in the same business area who are not socially disadvantaged”.
  
4. Public Entity – means State and all public subdivisions and local government units.
  
5. Owner – Town of Kernersville.

## **SECTION C: RESPONSIBILITIES**

1. Office for Historically Underutilized Businesses, Department of Administration (hereinafter referred to as HUB Office).

The HUB Office has established a program, which allows interested persons or businesses qualifying as a minority business under G.S. 143-128.2, to obtain certification in the State of North Carolina procurement system. The information provided by the minority businesses will be used by the HUB Office to:

- A. Identify those areas of work for which there are minority businesses, as requested.
- B. Make available to interested parties a list of prospective minority business contractors and subcontractors.
- C. Assist in the determination of technical assistance needed by minority business contractors.

In addition to being responsible for the certification/verification of minority businesses that want to participate in the State construction program, the HUB Office will:

- (1) Maintain a current list of minority businesses. The list shall include the areas of work in which each minority business is interested.
- (2) Inform minority businesses on how to identify and obtain contracting and subcontracting opportunities through the State Construction Office and other public entities.
- (3) Inform minority businesses of the contracting and subcontracting process for public construction building projects.
- (4) Work with the North Carolina trade and professional organizations to improve the ability of minority businesses to compete in the State construction projects.
- (5) The HUB Office also oversees the minority business program by:
  - a. Monitoring compliance with the program requirements.
  - b. Assisting in the implementation of training and technical assistance programs.
  - c. Identifying and implementing outreach efforts to increase the utilization of minority businesses.
  - d. Reporting the results of minority business utilization to the Secretary of the Department of Administration, the Governor, and the General Assembly.

2. Owner– Town of Kernersville

- A. Develop and implement a minority business participation outreach plan to identify minority businesses that can perform public building projects and to implement outreach efforts to encourage minority business participation in these projects to include education, recruitment, and interaction between minority businesses and non-minority businesses.
- B. Furnish to the Historically Underutilized Business Office a minimum of twenty-one (21) days prior to the bid opening the following:

- (1) Project description and location;
- (2) Locations where bidding documents may be reviewed;
- (3) Name of representative of the owner who can be contacted during the advertising period to advise who the prospective bidders are;
- (4) Date, time and location of the bid opening.
- (5) Date, time and location of prebid conference, if scheduled.

- C. Attend the scheduled prebid conference.
- D. At least 10 days prior to the scheduled day of bid opening, notify minority businesses that have requested notices from the public entity for public construction or repair work and minority businesses that otherwise indicated to the Office for Historically Underutilized Businesses an interest in the type or work being bid or the potential contracting opportunities listed in the proposal. The notification shall include the following:
  - (1) A description of the work for which the bid is being solicited.
  - (2) The date, time, and location where bids are to be submitted.
  - (3) The name of the individual within the owner’s organization who will be available to answer questions about the project.
  - (4) Where bid documents may be reviewed.
  - (5) Any special requirements that may exist.
- E. Utilize other media, as appropriate, likely to inform potential minority businesses of the bid being sought.
- F. Maintain documentation of any contracts, correspondence, or conversation with minority business firms made in an attempt to meet the goals.
- G. Review, jointly with the designer, all requirements of G.S. 143-128.2(c) and G.S. 143-128.2(f)- (i.e. bidders’ proposals for identification of the minority businesses that will be utilized with corresponding total dollar value of the bid and affidavit listing good faith efforts, or affidavit of self-performance of work, if the contractor will perform work under contract by its own workforce). The Town of Kernersville reserves the right to reject any or all bids and to waive informalities.
- H. Reviewing of minority business requirements at Preconstruction conference.
- I. Monitoring of contractors’ compliance with minority business requirements in the contract documents during construction.
- J. Review prime contractors’ pay applications for compliance with minority business utilization commitments prior to payment.
- K. Make documentation showing evidence of implementation of Owner’s responsibilities available for review by State Construction Office and HUB Office, upon request.

3. Designer – Summit Design & Engineering Services

Under the single-prime bidding, separate prime bidding, construction manager at risk, or alternative contracting method, the designer will:

- A. Attend the scheduled prebid conference to explain minority business requirements to the prospective bidders.
- B. Assist the owner to identify and notify prospective minority business prime and subcontractors of potential contracting opportunities.
- C. Maintain documentation of any contacts, correspondence, or conversation with minority business firms made in an attempt to meet the goals.
- D. Review jointly with the owner, all requirements of G.S. 143-128.2(c) and G.S. 143-128.2(f)- (i.e. bidders’ proposals for identification of the minority businesses that will be utilized with corresponding total dollar value of the bid and affidavit listing Good Faith Efforts, or affidavit of self-performance of work, if the contractor will perform work under contract by its own workforce) –prior to recommendation of award.
- E. During construction phase of the project, review “MBE Documentation for Contract Payment” – (Appendix E) for compliance with minority business utilization commitments. Submit

Appendix E form with monthly pay applications to the owner and forward copies to the State Construction Office.

- F. Make documentation showing evidence of implementation of Designer's responsibilities available for review by owner and HUB Office, upon request.

4. Prime Contractor (s), CM at Risk, and Its First-Tier Subcontractors

Under the single-prime bidding, the separate-prime bidding, construction manager at risk and alternative contracting methods, contractor (s) will:

- A. Attend the scheduled prebid conference.
- B. Identify or determine those work areas of a subcontract where minority businesses may have an interest in performing subcontract work.
- C. At least ten (10) days prior to the scheduled day of bid opening, notify minority businesses of potential subcontracting opportunities listed in the proposal. The notification will include the following:
  - (1) A description of the work for which the subbid is being solicited.
  - (2) The date, time and location where subbids are to be submitted.
  - (3) The name of the individual within the company who will be available to answer questions about the project.
  - (4) Where bid documents may be reviewed.
  - (5) Any special requirements that may exist, such as insurance, licenses, bonds and financial arrangements.

If there are more than three (3) minority businesses in the general locality of the project who offer similar contracting or subcontracting services in the specific trade, the contractor(s) shall notify three (3), but may contact more, if the contractor(s) so desires.

- D. During the bidding process, comply with the contractor(s) requirements listed in the proposal for minority participation.
- E. Identify on the bid, the minority businesses that will be utilized on the project with corresponding total dollar value of the bid and affidavit listing good faith efforts as required by G.S. 143-128.2(c) and G.S. 143-128.2(f).
- F. Make documentation showing evidence of implementation of PM, CM-at-Risk and First-Tier Subcontractor responsibilities available for review by State Construction Office and HUB Office, upon request.
- G. Upon being named the apparent low bidder, the Bidder shall provide one of the following: (1) an affidavit (Affidavit C) that includes a description of the portion of work to be executed by minority businesses, expressed as a percentage of the total contract price, which is equal to or more than the applicable goal; (2) if the percentage is not equal to the applicable goal, then documentation of all good faith efforts taken to meet the goal. Failure to comply with these requirements is grounds for rejection of the bid and award to the next lowest responsible and responsive bidders.
- H. The contractor(s) shall identify the name(s) of minority business subcontractor(s) and corresponding dollar amount of work on the schedule of values. The schedule of values shall be provided as required in Article 14 of the General Conditions of the Contract to facilitate payments to the subcontractors.
- I. The contractor(s) shall submit with each monthly pay request(s) and final payment(s), "MBE Documentation for Contract Payment" – (Appendix E), for designer's review.
- J. During the construction of a project, at any time, if it becomes necessary to replace a minority business subcontractor, immediately advise the owner, State Construction Office, and the

Director of the HUB Office in writing, of the circumstances involved. The prime contractor shall make a good faith effort to replace a minority business subcontractor with another minority business subcontractor.

- K. If during the construction of a project additional subcontracting opportunities become available, make a good faith effort to solicit subbids from minority businesses.
- L. It is the intent of these requirements apply to all contractors performing as prime contractor and first tier subcontractor under construction manager at risk on state projects.

#### 5. Minority Business Responsibilities

While minority businesses are not required to become certified in order to participate in the State construction projects, it is recommended that they become certified and should take advantage of the appropriate technical assistance that is made available. In addition, minority businesses who are contacted by owners or bidders must respond promptly whether or not they wish to submit a bid.

#### **SECTION D: DISPUTE PROCEDURES**

It is the policy of this state that disputes that involves a person's rights, duties or privileges, should be settled through informal procedures. To that end, minority business disputes arising under these guidelines should be resolved as governed under G.S. 143-128(g).

#### **SECTION E: MINORITY BUSINESS SUBCONTRACT GOALS:**

The goals for participation by minority firms as subcontractors on this project have been set at **10%**.

The bidder must identify on its bid, the minority businesses that will be utilized on the project with corresponding total dollar value of the bid and affidavit (Affidavit A) listing good faith efforts or affidavit (Affidavit B) of self-performance of work, if the bidder will perform work under contract by its own workforce, as required by G.S. 143-128.2(c) and G.S. 143-128.29(f).

The lowest responsible, responsive bidder must provide, within 72 hours after notification of being low bidder, Affidavit C, that includes a description of the portion of work to be executed by minority businesses, expressed as a percentage of the total contract price, which is equal to or more than the applicable goal.

**OR**

Provide Affidavit D, which includes a description of the portion of work to be executed by minority businesses, expressed as a percentage of the total contract price, **with documentation of Good Faith Effort, if the percentage is not equal to the applicable goal.**

**The above information must be provided as required. Failure to submit these documents is ground for rejection of the bid.**

## **SECTION F: MINIMUM COMPLIANCE REQUIREMENTS**

All written statements, affidavits or intentions made by the Bidder shall become a part of the agreement between the Contractor and the Town of Kernersville for performance of this contract. Failure to comply with any of these statements, affidavits or intentions, or with the minority business guidelines shall constitute a breach of the contract. A finding by the Town of Kernersville that any information submitted either prior to award of the contract or during the performance of the contract is inaccurate, false or incomplete, shall also constitute a breach of the contract. Any such breach may result in termination of the contract in accordance with the termination provisions contained in the contract. It shall be solely at the option of the Town of Kernersville whether to terminate the contract for breach.

In determining whether a contractor has made Good Faith Efforts, the Town of Kernersville will evaluate all efforts made by the Contractor and will determine compliance in regard to quantity, intensity, and results of these efforts. Good Faith Efforts include:

1. Contacting minority businesses that reasonably could have been expected to submit a quote and that were known to the contractor or available on State or local government maintained lists at least 10 days before the bid or proposal date and notifying them of the nature and scope of the work to be performed.
2. Making the construction plans, specifications and requirements available for review by prospective minority businesses, or providing these documents to them at least 10 days before the bid or proposals are due.
3. Breaking down or combining elements of work into economically feasible units to facilitate minority participation.
4. Working with minority trade, community, or contractor organizations identified by the Office for HUB and included in the bid documents that provide assistance in recruitment of minority businesses.
5. Attending any prebid meetings schedule by the owner.
6. Providing assistance in getting required bonding or insurance or providing alternatives to bonding or insurance for subcontractors.
7. Negotiating in good faith with interested minority businesses and not rejecting them as unqualified without sound reasons based on their capabilities. Any rejection of a minority business based on lack of qualification should have the reasons documented in writing.
8. Providing assistance to an otherwise qualified minority business in need of equipment, loan capital, lines of credit, or joint pay agreements to secure loans, supplies, or letters of credit, including waiving credit that is ordinarily required. Assisting minority businesses in obtaining the same unit pricing with the bidder's suppliers in order to help minority businesses in establishing credit.
9. Negotiating joint venture and partnership arrangements with minority businesses in order to increase opportunities for minority business participation on a public construction or repair project when possible.
10. Providing quick pay agreements and policies to enable minority contractors and suppliers to meet cash-flow demands.

A Good Faith effort will be deemed met by any Bidder who attempts at least 5 of the above described efforts.

**If the MBE subcontract goals are not achieved, the Bidder shall provide the following documentation to the Owner (upon request within 72 hours after notification of being low bidder).**

1. Copies of solicitations for quotes to at least three (3) MBE firms from the source list provided by the State's Office for Historically Underutilized Businesses (Address: 1336 Mail Service Center, Raleigh, North Carolina 27699-1336; Phone/Fax: 919-807-2330) for each subcontract to be let under this contract (if 3 or more firms are shown on the source list). Each solicitation shall contain a specific description of the work to be subcontracted, location where bid documents can be reviewed, representative of the Prime Bidder to contact, and location date and time when quotes must be received.
2. Copies of quotes or responses received from each firm responding to the solicitation.
3. A telephone log of follow-up calls to each firm sent a solicitation.
4. For subcontracts where an MBE firm is not considered to be the lowest responsible subbidder, copies of quotes received from all firms submitting quotes for that particular subcontract.
5. Documentation of any contracts, correspondence or conversation with MBE firms made in an attempt to meet the goals.

#### **SECTION G: SUBCONTRACT AWARD**

Upon being named apparent low bidder, the Bidder shall provide a Letter of Intent, with a description of the scope of services and dollar value from each minority firm proposed for use in this contract. Failure to provide the documentation as listed in these provisions may result in rejection of the bid and award to the next lowest responsible and responsive bidder. The Owner reserves the right to waive any irregularities in MBE documentation if they can be resolved prior to award of the contract, and the Owner finds it to be in the best interest to do so and award the contract.

#### **SECTION H: SUBCONTRACTOR PAYMENT REQUIREMENTS:**

North Carolina General Statute 143-134.1 states that the percentage retainage on payments made by the prime contractor to the subcontractor shall not exceed the percentage of retainage on payment made by the Owner to the prime contractor. Failure to comply with this provision shall be considered a breach of the contract, and the contract may be terminated in accordance with the termination provisions of the contract.

The Contractor shall provide an itemized statement of payments (Appendix E) to each MBE subcontractor with each request for payment or before final payment is processed.

#### **SECTION I: AWARD OF CONTRACT**

The Award of Contract will be made without regard to race, religion, color, creed, national origin, sex, age, or handicapping condition, as defined in G.S. 168A-3. Nothing in this section shall be construed to require contractors or awarding authorities to award contracts or subcontracts to or to make purchases of materials or equipment from minority-business contractors or minority-business subcontractors who do not submit the lowest responsible, responsive bid or bids.

#### **SECTION J: RECORDS:**

Bidders who are awarded contracts shall maintain records of all documentation relative MBE contract provisions for a period of not less than three (3) years from the date of completion of this project.



## APPENDIX E

### MBE DOCUMENTATION FOR CONTRACT PAYMENTS

Prime Contractor/Architect: \_\_\_\_\_

Address & Phone: \_\_\_\_\_

Project Name: \_\_\_\_\_

Pay Application #: \_\_\_\_\_ Period: \_\_\_\_\_

The following is a list of payments to be made to minority business contractors on this project for the above-mentioned period.

<b>MBE Firm Name</b>	<b>MBE Type</b>	<b>Amount Paid this Period</b>	<b>Total Payments to Date</b>	<b>Total Amount Committed</b>

\*Minority categories: Black, African American (**B**), Hispanic (**H**), Asian American (**A**) American Indian (**I**),  
Female (**F**) Socially and Economically Disadvantaged (**D**)

Date: \_\_\_\_\_

Approved/Certified By: \_\_\_\_\_

Name

Title

Signature

**SUBMIT DOCUMENT WITH EACH PAY REQUEST & FINAL PAYMENT**

# State of North Carolina AFFIDAVIT A – Listing of Good Faith Efforts

County of \_\_\_\_\_

(Name of Bidder)

Affidavit of \_\_\_\_\_

I have made a good faith effort to comply under the following areas checked:

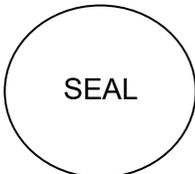
**Bidders must earn at least 50 points from the good faith efforts listed for their bid to be considered responsive.** (1 NC Administrative Code 30 I.0101)

- 1 – (10 pts)** Contacted minority businesses that reasonably could have been expected to submit a quote and that were known to the contractor, or available on State or local government maintained lists, at least 10 days before the bid date and notified them of the nature and scope of the work to be performed.
- 2 --(10 pts)** Made the construction plans, specifications and requirements available for review by prospective minority businesses, or providing these documents to them at least 10 days before the bids are due.
- 3 – (15 pts)** Broken down or combined elements of work into economically feasible units to facilitate minority participation.
- 4 – (10 pts)** Worked with minority trade, community, or contractor organizations identified by the Office of Historically Underutilized Businesses and included in the bid documents that provide assistance in recruitment of minority businesses.
- 5 – (10 pts)** Attended prebid meetings scheduled by the public owner.
- 6 – (20 pts)** Provided assistance in getting required bonding or insurance or provided alternatives to bonding or insurance for subcontractors.
- 7 – (15 pts)** Negotiated in good faith with interested minority businesses and did not reject them as unqualified without sound reasons based on their capabilities. Any rejection of a minority business based on lack of qualification should have the reasons documented in writing.
- 8 – (25 pts)** Provided assistance to an otherwise qualified minority business in need of equipment, loan capital, lines of credit, or joint pay agreements to secure loans, supplies, or letters of credit, including waiving credit that is ordinarily required. Assisted minority businesses in obtaining the same unit pricing with the bidder's suppliers in order to help minority businesses in establishing credit.
- 9 – (20 pts)** Negotiated joint venture and partnership arrangements with minority businesses in order to increase opportunities for minority business participation on a public construction or repair project when possible.
- 10 - (20 pts)** Provided quick pay agreements and policies to enable minority contractors and suppliers to meet cash-flow demands.

The undersigned, if apparent low bidder, will enter into a formal agreement with the firms listed in the Identification of Minority Business Participation schedule conditional upon scope of contract to be executed with the Owner. Substitution of contractors must be in accordance with GS143-128.2(d) Failure to abide by this statutory provision will constitute a breach of the contract.

The undersigned hereby certifies that he or she has read the terms of the minority business commitment and is authorized to bind the bidder to the commitment herein set forth.

Date: \_\_\_\_\_ Name of Authorized Officer: \_\_\_\_\_  
Signature: \_\_\_\_\_  
Title: \_\_\_\_\_



State of \_\_\_\_\_, County of \_\_\_\_\_  
Subscribed and sworn to before me this \_\_\_\_\_ day of \_\_\_\_\_ 20\_\_\_\_  
Notary Public \_\_\_\_\_  
My commission expires \_\_\_\_\_

# State of North Carolina AFFIDAVIT B – Intent to Perform Contract with Own Workforce.

County of \_\_\_\_\_

Affidavit of \_\_\_\_\_  
(Name of Bidder)

I hereby certify that it is our intent to perform 100% of the work required for the \_\_\_\_\_  
\_\_\_\_\_ contract.  
(Name of Project)

In making this certification, the Bidder states that the Bidder does not customarily subcontract elements of this type project, and normally performs and has the capability to perform and will perform all elements of the work on this project with his/her own current work forces; and

The Bidder agrees to provide any additional information or documentation requested by the owner in support of the above statement. The Bidder agrees to make a Good Faith Effort to utilize minority suppliers where possible.

The undersigned hereby certifies that he or she has read this certification and is authorized to bind the Bidder to the commitments herein contained.

Date: \_\_\_\_\_ Name of Authorized Officer: \_\_\_\_\_  
Signature: \_\_\_\_\_  
Title: \_\_\_\_\_



State of \_\_\_\_\_, County of \_\_\_\_\_  
Subscribed and sworn to before me this \_\_\_\_\_ day of \_\_\_\_\_ 20\_\_\_\_  
Notary Public \_\_\_\_\_  
My commission expires \_\_\_\_\_

## State of North Carolina AFFIDAVIT C – Portion of the Work to be Performed by HUB Certified/Minority Businesses

County of \_\_\_\_\_

**(Note this form is to be submitted only by the apparent lowest responsible, responsive bidder.)**

If the portion of the work to be executed by HUB certified/minority businesses as defined in GS143-128.2(g) and 128.4(a),(b),(e) is equal to or greater than 10% of the bidders total contract price, then the bidder must complete this affidavit.  
 This affidavit shall be provided by the apparent lowest responsible, responsive bidder within **72 hours** after notification of being low bidder.

Affidavit of \_\_\_\_\_ I do hereby certify that on the \_\_\_\_\_  
(Name of Bidder)

\_\_\_\_\_ (Project Name)  
 Project ID# \_\_\_\_\_ Amount of Bid \$ \_\_\_\_\_

I will expend a minimum of \_\_\_\_\_% of the total dollar amount of the contract with minority business enterprises. Minority businesses will be employed as construction subcontractors, vendors, suppliers or providers of professional services. Such work will be subcontracted to the following firms listed below.

Attach additional sheets if required

Name and Phone Number	*Minority Category	**HUB Certified Y/N	Work Description	Dollar Value

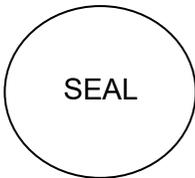
\*Minority categories: Black, African American (B), Hispanic (H), Asian American (A) American Indian (I), Female (F) Socially and Economically Disadvantaged (D)

**\*\* HUB Certification with the state HUB Office required to be counted toward state participation goals.**

Pursuant to GS143-128.2(d), the undersigned will enter into a formal agreement with Minority Firms for work listed in this schedule conditional upon execution of a contract with the Owner. Failure to fulfill this commitment may constitute a breach of the contract.

The undersigned hereby certifies that he or she has read the terms of this commitment and is authorized to bind the bidder to the commitment herein set forth.

Date: \_\_\_\_\_ Name of Authorized Officer: \_\_\_\_\_  
 Signature: \_\_\_\_\_  
 Title: \_\_\_\_\_



State of \_\_\_\_\_, County of \_\_\_\_\_  
 Subscribed and sworn to before me this \_\_\_\_\_ day of \_\_\_\_\_ 20\_\_\_\_  
 Notary Public \_\_\_\_\_  
 My commission expires \_\_\_\_\_

# State of North Carolina AFFIDAVIT D – Good Faith Efforts

County of \_\_\_\_\_

**(Note this form is to be submitted only by the apparent lowest responsible, responsive bidder.)**

If the goal of 10% participation by HUB Certified/ minority business **is not** achieved, the Bidder shall provide the following documentation to the Owner of his good faith efforts:

Affidavit of \_\_\_\_\_ I do hereby certify that on the  
 \_\_\_\_\_  
 (Name of Bidder)

\_\_\_\_\_ (Project Name)  
 Project ID# \_\_\_\_\_ Amount of Bid \$ \_\_\_\_\_

I will expend a minimum of \_\_\_\_\_% of the total dollar amount of the contract with HUB certified/ minority business enterprises. Minority businesses will be employed as construction subcontractors, vendors, suppliers or providers of professional services. Such work will be subcontracted to the following firms listed below.  
 (Attach additional sheets if required)

Name and Phone Number	*Minority Category	**HUB Certified Y/N	Work Description	Dollar Value

\*Minority categories: Black, African American (**B**), Hispanic (**H**), Asian American (**A**) American Indian (**I**), Female (**F**) Socially and Economically Disadvantaged (**D**)

**\*\* HUB Certification with the state HUB Office required to be counted toward state participation goals.**

**Examples** of documentation that may be required to demonstrate the Bidder's good faith efforts to meet the goals set forth in these provisions include, but are not necessarily limited to, the following:

- A. Copies of solicitations for quotes to at least three (3) minority business firms from the source list provided by the State for each subcontract to be let under this contract (if 3 or more firms are shown on the source list). Each solicitation shall contain a specific description of the work to be subcontracted, location where bid documents can be reviewed, representative of the Prime Bidder to contact, and location, date and time when quotes must be received.
- B. Copies of quotes or responses received from each firm responding to the solicitation.
- C. A telephone log of follow-up calls to each firm sent a solicitation.
- D. For subcontracts where a minority business firm is not considered the lowest responsible sub-bidder, copies of quotes received from all firms submitting quotes for that particular subcontract.
- E. Documentation of any contacts or correspondence to minority business, community, or contractor organizations in an attempt to meet the goal.
- F. Copy of pre-bid roster
- G. Letter documenting efforts to provide assistance in obtaining required bonding or insurance for minority business.
- H. Letter detailing reasons for rejection of minority business due to lack of qualification.
- I. Letter documenting proposed assistance offered to minority business in need of equipment, loan capital, lines of credit, or joint pay agreements to secure loans, supplies, or letter of credit, including waiving credit that is ordinarily required.
- J. Failure to provide the documentation as listed in these provisions may result in rejection of the bid and award to the next lowest responsible and responsive bidder.

Pursuant to GS143-128.2(d), the undersigned will enter into a formal agreement with Minority Firms for work listed in this schedule conditional upon execution of a contract with the Owner. Failure to fulfill this commitment may constitute a breach of the contract.

The undersigned hereby certifies that he or she has read the terms of this commitment and is authorized to bind the bidder to the commitment herein set forth.

Date: \_\_\_\_\_ Name of Authorized Officer: \_\_\_\_\_

Signature: \_\_\_\_\_

Title: \_\_\_\_\_



State of \_\_\_\_\_, County of \_\_\_\_\_

Subscribed and sworn to before me this \_\_\_\_\_ day of \_\_\_\_\_ 20\_\_\_\_

Notary Public \_\_\_\_\_

My commission expires \_\_\_\_\_

## APPENDIX E

### MBE DOCUMENTATION FOR CONTRACT PAYMENTS

Prime Contractor/Architect: \_\_\_\_\_

Address & Phone: \_\_\_\_\_

Project Name: \_\_\_\_\_

Pay Application #: \_\_\_\_\_ Period: \_\_\_\_\_

The following is a list of payments to be made to minority business contractors on this project for the above-mentioned period.

<b>MBE Firm Name</b>	<b>MBE Type</b>	<b>Amount Paid this Period</b>	<b>Total Payments to Date</b>	<b>Total Amount Committed</b>

\*Minority categories: Black, African American (**B**), Hispanic (**H**), Asian American (**A**) American Indian (**I**),  
Female (**F**) Socially and Economically Disadvantaged (**D**)

Date: \_\_\_\_\_

Approved/Certified By: \_\_\_\_\_

Name

Title

Signature

**SUBMIT DOCUMENT WITH EACH PAY REQUEST & FINAL PAYMENT**

**CERTIFICATION OF ELIGIBILITY  
Under the Iran Divestment Act**

Pursuant to G.S. 143C-6A-6, any person identified as engaging in investment activities in Iran, determined by appearing on the Final Divestment List created by the State Treasurer pursuant to G.S. 143C-6A-4, is ineligible to contract with the State of North Carolina or any political subdivision of the State. The Iran Divestment Act of 2015, G.S. 143C-6A-1 *et seq.* requires that each vendor, prior to contracting with the State certify, and the undersigned on behalf of the Vendor does hereby certify, to the following:

1. that the vendor is not identified on the Final Divestment List of entities that the State Treasurer has determined engages in investment activities in Iran;
2. that the vendor shall not utilize on any contract with the State agency any subcontractor that is identified on the Final Divestment List; and
3. that the undersigned is authorized by the Vendor to make this Certification.

Vendor: \_\_\_\_\_

By: \_\_\_\_\_  
Signature Date

\_\_\_\_\_  
Printed Name Title

The State Treasurer’s Final Divestment List can be found on the State Treasurer’s website at the address [www.nctreasurer.com/Iran](http://www.nctreasurer.com/Iran) and will be updated every 180 days. For questions about the Department of State Treasurer’s Iran Divestment Policy, please contact Meryl Murtagh at [Meryl.Murtagh@nctreasurer.com](mailto:Meryl.Murtagh@nctreasurer.com) or (919) 814-3852.

## QUALIFICATIONS STATEMENT

**THE INFORMATION SUPPLIED IN THIS DOCUMENT IS CONFIDENTIAL TO THE EXTENT PERMITTED BY LAWS AND REGULATIONS**

**1. SUBMITTED BY:**

Official Name of Firm: \_\_\_\_\_

Address: \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

**2. SUBMITTED TO:** Town of Kernersville

**3. SUBMITTED FOR:** \_\_\_\_\_

**TYPE OF WORK:** \_\_\_\_\_

**4. CONTRACTOR'S CONTACT INFORMATION**

Contact Person: \_\_\_\_\_

Title: \_\_\_\_\_

Phone: \_\_\_\_\_

Email: \_\_\_\_\_

**5. AFFILIATED COMPANIES:**

Name: \_\_\_\_\_

Address: \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

6. TYPE OF ORGANIZATION:

SOLE PROPRIETORSHIP

Name of Owner: \_\_\_\_\_

Doing Business As: \_\_\_\_\_

Date of Organization: \_\_\_\_\_

PARTNERSHIP

Date of Organization: \_\_\_\_\_

Type of Partnership: \_\_\_\_\_

Name of General Partner(s): \_\_\_\_\_

\_\_\_\_\_  
\_\_\_\_\_

CORPORATION

State of Organization: \_\_\_\_\_

Date of Organization: \_\_\_\_\_

Executive Officers:

- President: \_\_\_\_\_

- Vice President(s): \_\_\_\_\_

\_\_\_\_\_  
\_\_\_\_\_

- Treasurer: \_\_\_\_\_

- Secretary: \_\_\_\_\_

LIMITED LIABILITY COMPANY

State of Organization: \_\_\_\_\_

Date of Organization: \_\_\_\_\_

Members: \_\_\_\_\_

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JOINT VENTURE

Sate of Organization:

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Date of Organization:

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Form of Organization:

---

Joint Venture Managing Partner

- Name:

---

- Address:

---

---

---

Joint Venture Managing Partner

- Name:

---

- Address:

---

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Joint Venture Managing Partner

- Name:

---

- Address:

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**7. LICENSING**

Jurisdiction: \_\_\_\_\_  
Type of License: \_\_\_\_\_  
License Number: \_\_\_\_\_

**8. CERTIFICATIONS**

**CERTIFIED BY:**

Disadvantage Business Enterprise: \_\_\_\_\_  
Minority Business Enterprise: \_\_\_\_\_  
Woman Owned Enterprise: \_\_\_\_\_  
Small Business Enterprise: \_\_\_\_\_  
Other ( \_\_\_\_\_ ): \_\_\_\_\_

**9. BONDING INFORMATION**

Bonding Company: \_\_\_\_\_  
Address: \_\_\_\_\_  
\_\_\_\_\_  
Bonding Agent: \_\_\_\_\_  
Address: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
Contact Name: \_\_\_\_\_  
Phone: \_\_\_\_\_  
Aggregate Bonding Capacity: \_\_\_\_\_  
Available Bonding Capacity as of date of this submittal: \_\_\_\_\_

**10. FINANCIAL INFORMATION**

Financial Institution: \_\_\_\_\_

Address: \_\_\_\_\_

Account Manager: \_\_\_\_\_

Phone: \_\_\_\_\_

INCLUDE AS AN ATTACHMENT AN AUDITED BALANCE SHEET FOR EACH OF THE  
LAST 3 YEARS

**11. CONSTRUCTION EXPERIENCE:**

Current Experience:

List on **Schedule A** all uncompleted projects currently under contract (If Joint Venture list each participant's projects separately).

Previous Experience:

List on **Schedule B** all projects completed within the last 5 Years (If Joint Venture list each participant's projects separately).

Has firm listed in Section 1 ever failed to complete a construction contract awarded to it?

\_\_\_ YES \_\_\_ NO

If YES, attach as an Attachment details including Project Owner's contact information.

Has any Corporate Officer, Partner, Joint Venture participant or Proprietor ever failed to complete a construction contract awarded to them in their name or when acting as a principal of another entity?

\_\_\_ YES \_\_\_ NO

If YES, attach as an Attachment details including Project Owner's contact information.

Are there any judgments, claims, disputes or litigation pending or outstanding involving the firm listed in Section 1 or any of its officers (or any of its partners if a partnership or any of the individual entities if a joint venture)?

\_\_\_ YES \_\_\_ NO

If YES, attach as an Attachment details including Project Owner's contact information.

**12. SAFETY PROGRAM:**

Name of Contractor's Safety Officer: \_\_\_\_\_

Include the following as attachments:

Provide as an Attachment Contractor's (and Contractor's proposed Subcontractors and Suppliers furnishing or performing Work having a value in excess of 10 percent of the total amount of the Bid) OSHA No. 300A- Summary of Work-Related Injuries & Illnesses for the past 5 years.

Provide as an Attachment Contractor's (and Contractor's proposed Subcontractors and Suppliers furnishing or performing Work having a value in excess of 10 percent of the total amount of the Bid) list of all OSHA Citations & Notifications of Penalty (monetary or other) received within the last 5 years (indicate disposition as applicable) - IF NONE SO STATE.

Provide as an Attachment Contractor's (and Contractor's proposed Subcontractors and Suppliers furnishing or performing Work having a value in excess of 10 percent of the total amount of the Bid) list of all safety citations or violations under any state all received within the last 5 years (indicate disposition as applicable) - IF NONE SO STATE.

Provide the following for the firm listed in Section V (and for each proposed Subcontractor furnishing or performing Work having a value in excess of 10 percent of the total amount of the Bid) the following (attach additional sheets as necessary):

Workers' compensation Experience Modification Rate (EMR) for the last 5 years:

YEAR	_____	EMR	_____
YEAR	_____	EMR	_____
YEAR	_____	EMR	_____
YEAR	_____	EMR	_____
YEAR	_____	EMR	_____

Total Recordable Frequency Rate (TRFR) for the last 5 years:

YEAR	_____	TRFR	_____
YEAR	_____	TRFR	_____
YEAR	_____	TRFR	_____
YEAR	_____	TRFR	_____
YEAR	_____	TRFR	_____

Total number of man-hours worked for the last 5 Years:

YEAR _____	TOTAL NUMBER OF MAN-HOURS _____
YEAR _____	TOTAL NUMBER OF MAN-HOURS _____
YEAR _____	TOTAL NUMBER OF MAN-HOURS _____
YEAR _____	TOTAL NUMBER OF MAN-HOURS _____
YEAR _____	TOTAL NUMBER OF MAN-HOURS _____

Provide Contractor's (and Contractor's proposed Subcontractors and Suppliers furnishing or performing Work having a value in excess of 10 percent of the total amount of the Bid) Days Away From Work, Days of Restricted Work Activity or Job Transfer (DART) incidence rate for the particular industry or type of Work to be performed by Contractor and each of Contractor's proposed Subcontractors and Suppliers) for the last 5 years:

YEAR _____	DART _____

**13. EQUIPMENT:**

MAJOR EQUIPMENT:

List on **Schedule C** all pieces of major equipment available for use on Owner's Project.

I HEREBY CERTIFY THAT THE INFORMATION SUBMITTED HERewith, INCLUDING ANY ATTACHMENTS, IS TRUE TO THE BEST OF MY KNOWLEDGE AND BELIEF.

NAME OF ORGANIZATION: \_\_\_\_\_

BY: \_\_\_\_\_

TITLE: \_\_\_\_\_

DATED: \_\_\_\_\_

NOTARY ATTEST: \_\_\_\_\_

SUBSCRIBED AND SWORN THIS \_\_\_\_\_ DAY OF \_\_\_\_\_, 20\_\_\_\_\_

NOTARY PUBLIC - STATE OF \_\_\_\_\_

MY COMMISSION EXPIRES: \_\_\_\_\_

REQUIRED ATTACHMENTS

1. Schedule A (Current Experience). **Provide upon request by Engineer or Owner**
2. Schedule B (Previous Experience). **Provide upon request by Engineer or Owner**
3. Schedule C (Major Equipment). **Provide upon request by Engineer or Owner**
4. Audited balance sheet for each of the last 3 years for firm named in Section 1. **Provide upon request by Engineer or Owner**
5. Evidence of authority for individuals listed in Section 7 to bind organization to an agreement. **Provide upon request by Engineer or Owner**
6. Resumes of officers and key individuals (including Safety Officer) of firm named in Section 1. **Provide upon request by Engineer or Owner**
7. Required safety program submittals listed in Section 12.
8. Additional items as pertinent.

SCHEDULE A

PROVIDE UPON REQUEST BY ENGINEER

CURRENT EXPERIENCE

Project Name	Owner's Contact Person	Design Engineer	Contract Date	Type of Work	Status	Cost of Work
	Name: Address: Telephone:	Name: Company: Telephone:				
	Name: Address: Telephone:	Name: Company: Telephone:				
	Name: Address: Telephone:	Name: Company: Telephone:				
	Name: Address: Telephone:	Name: Company: Telephone:				
	Name: Address: Telephone:	Name: Company: Telephone:				
	Name: Address: Telephone:	Name: Company: Telephone:				
	Name: Address: Telephone:	Name: Company: Telephone:				
	Name: Address: Telephone:	Name: Company: Telephone:				

SCHEDULE B PROVIDE UPON REQUEST BY ENGINEER

PREVIOUS EXPERIENCE (Include ALL Projects Completed within last 5 years)

Project Name	Owner's Contact Person	Design Engineer	Contract Date	Type of Work	Status	Cost of Work
	Name: Address: Telephone:	Name: Company: Telephone:				
	Name: Address: Telephone:	Name: Company: Telephone:				
	Name: Address: Telephone:	Name: Company: Telephone:				
	Name: Address: Telephone:	Name: Company: Telephone:				
	Name: Address: Telephone:	Name: Company: Telephone:				
	Name: Address: Telephone:	Name: Company: Telephone:				
	Name: Address: Telephone:	Name: Company: Telephone:				
	Name: Address: Telephone:	Name: Company: Telephone:				

SCHEDULE B **PROVIDE UPON REQUEST BY ENGINEER**

PREVIOUS EXPERIENCE (Include ALL Projects Completed within last 5 years)

Project Name	Owner's Contact Person	Design Engineer	Contract Date	Type of Work	Status	Cost of Work
	Name: Address: Telephone:	Name: Company: Telephone:				
	Name: Address: Telephone:	Name: Company: Telephone:				
	Name: Address: Telephone:	Name: Company: Telephone:				
	Name: Address: Telephone:	Name: Company: Telephone:				
	Name: Address: Telephone:	Name: Company: Telephone:				
	Name: Address: Telephone:	Name: Company: Telephone:				
	Name: Address: Telephone:	Name: Company: Telephone:				
	Name: Address: Telephone:	Name: Company: Telephone:				

**PROVIDE UPON REQUEST BY ENGINEER**



**POWER OF ATTORNEY**

(Attach to this sheet)

# **CERTIFICATE OF INSURANCE**

**(Attach to this sheet)**



STATE OF NORTH CAROLINA  
DEPARTMENT OF TRANSPORTATION

JOSH STEIN  
GOVERNOR

DANIEL JOHNSON  
SECRETARY

January 12, 2026

Forsyth County: NC HWY 66.

**Subject: Right of Way Encroachment Contract – R/W 16.1A – Job Number – E092-034-25-00275 – Installation of new fire station signal.**

Mr. Curtis L. Swisher - Manager  
Town of Kernersville  
134 East Mountain Street  
Kernersville, NC 27284

Dear Mr. Swisher:

Attached, for your file, is a copy of the above referenced Right of Way Encroachment Contract, properly executed. This contract covers the following:

Installation of new fire station signal serving Fire Station 42, along and under NC HWY 66, as shown on the attached plans.

**APPROVED SUBJECT TO: Attached Special Provisions**

ENVIRONMENTAL ISSUES AGREEMENT

The encroaching party shall comply with all applicable state and federal environmental regulations, and shall obtain all necessary state, federal and local environmental permits, including but not limited to, those related to sediment control, stormwater, wetlands, streams, endangered species, and historical sites.

Sincerely,

Signed by:  
  
66D2B4A78B4C453...

Kevin R. Hedrick, PE, CPM  
DISTRICT ENGINEER

KRH/CCC

cc: Kevin D. Neal, PE • Forsyth County Maintenance Engineer

*Mailing Address:*  
NC DEPARTMENT OF TRANSPORTATION  
DIVISION NINE / DISTRICT TWO  
SILAS CREEK PARKWAY  
WINSTON-SALEM, NC 27127

*Telephone:* (336) 747-7900  
*Fax:* (336) 761-2004  
*Customer Service:* 1-877-368-4968

*Location:*  
375 SILAS CREEK PARKWAY  
WINSTON-SALEM, NC 27127

*Website:* [ncdot.gov](http://ncdot.gov)

**SPECIAL PROVISIONS – Page 1**

R/W 16.1A

E092-034-25-00275

1. Approval may be rescinded upon failure to follow any of the provisions in this permit and may be considered a violation of the encroachment agreement.
2. The Encroaching party or their contractor shall provide the following notices prior to construction activity within the NCDOT Right of Way:
  - a. Three (3) business days advance phone call at telephone (336) 747-7800 to the Division 9 Traffic Engineer.  
Failure to provide these notifications prior to beginning construction is subject to the Division Engineer's discretion to cease construction activity for this encroachment. NCDOT reserves the right to cease any construction or maintenance work associated with this installation by the encroaching party until the construction or maintenance meets the satisfaction of the Division Engineer or their representative.
3. Prior to beginning work, it is the requirement of the Encroaching Party to contact the appropriate Utility Companies involved and make arrangements to adjust or relocate any utilities that conflict with the proposed work.
4. It shall be the responsibility of the encroaching party to determine the location of utilities within the encroachment area. NCGS § 87-115 through § 87-130 of the Underground Utility Safety and Damage Prevention Act requires underground utilities to be located by calling 811 prior to construction. The encroaching party shall be responsible for notifying other utility owners and providing protection and safeguards to prevent damage or interruption to existing facilities and maintain access to them.
5. The encroaching party shall notify the appropriate municipal office prior to beginning any work within the municipality's limits of jurisdiction.
6. This approval and associated plans and supporting documents shall not be interpreted to allow any design change or change in the intent of the design by the Owner, Design Engineer, or any of their representatives. Any revisions or changes to these approved plans or intent for construction must be obtained in writing from the Division Engineer's office or their representative prior to construction or during construction, if an issue arises during construction to warrant changes.
7. NCDOT does not guarantee the right of way on this road, nor will it be responsible for any claim for damages brought about by any property owner by reason of this installation. It is the responsibility of the encroaching party to verify the right of way.
8. Encroaching party shall be responsible for obtaining all necessary permanent and/or temporary construction, drainage, utility and/or sight distance easements.
9. All Right of Way and easements necessary for construction and maintenance shall be dedicated to NCDOT with proof of dedication furnished to the District Engineer prior to beginning work.
10. Traffic control shall be coordinated with the Forsyth County Maintenance Office at telephone (336) 896-2350, prior to construction.

## SPECIAL PROVISIONS – Page 2

R/W 16.1A

E092-034-25-00275

### 11. WORK ZONE TRAFFIC CONTROL QUALIFICATIONS AND TRAINING PROGRAM

All personnel performing any activity inside the highway right of way are required to be familiar with the NCDOT Maintenance / Utility Traffic Control Guidelines (MUTCG). No specific training course or test is required for qualification in the Maintenance /Utility Traffic Control Guidelines (MUTCG).

All flagging, spotting, or operating Automated Flagger Assist Devices (AFAD) inside the highway right of way requires qualified and trained Work Zone Flaggers. Training for this certification is provided by NCDOT approved training resources and by private entities that have been pre-approved to train themselves.

All personnel involved with the installation of Work Zone Traffic Control devices inside the highway right of way are required to be qualified and trained Work Zone Installers. Training for this certification is provided by NCDOT approved training resources and by private entities that have been pre-approved to train themselves.

All personnel in charge of overseeing work zone Temporary Traffic Control operations and installations inside the highway right of way are required to be qualified and trained Work Zone Supervisors. Training for this certification is provided by NCDOT approved training resources and by private entities that have been pre-approved to train themselves.

For questions and/or additional information regarding this training program please refer to <https://connect.ncdot.gov/projects/WZTC/Pages/Training.aspx> or call the NCDOT Work Zone Traffic Control Section (919) 814-5000.

12. The party of the second part shall employ traffic control measures that are in accordance with the prevailing federal, state, local, and NCDOT policies, standards, and procedures. These policies, standards, and procedures include, but are not limited to the following:
  - a. Manual on Uniform Traffic Control Devices (MUTCD) – North Carolina has adopted the MUTCD to provide basic principles and guidelines for traffic control device design, application, installation, and maintenance. North Carolina uses the MUTCD as a minimum requirement where higher supplemental standards specific to North Carolina are not established. Use fundamental principles and best practices of MUTCD (Part 6, Temporary Traffic Control).
  - b. NCDOT Maintenance / Utility Traffic Control Guidelines – This document enhances the fundamental principles and best practices established in MUTCD Part 6, Temporary Traffic Control, incorporating NCDOT-specific standards and details. It also covers important safety knowledge for a wide range of work zone job responsibilities.
13. If the Traffic Control Supervisor determines that portable concrete barrier (PCB) is required to shield a hazard within the clear zone, then PCB shall be designed and sealed by a licensed North Carolina Professional Engineer. PCB plans and design calculations shall be submitted to the District Engineer for review and approval prior to installation.
14. Ingress and egress shall be maintained to all businesses and dwellings affected by the project. Special attention shall be paid to police, EMS and fire stations, fire hydrants, secondary schools, and hospitals.

### SPECIAL PROVISIONS – Page 3

R/W 16.1A

E092-034-25-00275

15. Traffic shall be maintained at all times. All lanes of traffic are to be open during the hours of 7:00 A.M. to 9:00 A.M. and from 4:00 P.M. to 6:00 P.M. Monday through Friday, during any time of inclement weather, **or as directed by the County Maintenance Engineer**. Any violation of these hours will result in ceasing any further construction by the Encroaching Party or their contractor.
16. Nighttime and weekend operations will NOT be allowed unless written approval is received from the District Engineer. If nighttime or weekend work is allowed or required, all signs must be retro-reflective, and a work zone lighting plan must be submitted for approval prior to construction.
17. Two-way traffic shall be maintained at all times unless designated by the County Maintenance Engineer. Traffic shall not be rerouted or detoured without the prior written approval from the County Maintenance Engineer. No utility work will be allowed on state holidays from 7:00 PM the night before through 9:00 AM the day prior to, following or during local events without prior approval from the County Maintenance Engineer. If the construction is within 1000 feet of a school location or on a designated bus route, the construction shall be coordinated with the school start and end times to avoid traffic delays.
18. Work requiring lane or shoulder closures shall not be performed on both sides of the road simultaneously within the same area.
19. Any work requiring equipment or personnel within 5 feet of the edge of any travel lane of an undivided facility and within 10 feet of the edge of any travel lane of a divided facility shall require a lane closure with appropriate tapers per current *NCDOT Roadway Standard Drawings* or *MANUAL ON UNIFORM TRAFFIC CONTROL DEVICES*.
20. At the discretion of the District Engineer, a traffic control plan shall be developed and submitted under the seal and signature of a Licensed North Carolina Professional Engineer prior to construction. The plan shall be specific to the site and adequately detailed. Issues such as the close proximity to intersections shall be addressed.
21. Any pavement markings that are damaged or obliterated shall be restored by the encroaching party at no expense to NCDOT.
22. Sidewalk closures shall be installed as necessary. Pedestrian traffic shall be detoured around these closures and shall be signed appropriately and in accordance with The American with Disabilities Act Accessibility Guidelines. The encroaching party must adhere to the guidelines for accommodating pedestrians in encroachment work zones as described in the NCDOT Pedestrian Work Zone Accommodations Training found at <https://www.youtube.com/watch?v=AOuYa5IW3dg&feature=youtu.be>
23. The encroaching party shall comply with all applicable Federal, State and local environmental regulations and shall obtain all necessary Federal, State and local environmental permits, including but not limited to, those related to sediment control, stormwater, wetland, streams, endangered species and historical sites. Additional information can be obtained by contacting the NCDOT Roadside Environmental Engineer regarding the North Carolina Natural Heritage Program or the United States Fish and Wildlife Services. Contact the Division Roadside Environmental Engineer's Office at 336-896-2380.

**SPECIAL PROVISIONS – Page 4**

R/W 16.1A

E092-034-25-00275

24. All erosion control devices and measures shall be constructed, installed, maintained, and removed by the Encroacher in accordance with all applicable Federal, State, and Local laws, regulations, ordinances, and policies. Permanent vegetation shall be established on all disturbed areas in accordance with the recommendations of the Division Roadside Environmental Engineer. All areas disturbed (shoulders, ditches, removed accesses, etc.) shall be graded and seeded in accordance with the latest *NCDOT Standards Specifications for Roads and Structures* and within 15 calendar days with an approved NCDOT seed mixture (all lawn type areas shall be maintained and reseeded as such). Seeding rates per acre shall be applied according to the Division Roadside Environmental Engineer. Any plant or vegetation in the NCDOT planted sites that is destroyed or damaged as a result of this encroachment shall be replaced with plants of like kind or similar shape.
25. The contractor shall not begin the construction until after the traffic control and erosion control devices have been installed to the satisfaction of the County Maintenance Engineer or their agent.
26. An executed copy of the encroachment agreement, provisions and approved plans shall be present at the construction site at all times. If safety or traffic conditions warrant such an action, NCDOT reserves the right to further limit, restrict or suspend operations within the right of way.
27. The Encroaching Party and/or their Contractor shall comply with all OSHA requirements. If OSHA visits the work area associated with this encroachment, the District Office shall be notified by the encroaching party immediately if any violations are cited.
28. All disturbed areas are to be fully restored to current NCDOT minimum roadway standards or as directed by the Division Engineer or their representative. Disturbed areas within NCDOT Right-of-Way include, but not limited to, any excavation areas, pavement removal, drainage or other features.
29. The encroaching party shall notify the County Maintenance Engineer or their representative immediately in the event any drainage structure is blocked, disturbed or damaged. All drainage structures disturbed, damaged or blocked shall be restored to its original condition as directed by the Division Engineer or their representative.
30. Unless specified otherwise, during non-working hours, equipment shall be located away from the job site or parked as close to the right of way line as possible and be properly barricaded in order not to have any equipment obstruction within the Clear Recovery Area. Also, during non-working hours, no parking or material storage shall be allowed along the shoulders of any state-maintained roadway.
31. Right of Way monuments disturbed during construction shall be referenced by a registered Land Surveyor and reset after construction.
32. All wiring and related electrical work shall conform to the latest edition of the National Electrical Safety Code.
33. All Traffic signs moved during construction shall be reinstalled as soon as possible to the satisfaction of the Division Traffic Engineer or their representative.
34. All driveways disturbed during construction shall be returned to a state comparable with the condition of the driveways prior to construction.

**SPECIAL PROVISIONS – Page 5**

R/W 16.1A

E092-034-25-00275

35. If the approved method of construction is unsuccessful and other means are required, prior approval must be obtained through the District Engineer before construction may continue.
36. All traffic control, asphalt mixes, structures, construction, workmanship and construction methods, and materials shall be in compliance with the most-recent versions of the following resources: *ASTM Standards, Manual on Uniform Traffic Control Devices, NCDOT Utilities Accommodations Manual, NCDOT Standard Specifications for Roads and Structures, NCDOT Roadway Standard Drawings, NCDOT Asphalt Quality Management System manual, and the approved plans.*
37. Excavation material shall not be placed on pavement.
38. It is the responsibility of the encroaching party or their contractor to prevent any mud/dirt from tracking onto the roadway. Any dirt which may collect on the roadway pavement from equipment and/or truck traffic on site shall be immediately removed to avoid any unsafe traffic conditions.
39. Any pavement damaged because of settlement of the pavement or damaged by equipment used to perform encroachment work, shall be re-surfaced to the satisfaction of the District Engineer. This may include the removal of pavement and a 50' mechanical overlay. All pavement work and pavement markings (temporary and final) are the responsibility of the Encroaching Party.
40. The Encroaching party shall notify the Division Traffic Engineer's office within 2 business days after construction is complete. The County Maintenance Engineer may perform a construction inspection. Any deficiencies may be noted and reported to the encroaching party to make immediate repairs or resolve any issues to restore the right-of-way to a similar condition prior to construction, including pavement, signage, traffic signals, pavement markings, drainage, structures/pipes, or other highway design features.
41. If the actual construction differs from the approved plans associated with this encroachment, a copy of "as-built" plans shall be submitted to the District Engineer's office in a PDF format and in a current ESRI GIS format within 4 weeks of construction.

DEPARTMENT OF TRANSPORTATION RIGHT OF WAY ENCROACHMENT AGREEMENT

-AND-

**REVIEWED**

FOR NON-UTILITY ENCROACHMENTS ON  
PRIMARY AND SECONDARY HIGHWAYS

Town of Kernersville

By Carolina Carbajal at 3:21 pm, Jan 12, 2026

THIS AGREEMENT, made and entered into this the 30 day of ~~September~~ 25, by and between the Department of Transportation, party of the first part; and Town of Kernersville

party of the second part,

WITNESSETH

THAT WHEREAS, the party of the second part desires to encroach on the right of way of the public road designated as Route(s) NC 66, located north of Park Centre Drive

with the construction and/or erection of: Fire Station Signal serving Fire Station 42, Town of Kernersville

WHEREAS, it is to the material advantage of the party of the second part to effect this encroachment, and the party of the first part in the exercise of authority conferred upon it by statute, is willing to permit the encroachment within the limits of the right of way as indicated, subject to the conditions of this agreement;

NOW, THEREFORE, IT IS AGREED that the party of the first part hereby grants to the party of the second part the right and privilege to make this encroachment as shown on attached plan sheet(s), specifications and special provisions which are made a part hereof upon the following conditions, to wit:

That the said party of the second part binds and obligates himself to install and maintain the encroaching facility in such safe and proper condition that it will not interfere with or endanger travel upon said highway, nor obstruct nor interfere with the proper maintenance thereof, to reimburse the party of the first part for the cost incurred for any repairs or maintenance to its roadways and structures necessary due to the installation and existence of the facilities of the party of the second part, and if at any time the party of the first part shall require the removal of or changes in the location of the said facilities, that the said party of the second part binds himself, his successors and assigns, to promptly remove or alter the said facilities, in order to conform to the said requirement, without any cost to the party of the first part.

That the party of the second part agrees to provide during construction and any subsequent maintenance proper signs, signal lights, flagmen and other warning devices for the protection of traffic in conformance with the latest Manual on Uniform Traffic Control Devices for Streets and Highways and Amendments or Supplements thereto. Information as to the above rules and regulations may be obtained from the Division Engineer of the party of the first part.

That the party of the second part hereby agrees to indemnify and save harmless the party of the first part from all damages and claims for damage that may arise by reason of the installation and maintenance of this encroachment.

It is clearly understood by the party of the second part that the party of the first part will assume no responsibility for any damage that may be caused to such facilities, within the highway rights of way limits, in carrying out its construction and maintenance operations.

That the party of the second part agrees to restore all areas disturbed during installation and maintenance to the satisfaction of the Division Engineer of the party of the first part. The party of the second part agrees to exercise every reasonable precaution during construction and maintenance to prevent eroding of soil; silting or pollution of rivers, streams, lakes, reservoirs, other water impoundments, ground surfaces or other property; or pollution of the air. There shall be compliance with applicable rules and regulations of the North Carolina Division of Environmental Management, North Carolina Sedimentation Control Commission, and with ordinances and regulations of various counties, municipalities and other official agencies relating to pollution prevention and control. When any installation or maintenance operation disturbs the ground surface and existing ground cover, the party of the second part agrees to remove and replace the sod or otherwise reestablish the grass cover to meet the satisfaction of the Division Engineer of the party of the first part.

That the party of the second part agrees to assume the actual cost of any inspection of the work considered to be necessary by the Division Engineer of the party of the first part.

That the party of the second part agrees to have available at the encroaching site, at all times during construction, a copy of this agreement showing evidence of approval by the party of the first part. The party of the first part reserves the right to stop all work unless evidence of approval can be shown.

Provided the work contained in this agreement is being performed on a completed highway open to traffic; the party of the second part agrees to give written notice to the Division Engineer of the party of the first part when all work contained herein has been completed. Unless specifically requested by the party of the first part, written notice of completion of work on highway projects under construction will not be required.

That in the case of noncompliance with the terms of this agreement by the party of the second part, the party of the first part reserves the right to stop all work until the facility has been brought into compliance or removed from the right of way at no cost to the party of the first part.

That it is agreed by both parties that this agreement shall become void if actual construction of the work contemplated herein is not begun within one (1) year from the date of authorization by the party of the first part unless written waiver is secured by the party of the second part from the party of the first part.

R/W (161A) : Party of the Second Part certifies that this agreement is true and accurate copy of the form R/W (161A) incorporating all revisions to date.

IN WITNESS WHEREOF, each of the parties to this agreement has caused the same to be executed the day and year first above written.

DEPARTMENT OF TRANSPORTATION

BY: Kevin R. Hedrick  
DISTRICT ENGINEER

ATTEST OR WITNESS:

Town of Kernersville  
Crystal Sexton Fria  
Crystal Sexton Fria

Town of Kernersville  
Curtis L. Swisher, Manager  
Curtis L. Swisher  
Second Party

INSTRUCTIONS

When the applicant is a corporation or a municipality, this agreement must have the corporate seal and be attested by the corporation secretary or by the empowered city official, unless a waiver of corporate seal and attestation by the secretary or by the empowered City official is on file in the Raleigh office of the State Utilities Manager. In the space provided in this agreement for execution, the name of the corporation or municipality shall be typed above the name, and title of all persons signing the agreement should be typed directly below their signature.

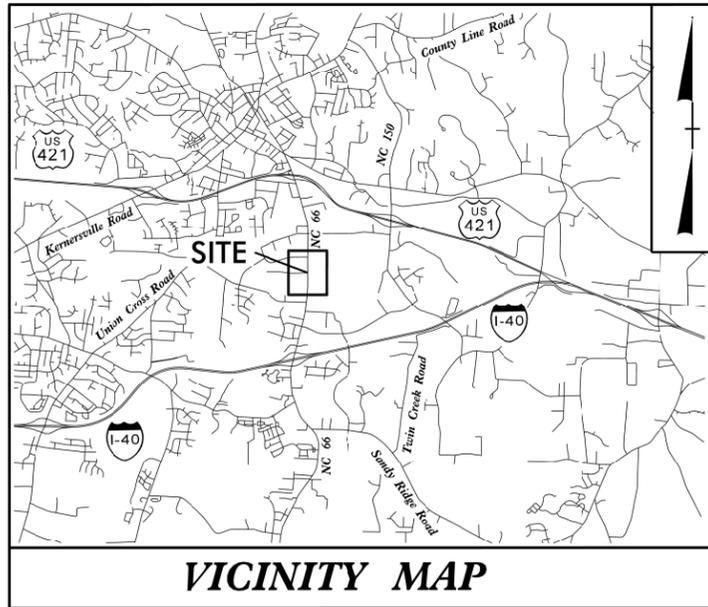
When the applicant is not a corporation, then his signature must be witnessed by one person. The address should be included in this agreement and the names of all persons signing the agreement should be typed directly below their signature.

This agreement must be accompanied, in the form of an attachment, by plans or drawings showing the following applicable information:

1. All roadways and ramps.
2. Right of way lines and where applicable, the control of access lines.
3. Location of the proposed encroachment.
4. Length and type of encroachment.
5. Location by highway survey station number. If station number cannot be obtained, location should be shown by distance from some identifiable point, such as a bridge, road, intersection, etc. (To assist in preparation of the encroachment plan, the Department's roadway plans may be seen at the various Highway Division Offices, or at the Raleigh office.)
6. Drainage structures or bridges if affected by encroachment.
7. Typical section indicating the pavement design and width, and the slopes, widths and details for either a curb and gutter or a shoulder and ditch section, whichever is applicable.
8. Horizontal alignment indicating general curve data, where applicable.
9. Vertical alignment indicated by percent grade, P.I. station and vertical curve length, where applicable.
10. Amount of material to be removed and/or placed on NCDOT right of way, if applicable.
11. Cross-sections of all grading operations, indicating slope ratio and reference by station where applicable.
12. All pertinent drainage structures proposed. Include all hydraulic data, pipe sizes, structure details and other related information.
13. Erosion and sediment control.
14. Any special provisions or specifications as to the performance of the work or the method of construction that may be required by the Department must be shown on a separate sheet attached to encroachment agreement provided that such information cannot be shown on plans or drawings.
15. The Department's Division Engineer should be given notice by the applicant prior to actual starting of installation included in this agreement.
16. Method of handling traffic during construction where applicable.
17. Scale of plans, north arrow, etc.

**PROJECT: 36249.4967**

**CONTRACT:**



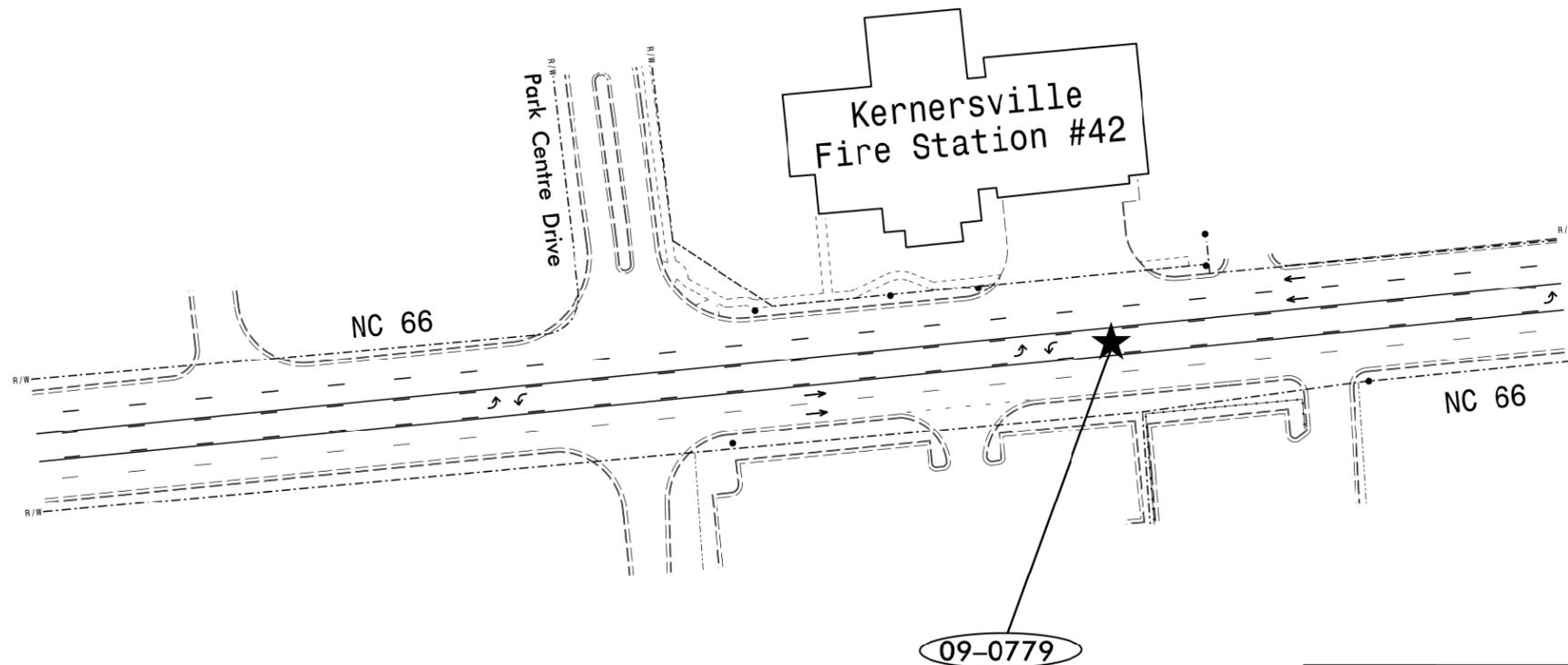
**VICINITY MAP**

TOWN OF KERNERSVILLE  
NORTH CAROLINA

**FORSYTH COUNTY**

**LOCATION: NC HIGHWAY 66 AT PARK CENTRE DRIVE**

**TYPE OF WORK: TRAFFIC SIGNALS**



★ NEW EMERGENCY SIGNAL

NC Dept of Transportation  
Division of Highways  
Final Drawing Date: \_\_\_\_\_  
TSMO Unit



Refer to *Roadway Standard Drawings NCDOT* dated January 2024 and *Standard Specifications for Roads and Structures* dated January 2024.

Index of Plans		
Sheet #	SIN	Location/Description
Sig 1.0	N/A	Title Sheet
Sig 2.0 - 2.5	09-0779	NC 66 at Kernersville Fire Station #42
Sig. M1A-M8	N/A	Metal Pole Standard Drawings

**TRANSPORTATION SYSTEMS  
MANAGEMENT AND  
OPERATIONS UNIT**  
CONTACTS:

ROB ZIEMBA, PE  
Central Region Signals Engineer  
  
KEITH MIMS, PE  
State Signal Equipment Engineer

Prepared in the Office of:



EDWARD W. SIRGANY, PE

NC FIRM LICENSE No: P-0339  
320 Executive Court  
Hillsborough, NC 27278  
(919) 732-3883  
(919) 732-6676 (FAX)

PROJECT ENGINEER

PROJECT DESIGN ENGINEER

Prepared for:



Department of Transportation

**PHASING DIAGRAM EV PREEMPT PHASES**  
(Medium Priority)



**PHASING DIAGRAM DETECTION LEGEND**

- ←●→ DETECTED MOVEMENT
- ←○→ UNDETECTED MOVEMENT (OVERLAP)
- UNSIGNALIZED MOVEMENT
- ←- - -> PEDESTRIAN MOVEMENT

SIGNAL FACE	PHASE					
	2+6	ACTIVATION	STREET YELLOW	STREET RED	PHASE 2	PHASE 4
21,22	DRK	FY	Y	R	FR	Y
61,62	DRK	FY	Y	R	FR	Y
41	DRK	DRK	DRK	DRK	FY	DRK

\* Alternating Flash  
Y - Steady Yellow  
FY - Flashing Yellow  
R - Steady Red  
FR - Flashing Red  
DRK - Dark

**SIGNAL FACE I.D.**

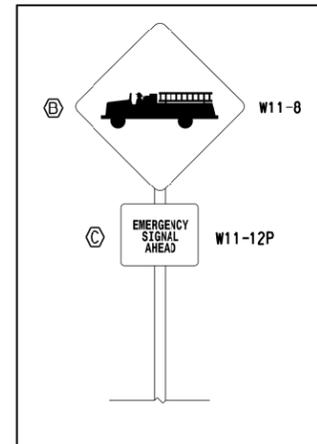
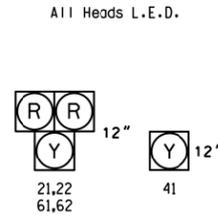
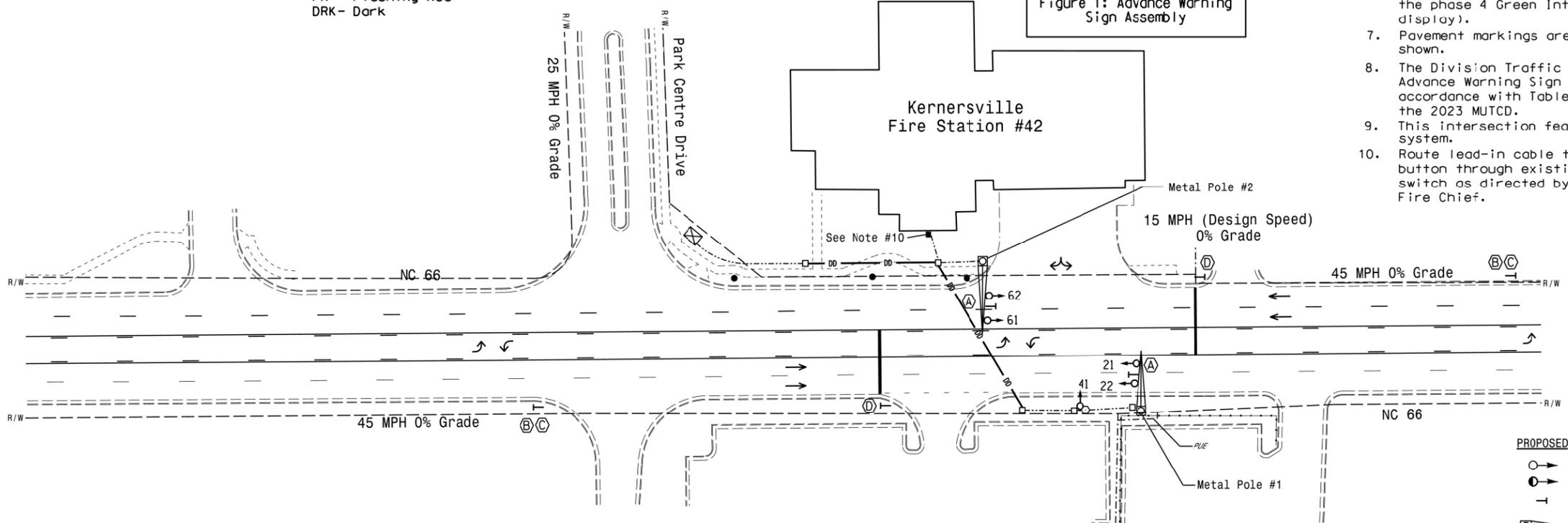


Figure 1: Advance Warning Sign Assembly

**2 Phase Semi-Actuated Emergency Hybrid Beacon (Isolated)**

**NOTES**

- Refer to "Roadway Standard Drawings NCDOT" dated January 2024 and "Standard Specifications for Roads and Structures" dated January 2024.
- Do not program signal for late night flashing operation unless otherwise directed by the Engineer.
- Locate new cabinet so as not to obstruct sight distance of vehicles turning right on red.
- Locate emergency vehicle preemption switch in Fire Station.
- The Division Traffic Engineer will determine the Delay before Preempt and the phase 4 Clear time for the emergency vehicle preemption timing.
- Signal head 41 shall remain dark except during the phase 4 Green Interval (flashing yellow display).
- Pavement markings are existing unless otherwise shown.
- The Division Traffic Engineer shall locate the Advance Warning Sign Assembly (see Figure 1) in accordance with Table 2C-3 in Section 2C.04 of the 2023 MUTCD.
- This intersection features a GPS preemption system.
- Route lead-in cable to Fire Station preemption button through existing junction box. Locate the switch as directed by the Town of Kernersville Fire Chief.



**LEGEND**

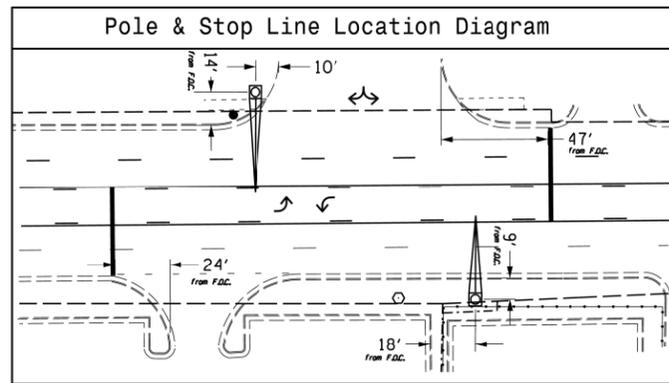
- | PROPOSED   | EXISTING |
|--|----------|
| ○ → Traffic Signal Head                                    | ● →      |
| ○ → Modified Signal Head                                   | N/A      |
| — Sign   | —        |
| ⊙ Metal Pole with Mastarm                                  | ⊙        |
| ○ Type II Signal Pedestal                                  | ●        |
| ⊠ Controller & Cabinet                                     | ⊠        |
| □ Junction Box   | ■        |
| --- 2-in Underground Conduit                               | ---      |
| --- Directional Drill                                      | N/A      |
| N/A Right of Way   | ---      |
| → Directional Arrow  | →        |
| (A) "EMERGENCY SIGNAL-STOP ON FLASHING RED" Sign (R10-14a) | (A)      |
| (B) Emergency Vehicle Sign (W11-8)                         | (B)      |
| (C) "EMERGENCY SIGNAL AHEAD" Sign (W11-12P)                | (C)      |
| (D) "STOP HERE ON FLASHING RED" Sign (R10-14b)             | (D)      |

FEATURE	PHASE		
	2	4	6
Walk *	-	0	-
Ped Clear	-	7**	-
Min Green *	12	1	12
Passage *	-	-	-
Max 1 *	30	30	30
Yellow Change	4.5	3.0	4.5
Red Clear	2.3	3.0	2.3
Added Initial *	-	-	-
Maximum Initial *	-	-	-
Time Before Reduction *	-	-	-
Time To Reduce *	-	-	-
Minimum Gap	-	-	-
Advance Walk	-	-	-
Pre Clearance	5.0	-	5.0
Non Lock Detector	-	-	-
Vehicle Recall	MIN RECALL	-	MIN RECALL
Dual Entry	-	-	-

\* These values may be field adjusted. Do not adjust Min Green and Extensions times for phases 2 and 6 lower than what is shown. Min Green for all other phases should not be lower than 4 seconds.  
\*\* See Note 5.

FUNCTION	PRE 2	
	EMERG	VEH
Type	EMERG	VEH
Exit Phases	2	
Delay	**	
Call Extend Time	-	
Max Presence	120	
Enter Min Green	1	
Enter Walk	1	
Enter Ped Clear	255 *	
Enter Yellow Change	25.5 *	
Enter Red Clear	25.5 *	
Track Green	0	
Track Yellow Change	25.5 *	
Track Red Clear	25.5 *	
Dwell Green	0 @	
Exit Min Green	255 *	
Exit Yellow Change	25.5 *	
Exit Red Clear	25.5 *	
Exit Type	EXIT PHASES	
Ped Clear Through Yellow	-	
Require All Red Entry	-	

\* Directs controller to use default phase timing.  
\*\* See Note 5.  
@ Phase 4 PED CLR serves as the Preempt Dwell Time.



**New Installation**

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NC Dept of Transportation  
Division of Highways  
Final Drawing Date: 09/19/2025  
TSMO Unit

Prepared in the Office of:  
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Hillsborough, NC 27278  
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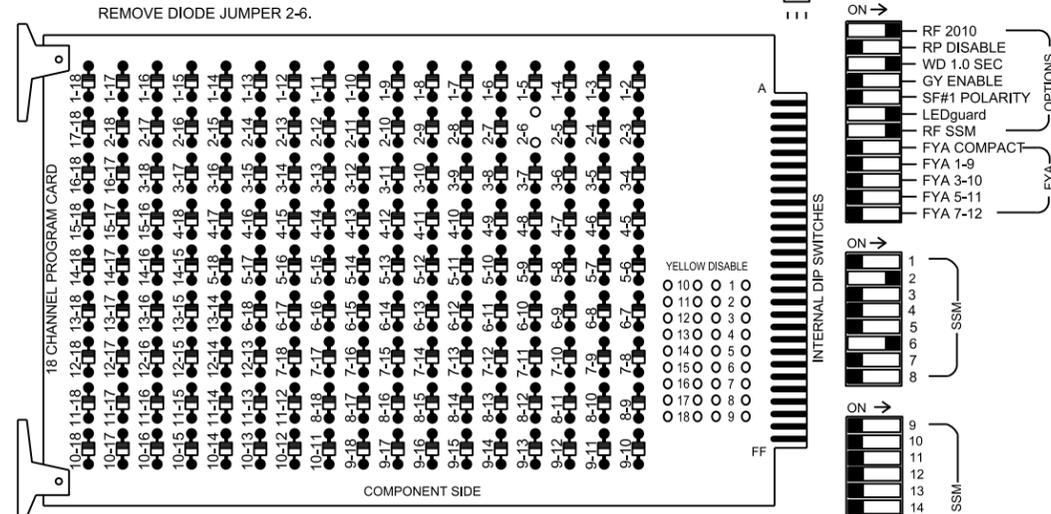
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**UNIVERSITY OF NORTH CAROLINA**  
SCHOOL OF TRANSPORTATION  
Signal Design Section  
750 N. Greenfield Pkwy, Garner, NC 27526

NC 66  
at  
Kernersville Fire Station #42  
Division 9 Forsyth County Kernersville  
PLAN DATE: June 2025 REVIEWED BY: G. Fuller  
PREPARED BY: E. Sirgany REVIEWED BY:

SEAL  
EDWARD W. SIRGANY  
ENGINEER  
9/11/2025  
DATE  
SIG. INVENTORY NO. 09-0179

### 18 CHANNEL IP CONFLICT MONITOR PROGRAMMING DETAIL

(remove jumpers and set switches as shown)



REMOVE JUMPERS AS SHOWN

**NOTES:**

- Card is provided with all diode jumpers in place. Removal of any jumper allows its channels to run concurrently.
- Ensure jumpers SEL2-SEL5 and SEL9 are present on the monitor board.
- Ensure that the Red Enable is active at all times during normal operation.
- Connect serial cable from conflict monitor to comm. port 1 of 2070 controller. Ensure conflict monitor communicates with 2070.

### NOTES

- To prevent "flash-conflict" problems, insert red flash program blocks for all unused vehicle load switches in the output file. The installer shall verify that signal heads flash in accordance with the signal plan.
- Install 332\_NCDOT\_HAWK\_v1\_X database onto controller.
- Program controller to start up in phase 2 Green No Walk and 6 Green No Walk.
- Program phase 4 for No Startup Veh Call and No Startup Ped Call.
- Program phase 4 for Ped Clear During Red Clear.

### EQUIPMENT INFORMATION

Controller.....2070LX  
 Cabinet.....332 w/ Aux  
 Software.....Q-Free MAXTIME  
 Cabinet Mount.....Base  
 Output File Positions.....18 With Aux. Output File  
 Load Switches Used.....S2,S3, S5,S8  
 Phases Used.....2,4,4PED\*\*,6  
 Overlap "1".....NOT USED  
 Overlap "2".....NOT USED  
 Overlap "3".....NOT USED  
 Overlap "4".....NOT USED  
 Overlap "7".....\*  
 \* See overlap programming detail on sheet 2.  
 \*\* Phase used for timing purposes only.

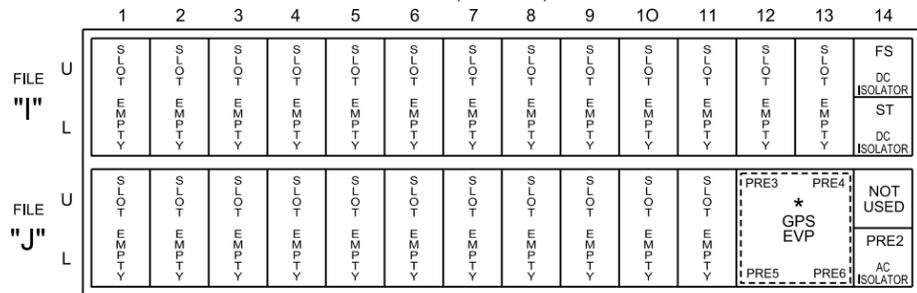
### SIGNAL HEAD HOOK-UP CHART

LOAD SWITCH NO.	S1	S2	S3	S4	S5	S6	S7	S8	S9	S10	S11	S12	AUX S1	AUX S2	AUX S3	AUX S4	AUX S5	AUX S6	
CMU CHANNEL NO.	1	2	13	3	4	14	5	6	15	7	8	16	9	10	17	11	12	18	
PHASE	1	2	2 PED	EVP 2	3	OL7	4 PED	5	6	6 PED	7	8	8 PED	OL1	OL2	SPARE	OL3	OL4	SPARE
SIGNAL HEAD NO.	NU	21,22, 61,62	NU	FIRE PILOT LAMP	NU	41	NU	NU	21,22, 61,62	NU	NU	NU	NU	NU	NU	NU	NU	NU	NU
RED		128				101			134										
YELLOW		129			*			*											
GREEN		*			*			*											
RED ARROW																			
YELLOW ARROW																			
FLASHING YELLOW ARROW																			
GREEN ARROW																			
PED YELLOW						*													

NU = Not Used  
 NC = No Connection  
 \* Denotes install load resistor. See load resistor installation detail this sheet.  
 NOTE: Load switch S3 Ped Yellow is used to drive the fire station pilot lamp. See sheet 3 for wiring details.

### INPUT FILE POSITION LAYOUT

(front view)



EX.: 1A, 2A, ETC. = LOOP NO.'S

FS = FLASH SENSE  
 ST = STOP TIME  
 PRE = PREEMPT

\* See GPS Preemption System Installation Note below.

### SPECIAL DETECTOR NOTE

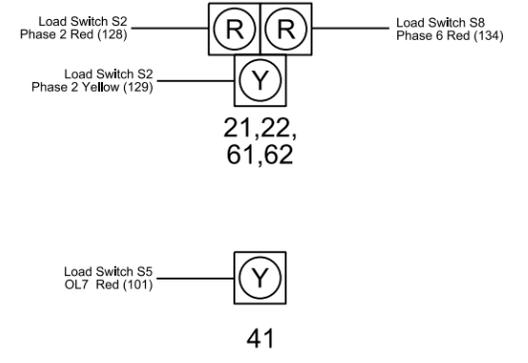
Install a GPS preemption system. Perform installation according to manufacturer's instructions and NCDOT Engineer-approved mounting location to accomplish the preemption scheme shown on the signal design plans.

### OPERATIONAL NOTES

- In order for the controller to perform the Emergency Vehicle Hybrid Beacon (HAWK signal) sequence, the 332\_NCDOT\_HAWK\_v1.X database must be installed on the controller.
- The Logic Processor flashes Phase 2 Yellow during the Phase 2 Pre-Clearance interval. Phase 2 Yellow drives the solid yellow signal face during the Phase 2 vehicle Yellow Change.
- The Phase 2 and Phase 6 Red outputs drive the solid Red displays during the Phase 2 and 6 Red Clear. The Logic Processor flashes Phase 2 and 6 Red Outputs in a wig-wag pattern during Phase 4 Ped Clear Interval.
- The controller must be programmed for Ped Clear During Red Clear for Pedestrian Phase 4 so that Red displays continue to flash during Phase 4 Yellow Change and Red Clear.
- Make sure all Phase 2 and Phase 6 timings match each other.

### SIGNAL HEAD WIRING DETAIL

(wire signal heads as shown)



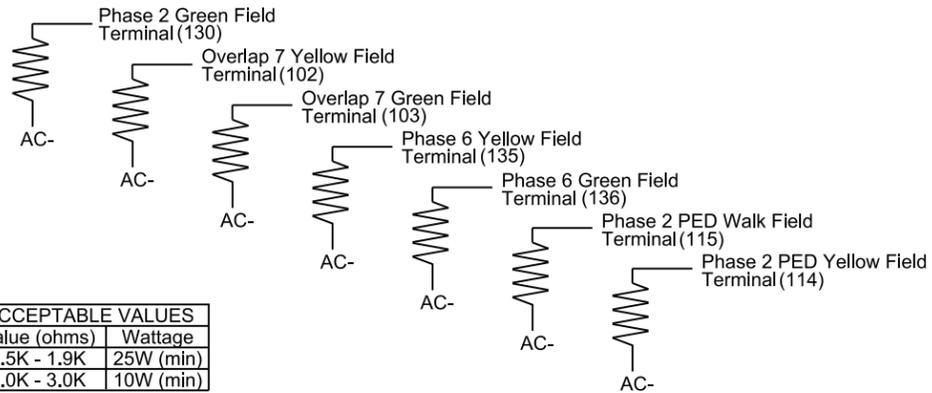
### TIMING INTERVAL

PHASE 2+6 = Dark Display  
 PHASE 2+6 PRE CLEARANCE = Flashing Yellow Time  
 PHASE 2+6 YELLOW CHANGE = Solid Yellow Time  
 PHASE 2+6 RED CLEAR = Steady Red Display  
 PHASE 4 YELLOW CHANGE = Alternating Flashing Red Display  
 PHASE 4 RED CLEAR = Alternating Flashing Red Display

Note: PHASE 4 = Dark Display during non-Preempt Sequence

### LOAD RESISTOR INSTALLATION DETAIL

(install resistors as shown)



ACCEPTABLE VALUES	
Value (ohms)	Wattage
1.5K - 1.9K	25W (min)
2.0K - 3.0K	10W (min)

NC Dept of Transportation  
 Division of Highways  
 Final Drawing Date: 09/19/2025  
 TSMO Unit

THIS ELECTRICAL DETAIL IS FOR  
 THE SIGNAL DESIGN: 09-0779  
 DESIGNED: June 2025  
 SEALED: 9/11/2025  
 REVISED: N/A

Electrical Detail - Sheet 1 of 3

Prepared in the Office of:  
  
 NC FIRM LICENSE No: P-0339  
 320 Executive Court  
 Hillsborough, NC 27278  
 (919) 732-3883  
 (919) 732-6676 (FAX)

Electrical AND PROGRAMMING DETAILS FOR:

Prepared For:  
  
 750 N. Greenfield Pkwy, Garner, NC 27529

NC 66  
 at  
 Kernersville Fire Station #42

Division 9 Forsyth County Kernersville  
 PLAN DATE: June 2025 REVIEWED BY: G. Fuller  
 PREPARED BY: E. Sirgany REVIEWED BY:

REVISIONS

INIT. DATE

DocuSigned by:  
 Edward W Sirgany 9/11/2025

SIG. INVENTORY NO. 09-0779

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SEAL  
  
 EDWARD W. SIRGANY  
 ENGINEER

### OUTPUT POINTS PROGRAMMING

Front Panel  
Main Menu >Controller >More >Advanced IO >Output Points

Web Interface  
Home >Controller >Advanced IO >Cabinet Configuration >Output Points

Modify IO Module 1 as shown below and save changes.

IO Module 1

Output Point	Description	Output Control Type	Index
33	C1-35	Global Variable	33

### OUTPUT CHANNEL CONFIGURATION

Front Panel  
Main Menu >Controller >More>Channels>Channels Config

Web Interface  
Home >Controller >Advanced IO>Channels>Channel Configuration

Channel Configuration

Channel	Control Type	Control Source	Flash Yellow	Flash Red	Flash Alt	MMU Channel
1	Phase Vehicle	1		X	X	1
2	Phase Vehicle	2	X			2
3	Phase Vehicle	3		X	X	3
4	Overlap	7		X		4
5	Phase Vehicle	5		X		5
6	Phase Vehicle	6	X		X	6
7	Phase Vehicle	7		X		7
8	Phase Vehicle	8		X	X	8
9	Overlap	1	X			9
10	Overlap	2		X	X	10
11	Overlap	3	X			11
12	Overlap	4		X		12
13	Phase Ped	2				13
14	Phase Ped	4				14
15	Phase Ped	6				15
16	Phase Ped	8				16
17	Overlap	5		X	X	17
18	Overlap	6		X		18

NOTE  
OVERLAP 7 →

### LOGIC PROCESSOR PROGRAMMING

Front Panel  
Main Menu >Controller >More >User Programs >Definition

Web Interface  
Home >Controller >User Programs Configuration >User Programs Definition

Modify Program 1 as shown below and save changes.

Program 1

Statement	Result	Index	Operation	Parameter A	Index	Parameter B	Index	Delay	Ext
21	Global Variable	33	Result=(A OR B)	Preempt Input	2	Preempt Status	2	0.0	0.0
22	Phase Phase Omit	4	Result=!A	Preempt Status	2	None	0	0.0	0.0

### LOGIC STATEMENT DESCRIPTION

Statement 21 Description: Turns pilot lamp on when button is pushed.

Statement 22 Description: Omits phase 4 while not in preemption.

### OVERLAP PROGRAMMING

Front Panel  
Main Menu >Controller >Overlap >Overlap Parameters/Overlap Timings

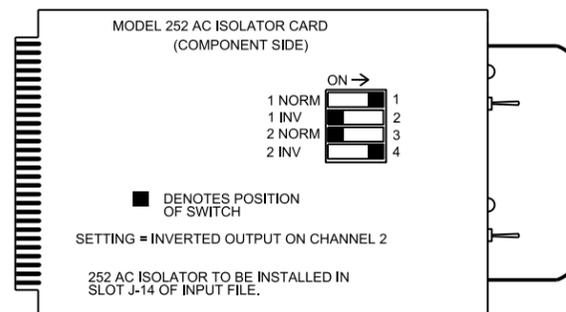
Web Interface  
Home >Controller >Overlap Configuration >Overlaps

Overlap Plan 1

Overlap	7
Type	Custom 1
Included Phases	4
Modifier Phases	-
Modifier Overlaps	-
Trail Green	0
Trail Yellow	0.0
Trail Red	0.0

### PREEMPT 2 AC ISOLATOR (MODEL 252) OUTPUT PROGRAMMING DETAIL

(set DIP switches as shown below)



### CUSTOM OVERLAP PROGRAMMING

Front Panel  
Main Menu >Controller >Overlap >Custom Overlap Rules

Web Interface  
Home >Controller >Overlap Configuration >Custom Overlap Rules

Rule	Custom Overlap	Included Phase State	Modifier Phase State	Modifier Overlap State	Negative Phase State	Output	Flash
1	Custom 1	Ped Clear	Any	Any	Any	Red	On
2	Custom 1	Red	Any	Any	Any	Blank	Not Set

NC Dept of Transportation  
Division of Highways  
Final Drawing Date: 09/19/2025  
TSMO Unit

THIS ELECTRICAL DETAIL IS FOR  
THE SIGNAL DESIGN: 09-0779  
DESIGNED: June 2025  
SEALED: 9/11/2025  
REVISED: N/A

Electrical Detail - Sheet 2 of 3

Prepared in the Office of:  
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NC FIRM LICENSE No: P-0339  
320 Executive Court  
Hillsborough, NC 27278  
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Prepared For:  
**UNIVERSITY OF NORTH CAROLINA**  
Department of Transportation  
111 S. Tryon Street, Raleigh, NC 27601  
750 N. Greenfield Pkwy, Garner, NC 27529

NC 66  
at  
Kernersville Fire Station #42  
Division 9 Forsyth County Kernersville  
PLAN DATE: June 2025 REVIEWED BY: G. Fuller  
PREPARED BY: E. Sirgany REVIEWED BY:  
REVISIONS  
INIT. DATE

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ENGINEER  
018174  
DocuSigned by:  
Edward W Sirgany 9/11/2025  
DATE  
SIG. INVENTORY NO. 09-0779

## PREEMPTION PROGRAMMING

Front Panel  
Main Menu > Controller > Preemption > Preempt Phasing/Preempt Parameters

Web Interface  
Home > Controller > Preempt Configuration > Preempts

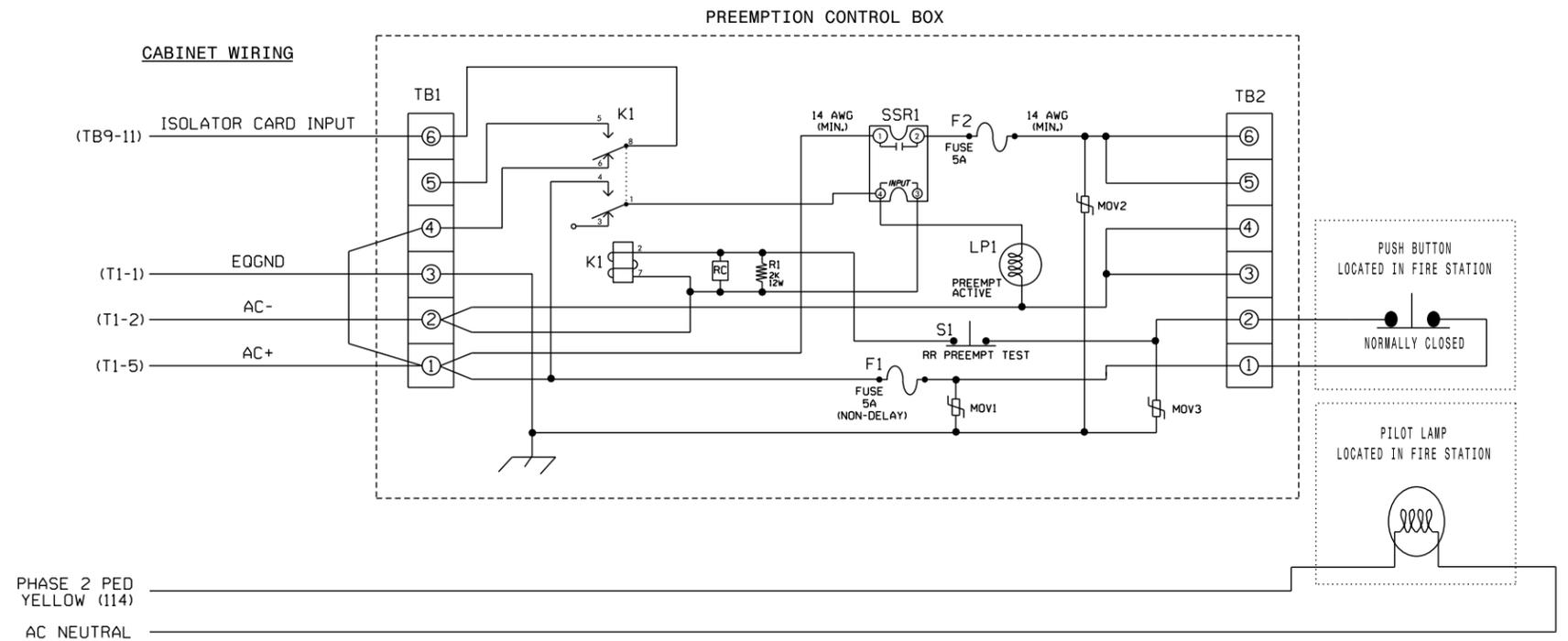
### Preempt Configuration

Preempt	2
Enabled	Enabled
Type	Emergency Veh
Track Phases	-
Track Overlaps	-
Dwell Phases	4
Dwell Peds	4
Dwell Overlaps	-
Cycling Phases	-
Cycling Peds	-
Cycling Overlaps	-
Exit Phases	2
Exit Overlaps	-
Delay	*
Call Ext Time	0.0
Max Presence	120
Max Pres Act	Terminate
Enter Min Green	1
Enter Walk	1
Enter Ped Clear	25.5
Enter Yellow Change	25.5
Enter Red Clear	25.5
Track Green	0
Track Yellow Clr	25.5
Track Red Clear	25.5
Dwell Green	0
Exit Min Green	25.5
Exit Yellow Change	25.5
Exit Red Clear	25.5
Exit Type	Exit Phases
Non Locking Memory	-
Not OvrD Flash	X
Not OvrD Nxt Pre	-
Require All Red Entry	-
Track Clear OvrD	X
Ped Clear During Yellow	-
Entry Omit OLTG	-
Track Reserve	-

\* The Division Traffic Engineer will determine the Delay before Preempt time.

## EMERGENCY VEHICLE PREEMPTION WIRING DETAIL

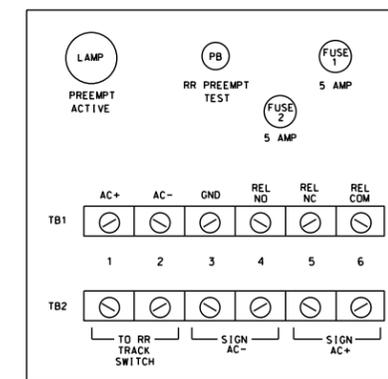
(wire as shown below)



### NOTES

- Relay K1 is shown in the energized (Preempt not active) normal operation state.
- Relay 'K1' is a DPDT with 120VAC coil with octal base.
- Relay SSR1 is a SPST (normally open) Solid State Relay with AC input and AC (25 amp) output.
- AC Isolator Card shall activate preemption upon removal of AC+ from the input (as shown above). To accomplish this set invert dip switch on AC Isolator Card.
- IMPORTANT!!** A jumper must be added between input file terminals J14-E and J14-K if not already present. Also, terminal TB9-12 (on input panel) shall be connected to AC neutral (jumper may have to be added).

### FRONT VIEW



### PREEMPTION CONTROL BOX

Electrical Detail - Sheet 3 of 3

NC Dept of Transportation  
Division of Highways  
Final Drawing Date: 09/19/2025  
TSMO Unit

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THE SIGNAL DESIGN: 09-0779  
DESIGNED: June 2025  
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Prepared For:  
UNIVERSITY OF NORTH CAROLINA  
DEPARTMENT OF TRANSPORTATION  
750 N. Greenfield Pkwy, Garner, NC 27529

NC 66  
at  
Kernersville Fire Station #42

Division 9 Forsyth County Kernersville  
PLAN DATE: June 2025 REVIEWED BY: G. Fuller  
PREPARED BY: E. Sirgany REVIEWED BY:

REVISIONS	INIT.	DATE

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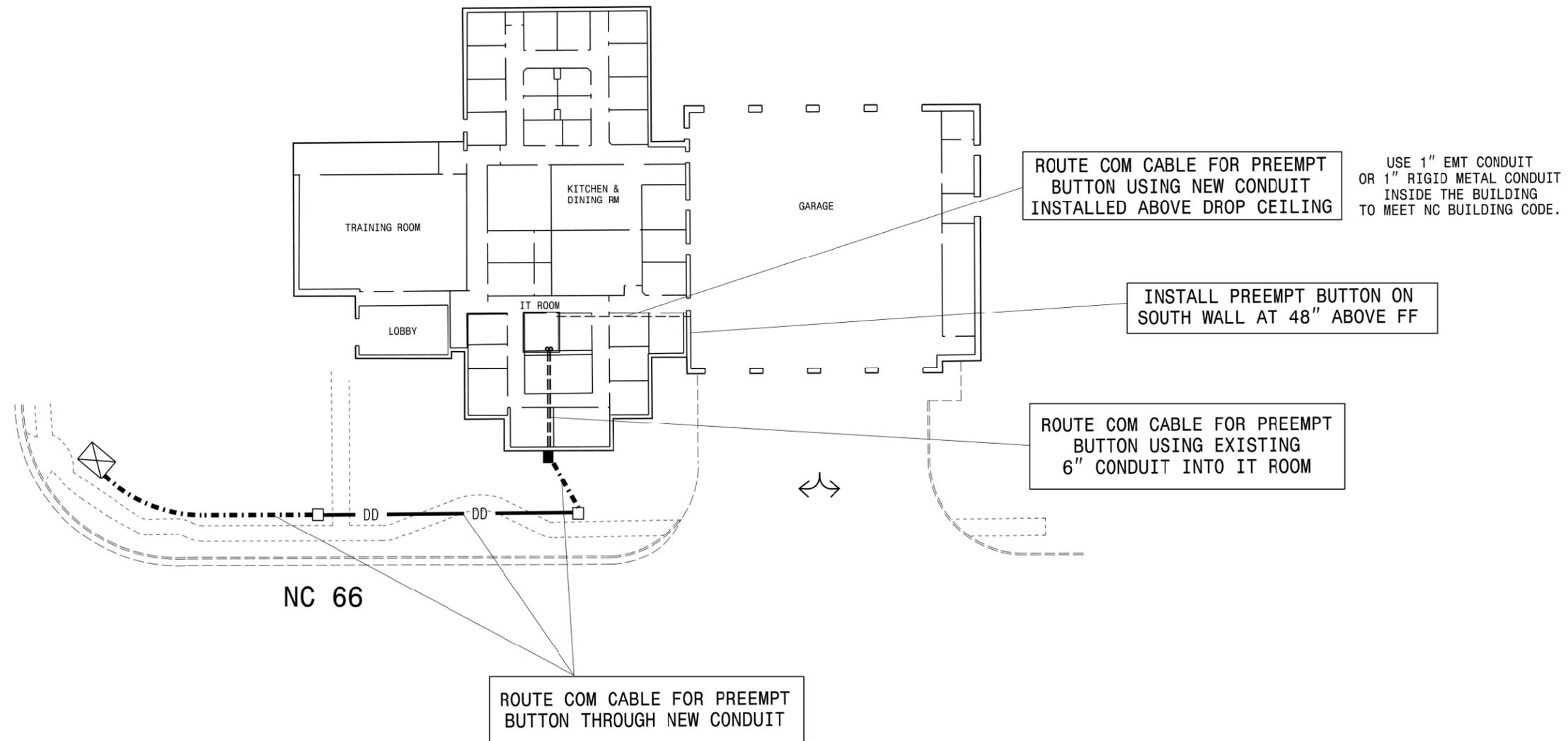
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Edward W Sirgany 9/11/2025  
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# PREEMPT BUTTON INSTALL DETAIL

## Kernersville Fire Station #42



USE 1" EMT CONDUIT  
OR 1" RIGID METAL CONDUIT  
INSIDE THE BUILDING  
TO MEET NC BUILDING CODE.

INSTALL PREEMPT BUTTON ON  
SOUTH WALL AT 48" ABOVE FF

ROUTE COM CABLE FOR PREEMPT  
BUTTON USING EXISTING  
6" CONDUIT INTO IT ROOM

ROUTE COM CABLE FOR PREEMPT  
BUTTON THROUGH NEW CONDUIT

NC 66

New Installation

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*Robert J. Jenks*  
TSMO Unit 18084882744464

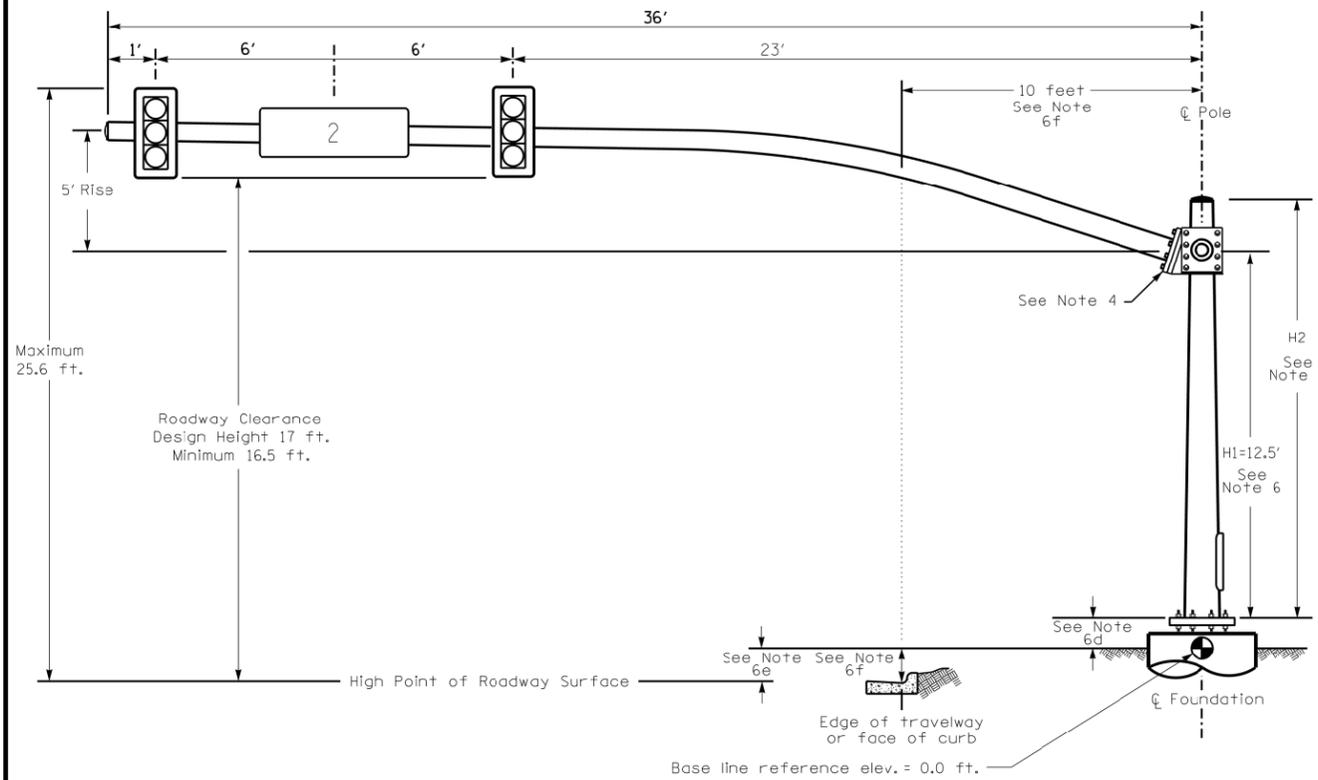
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NC 66  
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REVISIONS INIT. DATE

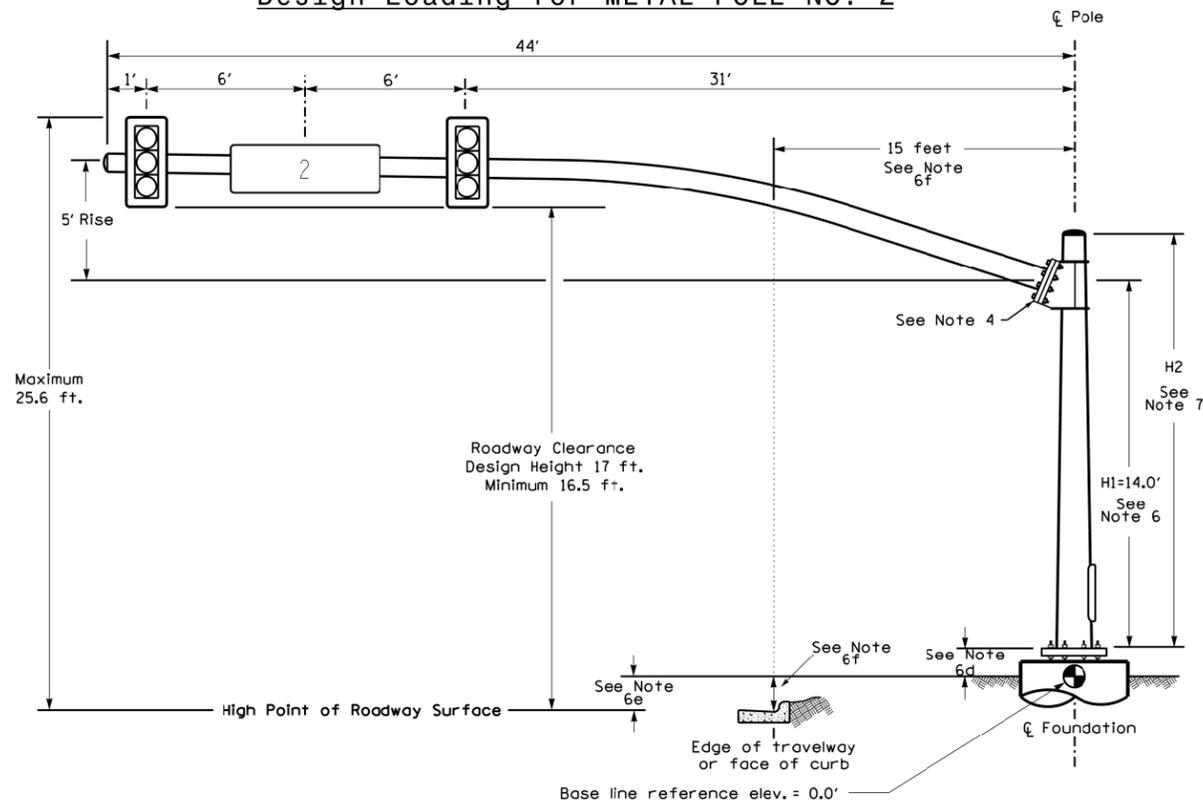
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ENGINEER  
EDWARD W. SIRGANY  
DocuSigned by:  
*Edward W. Sirgany* 9/11/2025  
DATE  
SIG. INVENTORY NO. 09-0179

Design Loading for METAL POLE NO. 1



Elevation View

Design Loading for METAL POLE NO. 2

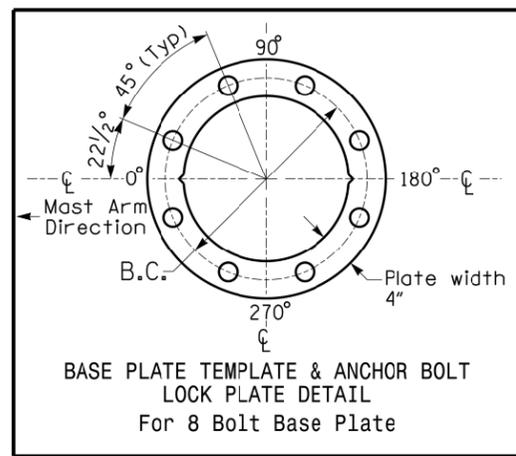
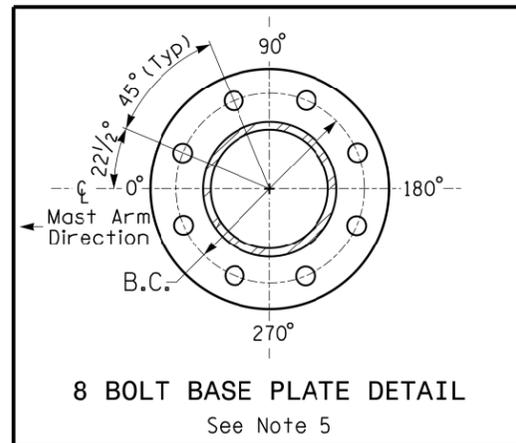
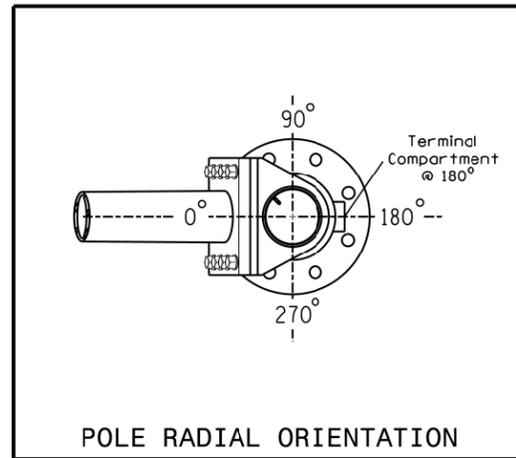


Elevation View

**SPECIAL NOTE**  
The contractor is responsible for verifying that the mast arm attachment height (H1) will provide the "Design Height" clearance from the roadway before submitting final shop drawings for approval. Verify elevation data below which was obtained by field measurement or from available project survey data.

**Elevation Data for Mast Arm Attachment (H1)**

Elevation Differences for:	Pole 1	Pole 2
Baseline reference point at $\phi$ Foundation @ ground level	0.0 ft.	0.0 ft.
Elevation difference at High point of roadway surface	+0.5 ft.	05 ft.
Elevation difference at Edge of travelway or face of curb	0.0 ft.	0.0 ft.



**MAST ARM LOADING SCHEDULE**

LOADING SYMBOL	DESCRIPTION	AREA	SIZE	WEIGHT
	RIGID MOUNTED SIGNAL HEAD 12"-3 SECTION-WITH BACKPLATE	9.3 S.F.	25.5" W X 52.5" L	60 LBS
	SIGN RIGID MOUNTED	10 S.F.	24.0" W X 60.0" L	22 LBS

- NOTES**
- DESIGN REFERENCE MATERIAL**
- Design the traffic signal structure and foundation in accordance with:
    - The 1st Edition 2015 AASHTO LRFD "Standard Specifications for Structural Supports for Highway Signs, Luminaires, and Traffic Signals, including all of the latest interim revisions.
    - The 2024 NCDOT "Standard Specifications for Roads and Structures." The latest addenda to the specifications can be found in the traffic signal project special provisions.
    - The 2024 NCDOT Roadway Standard Drawings.
    - The traffic signal project plans and special provisions.
    - The NCDOT "Metal Pole Standards" located at the following NCDOT website: <https://connect.ncdot.gov/resources/safety/Pages/ITS-Design-Resources.aspx>

- DESIGN REQUIREMENTS**
- Design the traffic signal structure using the loading conditions shown in the elevation views. These are anticipated worst case "design loads" and may not represent the actual loads that will be applied at the time of the installation. The contractor should refer to the traffic signal plans for the actual loads that will be applied at the time of the installation.
  - Design all signal supports using force ratios that do not exceed 0.9.
  - A clamp-type bolted mast arm-to-pole connection may be used instead of the welded ring stiffened box connection shown as long as the connection meets all of the design requirements. This requires staggering the connections. Use elevation data for each arm to determine appropriate connection points.
  - Design base plate with 8 anchor bolt holes. Provide 2 inch x 60 inch anchor bolts.
  - The mast arm attachment height (H1) shown is based on the following design assumptions:
    - Nominal vertical rise in mast arm is 5 feet as measured from the centerline of the arm base to the centerline of the free end of the arm.
    - Signal heads are rigidly mounted and vertically centered on the mast arm.
    - The roadway clearance height for design is as shown in the elevation views.
    - The top of the pole base plate is 0.75 feet above the ground elevation.
    - Refer to the Elevation Data Chart for the elevation differences between the proposed foundation ground level and the high point of the roadway.
    - Provide horizontal distance from the proposed centerline of the foundation to the edge of travelway. Refer to the Elevation Data Chart for elevation difference between the proposed foundation ground level and the edge of travelway. This information is necessary to ensure that the roadway clearance is maintained at the edge of the travelway and to aid in the camber design of the arm.
  - The pole manufacturer will determine the total height (H2) of each pole using the greater of the following:
    - Mast arm attachment height (H1) plus 2 feet, or
    - H1 plus 1/2 of the total height of the mast arm attachment plate plus 1 foot.
  - If pole location adjustments are required, the contractor must gain approval from the Engineer as this may affect the mast arm lengths and arm attachment heights. The contractor may contact the Signal Design Section Senior Structural Engineer for assistance at (919) 814-5000.
  - The contractor is responsible for verifying that the mast arm length shown will allow proper positioning of the signal heads over the roadway.
  - The contractor is responsible for providing soil penetration testing data (SPT) to the pole manufacturer so site specific foundations can be designed.

All metal poles and arms should be Semi-Gloss Black in color (Federal 595C Chip # 17038) as specified in the project special provisions.

NC Dept of Transportation  
Division of Highways  
Final Drawing Date: 09/19/2025  
TSMO Unit

Prepared in the Office of:  
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NC FIRM LICENSE No: P-0339  
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Hillsborough, NC 27278  
(919) 732-3883  
(919) 732-6676 (FAX)

NCDOT Wind Zone 5 (110 mph)

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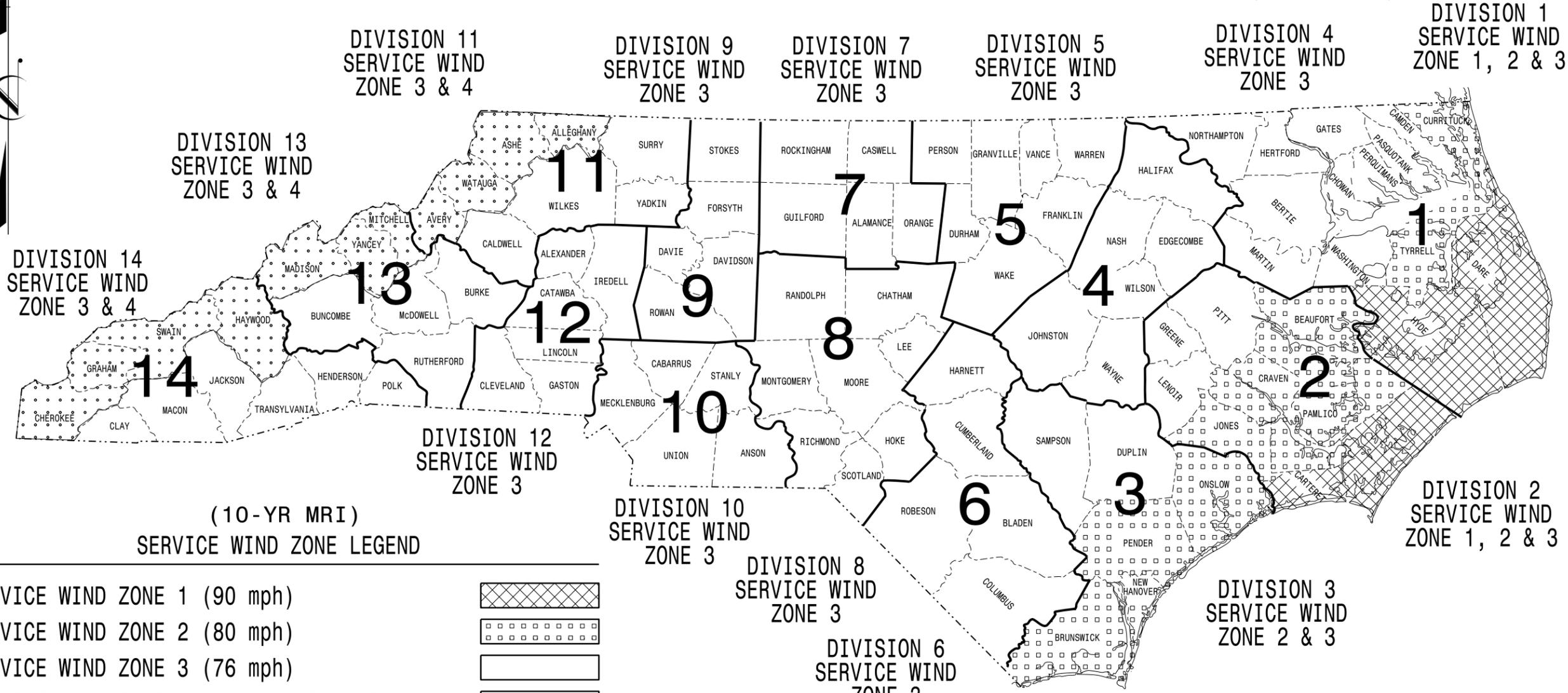
	NC 66 at Kernersville Fire Station #42 Division 9 Forsyth County Kernersville PLAN DATE: June 2025 REVIEWED BY: G. Fuller PREPARED BY: E. Sirgany REVIEWED BY:	
	SCALE: 0 N/A REVISIONS: _____ INIT. DATE _____ DATE: 9/11/2025 SIG. INVENTORY NO. 09-0179	



# STATE OF NORTH CAROLINA DIVISION OF HIGHWAYS

PROJECT I.D. NO.	SHEET NO.
36249.4967	Sig.M1B

## STANDARD DRAWINGS FOR ALL METAL POLES (LRFD)



<https://connect.ncdot.gov/resources/safety/Pages/ITS-Design-Resources.aspx>

03-OCT-2023 12:21 S:\IT\SSM\ITS\Signal\Design\Sections\structures\Drawings\2024\Metal Pole Std Drawings For LRFD\2024 Sig. M1B Standard All Metal Pole (10-yr MRI).dgn

Prepared in the Offices of:

Department of Transportation  
Signal Design Section  
750 N. Greenfield Pkwy.  
Garner, NC 27529

Designed in conformance  
with the latest  
2020 Interim to the  
1st Edition 2015  
**AASHTO  
LRFD**  
Standard Specifications for  
Highway Signs, Luminaires,  
and Traffic Signals

DRAWING NUMBER	INDEX OF PLANS DESCRIPTION
Sig. M 1A	Statewide Wind Zone Map (700-yr MRI)
Sig. M 1B	Statewide Wind Zone Map (10-yr MRI)
Sig. M 2	Typical Fabrication Details-All Metal Poles
Sig. M 3	Typical Fabrication Details-Strain Poles
Sig. M 4	Typical Fabrication Details-Mast Arm Poles
Sig. M 5	Typical Fabrication Details-Mast Arm Connection
Sig. M 6	Typical Fabrication Details-Strain Pole Attachments
Sig. M 7	Construction Details-Foundations
Sig. M 8	Standard Strain Pole Foundation-All Soil Conditions
Sig. M 9	Typical Fabrication Details-CCTV Camera Poles

**NCDOT CONTACTS:**  
MOBILITY AND SAFETY DIVISION -  
TRANSPORTATION SYSTEMS MANAGEMENT  
AND OPERATIONS UNIT

---

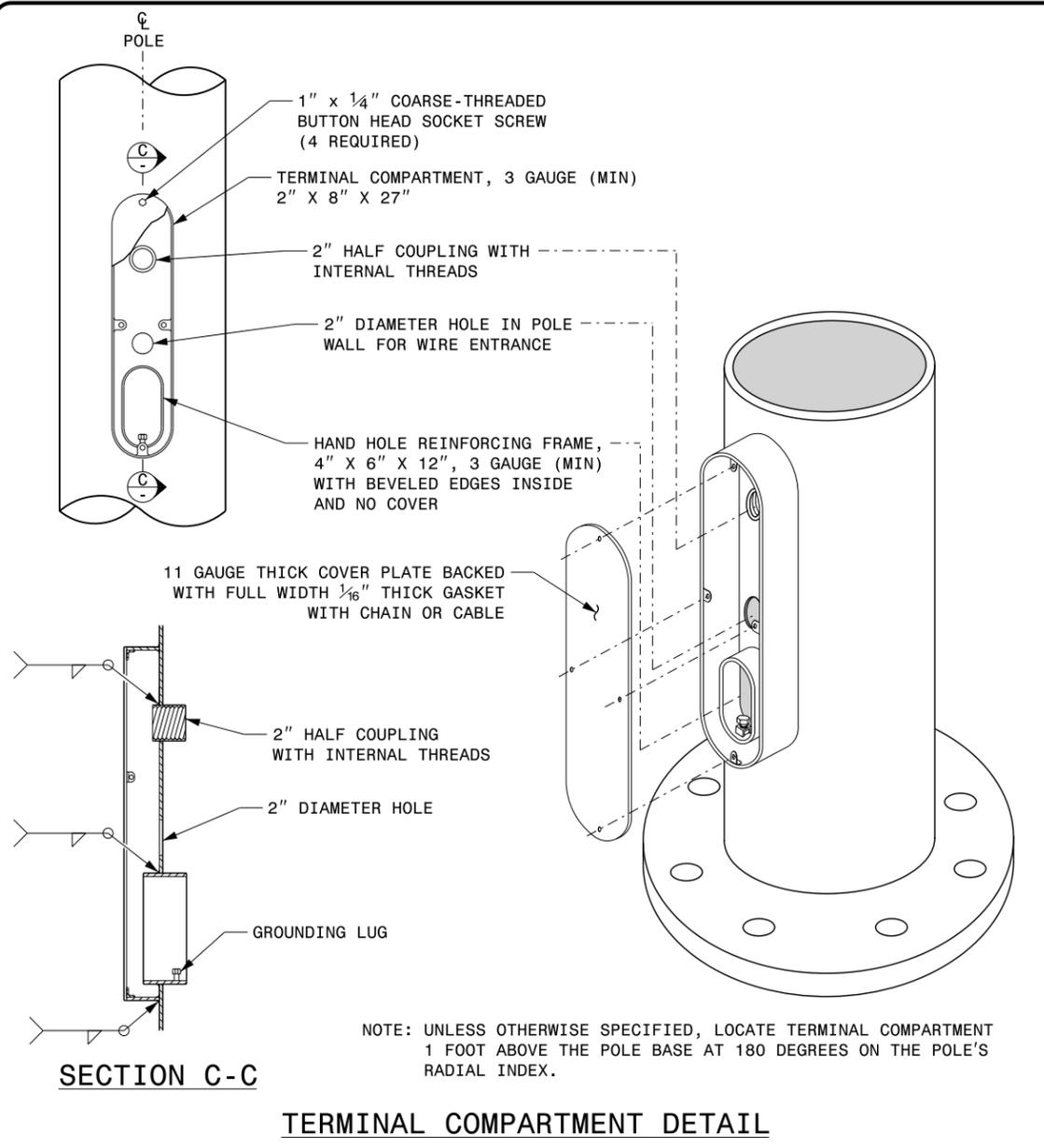
**D.Y. ISHAK** - STATE SIGNALS ENGINEER  
**K. DURIGON, P.E.** - ITS AND SIGNALS STRUCTURAL ENGINEER  
**B. WALKER, P.E.** - ITS AND SIGNALS STRUCTURAL ENGINEER

SEAL

DocuSigned by:  
**Kevin Durigon**  
SIGNATURE  
4823DC79B3784DA

09/21/2023  
DATE

PROJECT I.D. NO.	SHEET NO.
36249.4967	Sig.M2



SECTION C-C

TERMINAL COMPARTMENT DETAIL

NOTE: UNLESS OTHERWISE SPECIFIED, LOCATE TERMINAL COMPARTMENT 1 FOOT ABOVE THE POLE BASE AT 180 DEGREES ON THE POLE'S RADIAL INDEX.

MFG _____ MFG. DATE: MM/YY	MFG _____ MFG. DATE:MM/YY
SHAFT D/T/L/Y _____	SECTION D/T/L/Y _____
ARM-A D/T/L/Y _____	NCDOT SIG. INV. NO. _____
ARM-B D/T/L/Y _____	NCDOT POLE NO. _____
A.B. DIA./B.C./L/Y _____	
NCDOT SIG. INV. NO. _____	
NCDOT POLE NO. _____	

MFG _____ MFG. DATE:MM/YY
SECTION D/T/L/Y _____
NCDOT SIG. INV. NO. _____
NCDOT POLE NO. _____

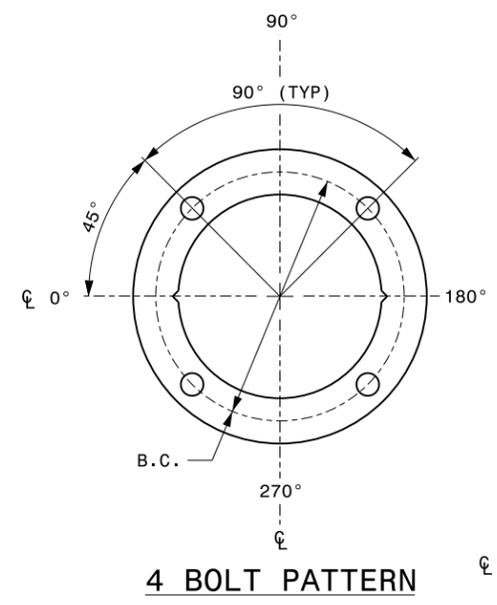
ARM I.D. TAG  
(PROVIDE ON EACH SECTION OF A MULTI-SECTION MAST ARM)

SHAFT I.D. TAG  
(PROVIDE ON SHAFT OF STRAIN POLES AND MAST ARM POLE SHAFT)

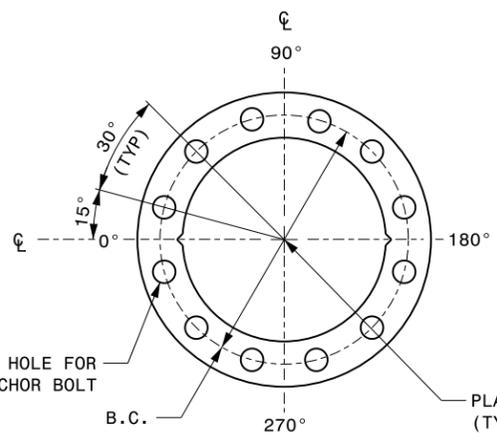
NOTES:

1. D = DIAMETER, T = THICKNESS, L = LENGTH, Y = YIELD STRENGTH
2. A.B. = ANCHOR BOLT
3. B.C. = BOLT CIRCLE OF ANCHOR BOLTS
4. IF STANDARD DESIGN, INCLUDE CASE NUMBER IN ADDITION TO POLE NUMBER ON "NCDOT POLE NO." LINE.
5. SIGNAL INV. NUMBER AND POLE I.D. NUMBER. SEE DRAWING M3 AND M4 FOR MOUNTING POSITIONS OF I.D. TAGS.

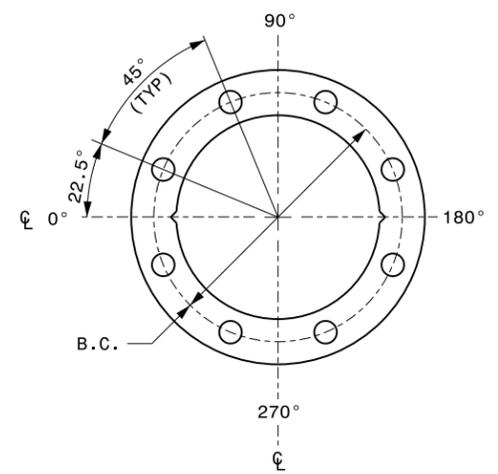
IDENTIFICATION TAG DETAILS



4 BOLT PATTERN



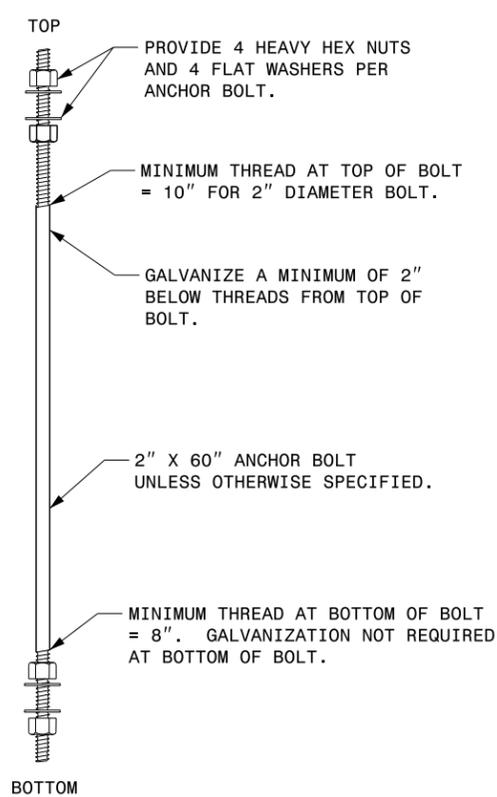
12 BOLT PATTERN



8 BOLT PATTERN

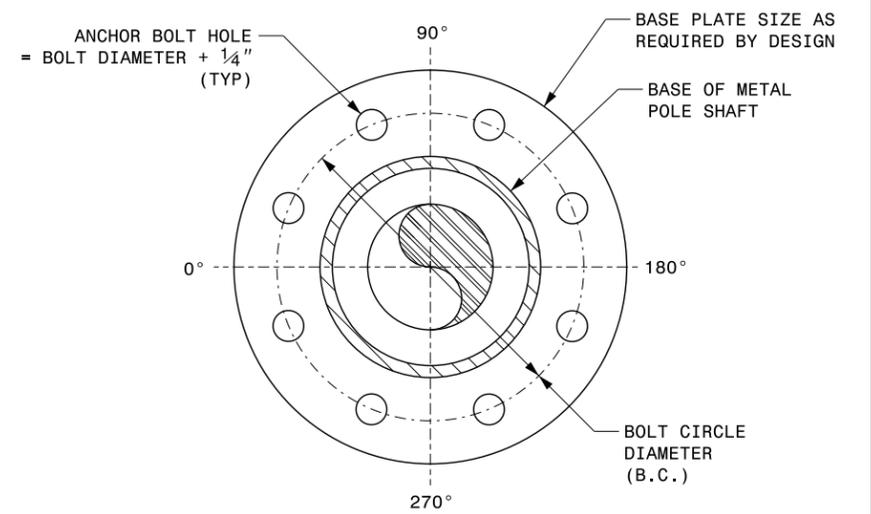
CONSTRUCT TEMPLATES AND PLATES FROM 1/4" (MIN) THICK STEEL. GALVANIZING IS NOT REQUIRED.

BASE PLATE TEMPLATE AND ANCHOR BOLT LOCK PLATE DETAILS



BOTTOM

ANCHOR BOLT DETAIL



NOTE: BASE PLATE MAY BE CIRCULAR, OCTAGONAL, SQUARE OR RECTANGULAR IN SHAPE.

TYPICAL BASE PLATE DETAIL



Typical Fabrication Details  
For  
All Metal Poles

PLAN DATE: SEPTEMBER 2023 DESIGNED BY: C.F. ANDREWS  
PREPARED BY: K.C. DURIGON REVIEWED BY: D.C. SARKAR

SCALE  
0 NA  
NONE

DocuSigned by:  
Kevin Durigon

4B23DC79B3784DA

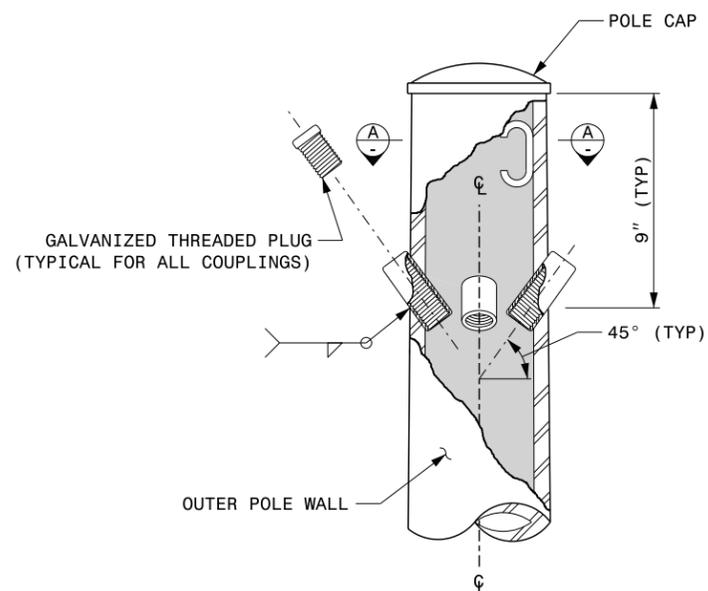
09/21/2023  
DATE

03-DEC-2023 1:12:24 S:\JTS\361415\Sigs\M2\Sig.M2.dwg for LRFD\2024 Sig.M2 Std. Fabrication Details-All Poles.dgn Kedar.Togn

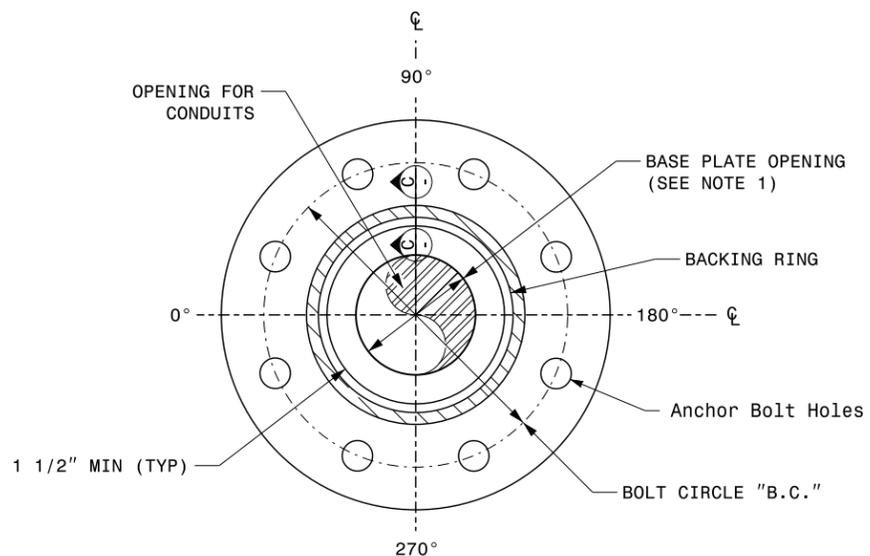
Fabrication Details – All Metal Poles

NOTE:

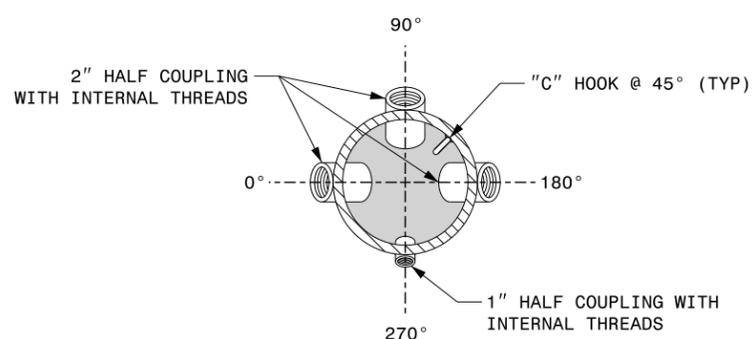
- 1. OPENING IN POLE BASE PLATE SHALL BE EQUAL TO POLE BASE INSIDE DIAMETER MINUS 3 1/2" BUT SHALL NOT BE LESS THAN 8 1/2".



CABLE ENTRANCES AT TOP OF POLE

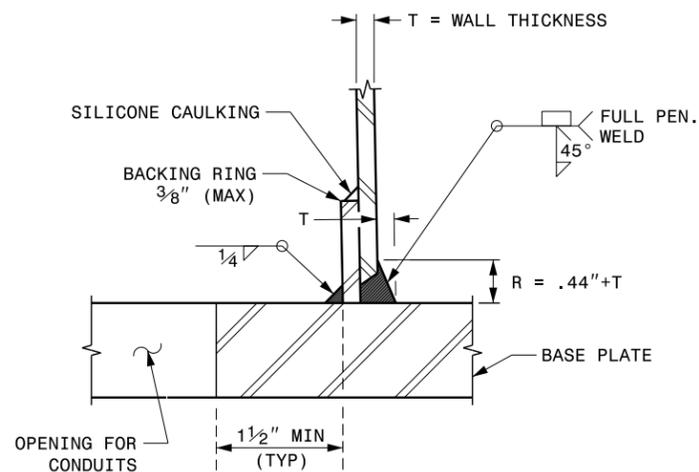


SECTION B-B  
POLE BASE PLATE DETAILS  
(8 AND 12 BOLT PATTERN)



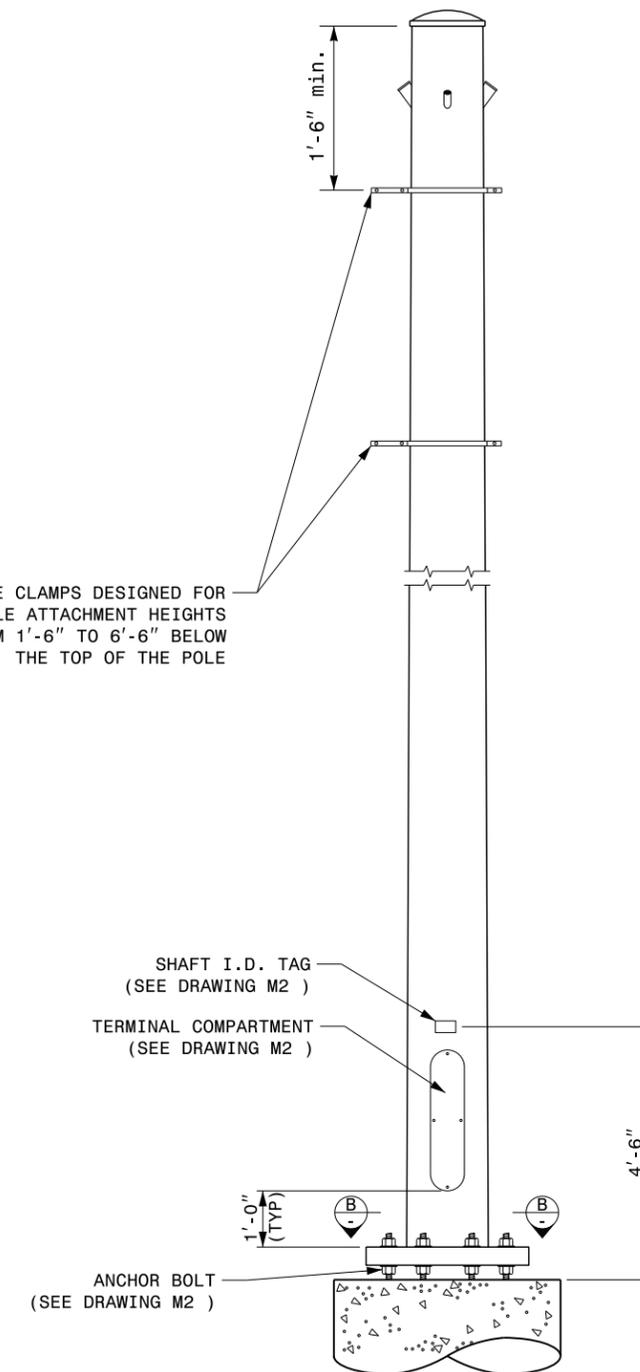
SECTION A-A

RADIAL ORIENTATION OF FACTORY INSTALLED  
ACCESSORIES AT TOP OF POLE



SECTION C-C  
(POLE ATTACHMENT TO BASE PLATE)  
FULL-PENETRATION  
GROOVE WELD DETAIL

2 CABLE CLAMPS DESIGNED FOR  
VARIABLE ATTACHMENT HEIGHTS  
FROM 1'-6" TO 6'-6" BELOW  
THE TOP OF THE POLE



MONOTUBE STRAIN POLE

Prepared in the Offices of:

750 N. Greenfield Pkwy, Garner, NC 27529

SCALE: NONE

Typical Fabrication Details For Strain Poles

PLAN DATE: SEPTEMBER 2023	DESIGNED BY: K.C. DURIGON
PREPARED BY: K.C. DURIGON	REVIEWED BY: D.C. SARKAR
REVISIONS	INIT. DATE

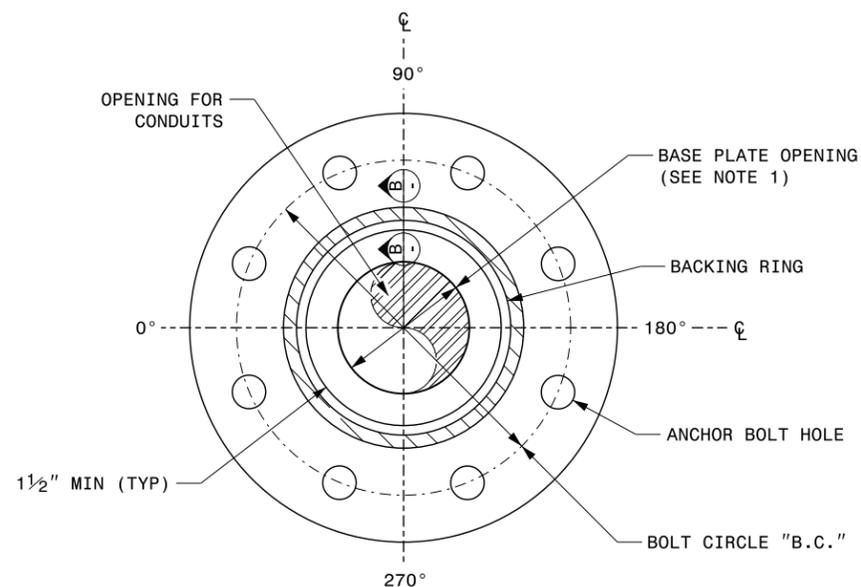
SEAL

DocuSigned by:  
**Kevin Durigon**  
4B23DC79B3784DA

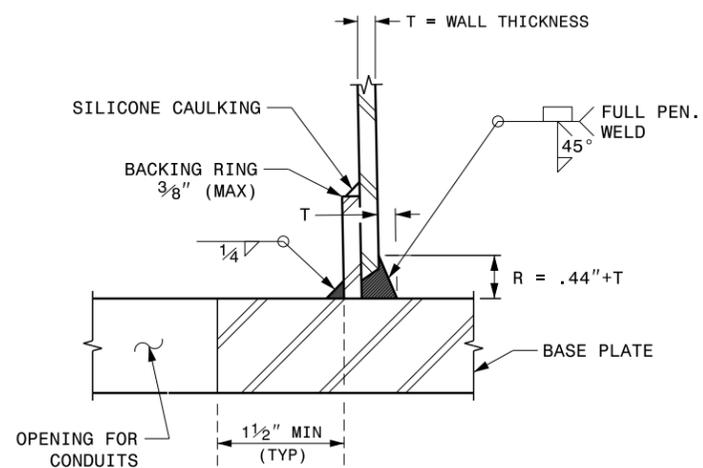
09/21/2023  
DATE

NOTE:

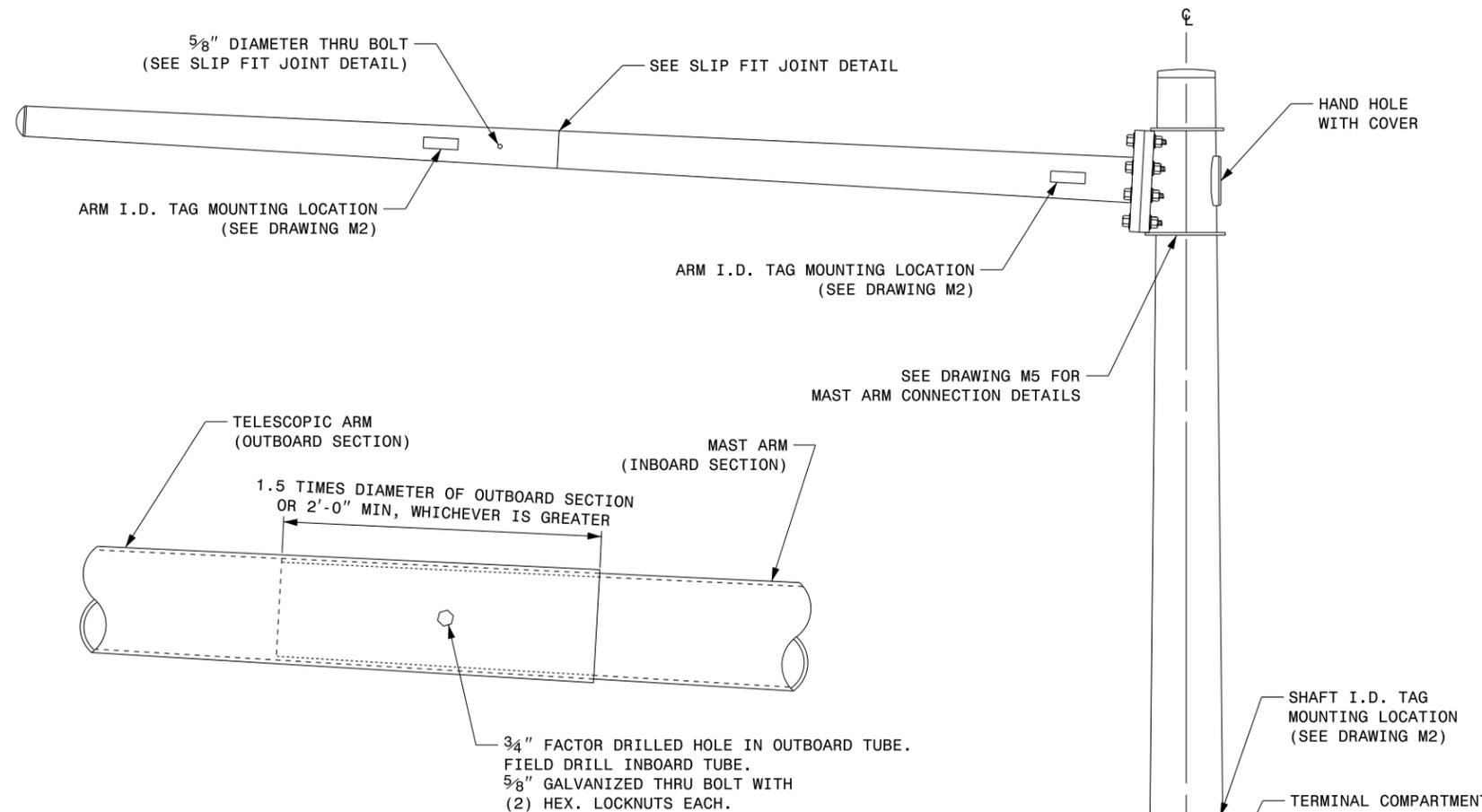
- 1. OPENING IN POLE BASE PLATE SHALL BE EQUAL TO POLE BASE INSIDE DIAMETER MINUS 3 1/2" BUT SHALL NOT BE LESS THAN 8 1/2".



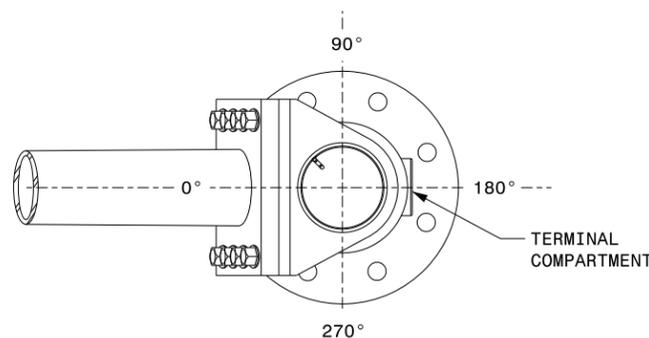
SECTION A-A  
POLE BASE PLATE DETAILS



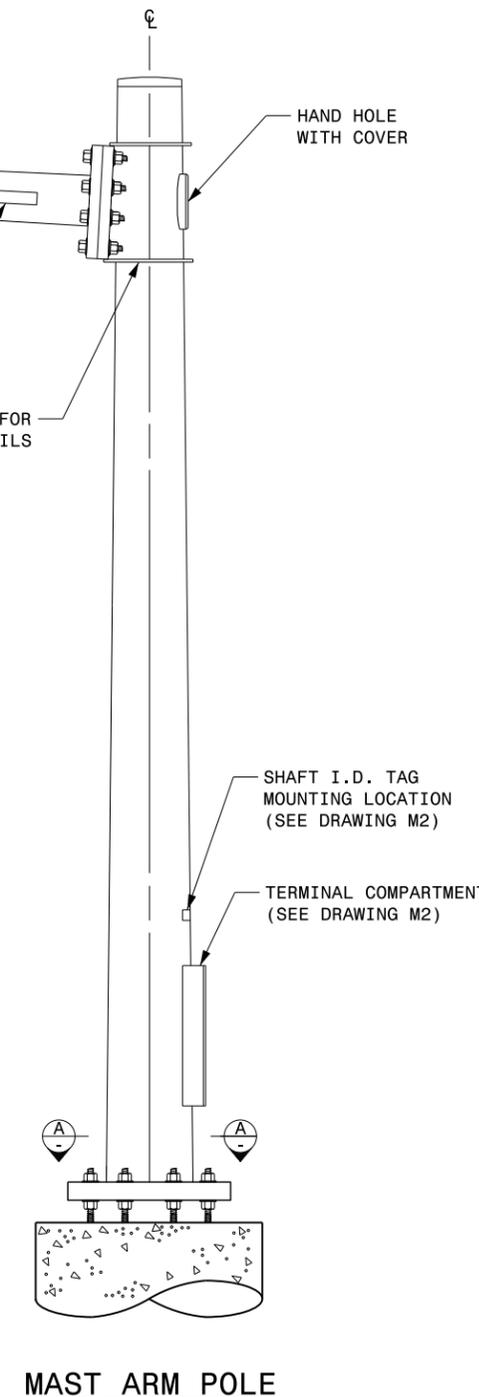
SECTION B-B  
(POLE ATTACHMENT TO BASE PLATE)  
FULL-PENETRATION  
GROOVE WELD DETAIL



SLIP FIT JOINT DETAIL FOR MAST ARM



MAST ARM RADIAL ORIENTATION



MAST ARM POLE

Fabrication Details – Mast Arm Poles

03-0CT-2023 12:15 S:\ITS\AS\W\TS\Sig\m4\Sig.M4.dwg LRFD#2024 Sig.M4 Std. Fabrication Details-Mast Arm Poles.dgn Kedar.Togn

Prepared in the Offices of:

750 N. Greenfield Pkwy, Garner, NC 27529

SCALE: NONE

Typical Fabrication Details For Mast Arm Poles

PLAN DATE: SEPTEMBER 2023	DESIGNED BY: K.C. DURIGON
PREPARED BY: K.C. DURIGON	REVIEWED BY: D.C. SARKAR
REVISIONS	INIT. DATE

SEAL

DocuSigned by:  
**Kevin Durigon**  
4B23DC79B378ADA

09/21/2023 DATE

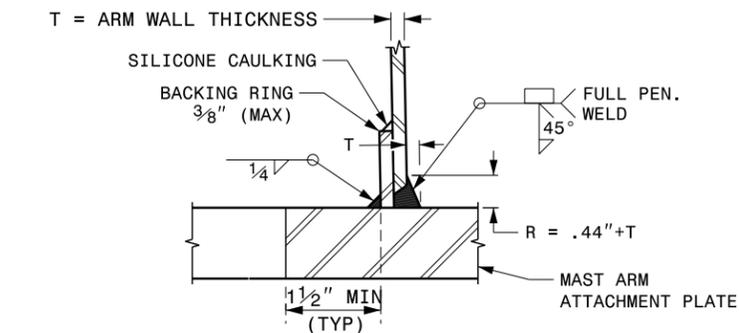
# WELDED RING STIFFENED MAST ARM CONNECTION

PROJECT I.D. NO.

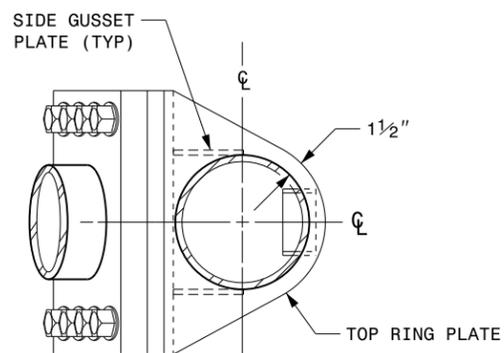
SHEET NO.

36249.4967

Sig.M5



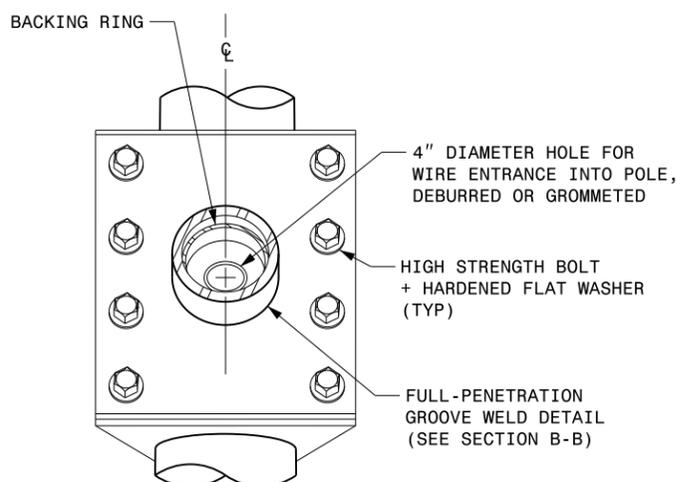
**SECTION B-B**  
FULL-PENETRATION GROOVE WELD DETAIL



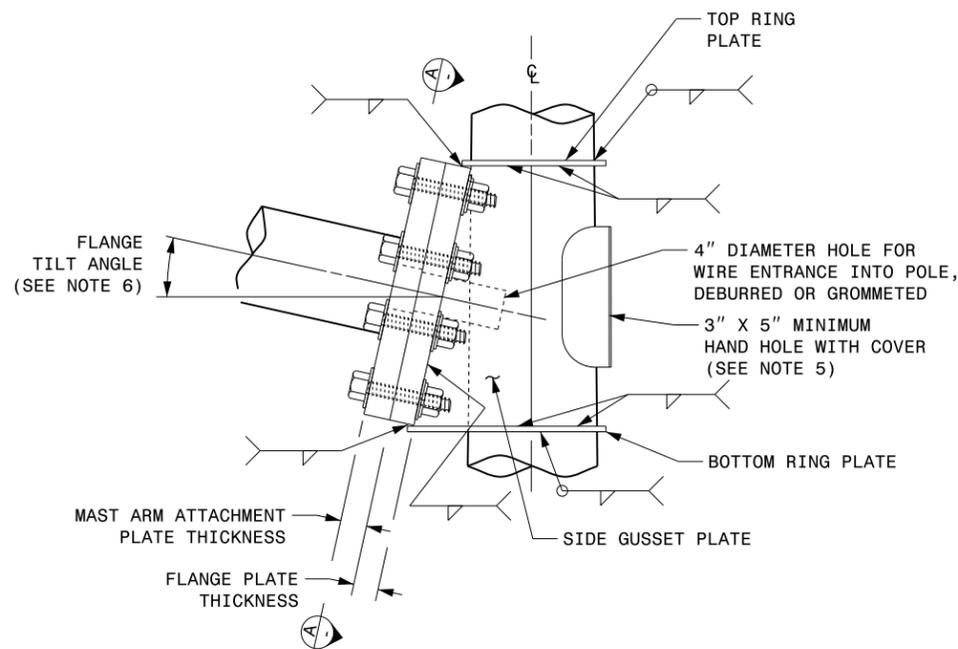
**PLAN VIEW**

**NOTES:**

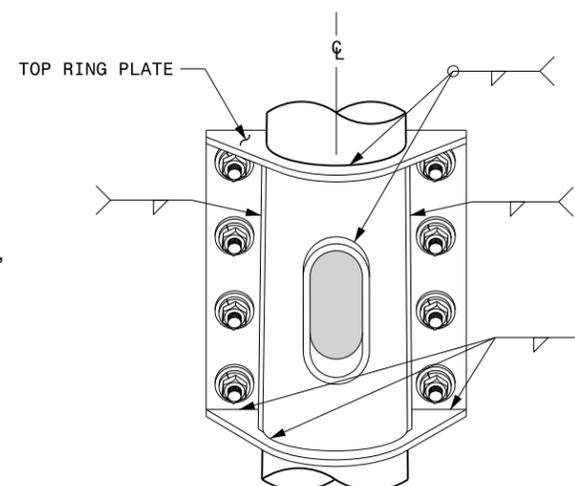
1. PROVIDE A PERMANENT MEANS OF IDENTIFICATION ABOVE THE MAST ARM TO INDICATE PROPER ATTACHMENT ORIENTATION OF THE MAST ARM.
2. DESIGNER WILL DETERMINE THE SIZE OF ALL STRUCTURAL COMPONENTS, PLATES, FASTENERS, AND WELDS SHOWN UNLESS THEY ARE ALREADY SPECIFIED.
3. FABRICATOR IS RESPONSIBLE FOR PROVIDING APPROPRIATE HOLES AT DRAINAGE POINTS TO DRAIN GALVANIZING MATERIALS.
4. FOR MINIMUM EDGE DISTANCE AND NOMINAL BOLT HOLE SIZE, FOLLOW THE LATEST AISC STEEL CONSTRUCTION MANUAL.
5. PROVIDE UPPER HANDHOLE AS NECESSARY WHEN SHAFT EXTENSIONS ARE REQUIRED FOR LUMINAIRE ARMS OR CAMERA. FOR POLES WITHOUT LUMINAIRES/CAMERA, WIRING CAN BE DONE THROUGH THE TOP OF POLE.
6. ALLOWABLE RANGE OF FLANGE TILT ANGLE WILL VARY FROM 0° TO AS REQUIRED.



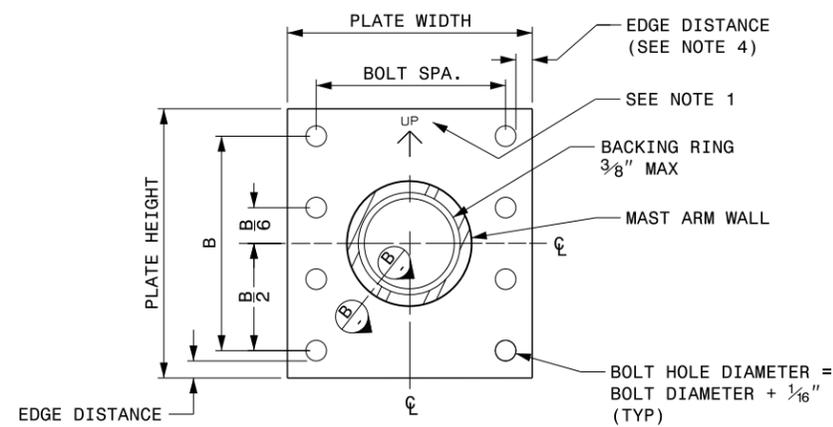
**FRONT ELEVATION VIEW**



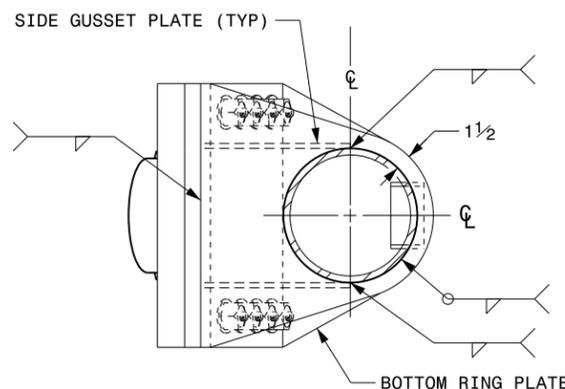
**SIDE ELEVATION VIEW**



**BACK ELEVATION VIEW**



**SECTION A-A**  
MAST ARM ATTACHMENT PLATE



**BOTTOM VIEW**

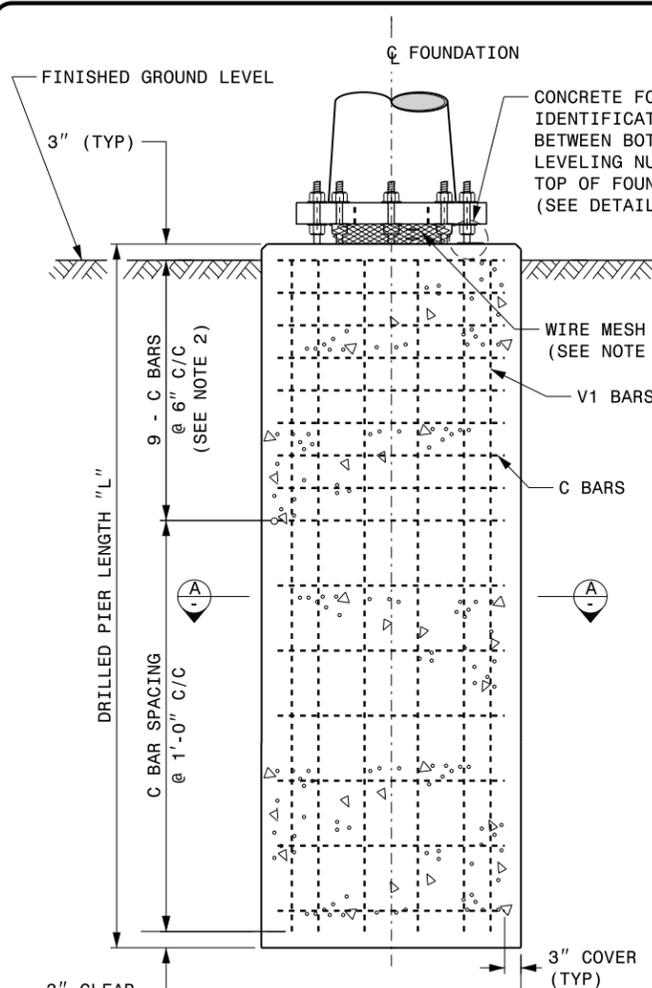
	Typical Fabrication Details For Mast Arm Connection To Pole		
	PLAN DATE: SEPTEMBER 2023 PREPARED BY: K.C. DURIGON	DESIGNED BY: C.F. ANDREWS REVIEWED BY: D.C. SARKAR	
750 N. Greenfield Pkwy, Garner, NC 27529		DATE:	DocuSigned by: 

03-0CT-2023 12-18  
 S:\ITS\AS\W\TS\Sig\as\Sig\Drawings\2024 Metal Pole Std Drawings for LRF\2024 Sig.M5 Std. Connection Fabrication Detail.s-Mast Arm Poles.dgn  
 kcdur1.gcn

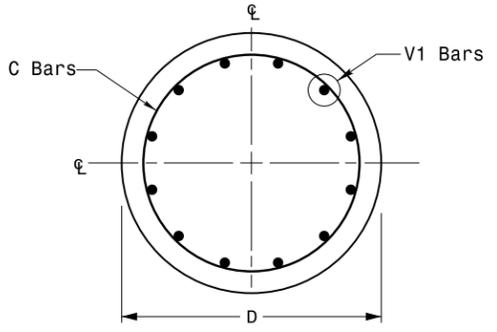
**Fabrication Details – Mast Arm Connection**

09/21/2023  
DATE

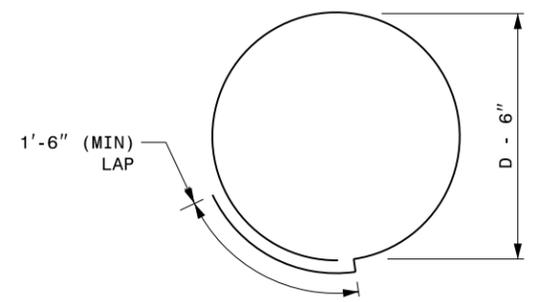




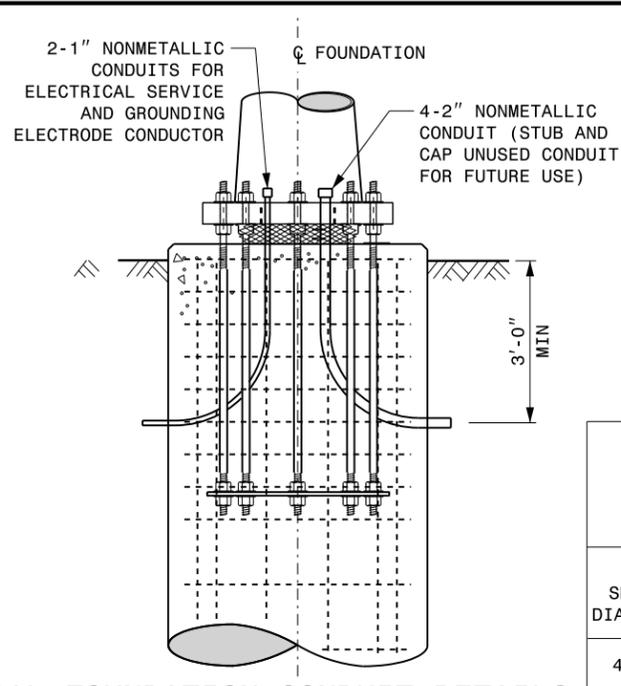
**CONCRETE SHAFT ELEVATION**



**SECTION A-A**



**TYPICAL "C" BAR DETAIL**



**TYPICAL FOUNDATION CONDUIT DETAILS**

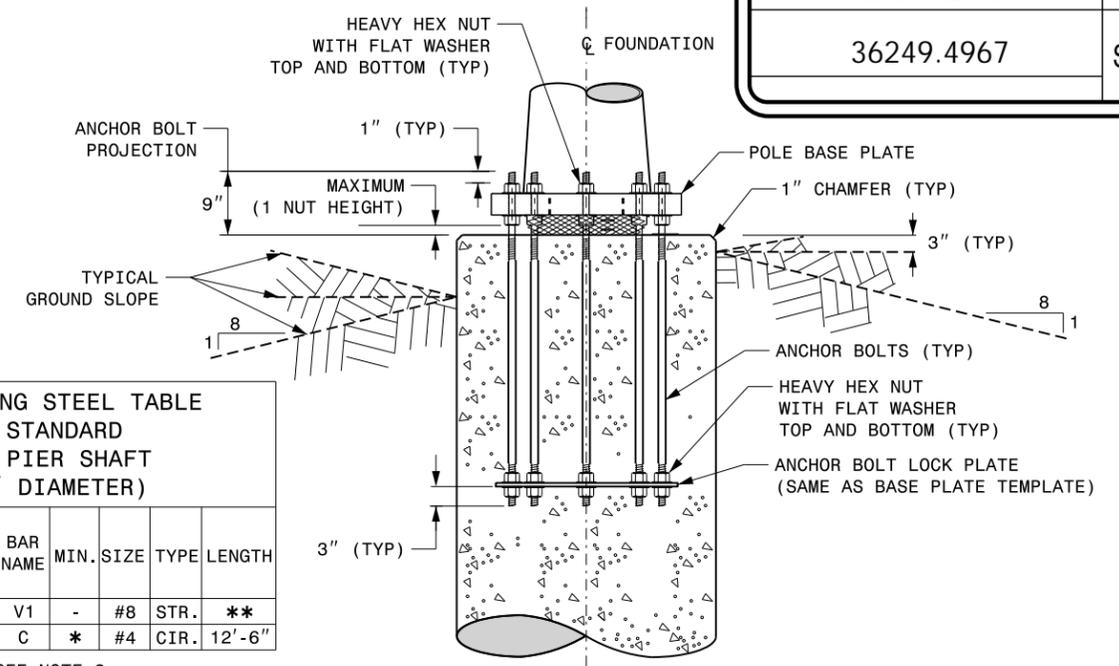
**GENERAL NOTES:**

- IF ACTUAL SUBSURFACE CONDITIONS DIFFER SIGNIFICANTLY FROM BORING DATA, CONTACT THE ENGINEER BEFORE EXCAVATING OR PLACING CONCRETE.
- CIRCULAR TIE REINFORCING RINGS MAY BE VERTICALLY ADJUSTED BY +/-3" AT A DEPTH BETWEEN 2'-0" AND 3'-0" TO FACILITATE THE INSTALLATION OF ELECTRICAL CONDUIT ENTERING IN THE CAGE.
- FOR STANDARD FOUNDATIONS, SEE SHEET SIG. M8 FOR DETAILS. VERTICAL REINFORCING BARS (V1) MAY BE HORIZONTALLY ADJUSTED BY +/-3" TO FACILITATE THE INSTALLATION OF ELECTRICAL CONDUIT ENTERING INTO THE CAGE.
- PROVIDE 2" TO 5" FOUNDATION PROJECTION ABOVE GROUND LEVEL, DEPENDING ON THE GROUND SLOPE.
- UNLESS OTHERWISE SHOWN, FOUNDATION DESIGNS ARE BASED ON NON-SLOPING LEVEL GROUND SURFACES WITH SLOPE RATIOS OF 8:1 (H:V) OR FLATTER. IF ACTUAL GROUND LINE SLOPES ARE STEEPER, CONTACT THE ENGINEER BEFORE EXCAVATING OR PLACING CONCRETE.
- CONSTRUCT FOUNDATIONS IN ACCORDANCE WITH NCDOT STANDARD PROVISIONS SP09 R005- FOUNDATIONS AND ANCHOR ROD ASSEMBLIES FOR METAL POLES. ALL APPLICABLE 2024 NCDOT STANDARD SPECIFICATIONS ARE REFERENCED IN THIS PROVISION. REFER TO THE NCDOT RESOURCES/SPECIFICATIONS PAGE LOCATED ON THE CONNECT NCDOT WEBSITE.  
[https://connect.ncdot.gov/resources/Specifications and Special Provisions.aspx](https://connect.ncdot.gov/resources/Specifications%20and%20Special%20Provisions.aspx)
- USE AIR ENTRAINED AA CONCRETE MIX WITH A COMPRESSION STRENGTH OF f'c=4500 psi (MIN) AFTER 28 DAYS.
- USE ASTM A615 GRADE 60 DEFORMED BARS FOR ALL REINFORCING STEEL. MAINTAIN AT LEAST 3" COVER ON ALL REINFORCEMENT.
- LOCATE IDENTIFICATION TAG ON TOP OF THE FOUNDATION, DIRECTLY ABOVE THE CONDUIT'S ENTRY POINT.
- PROVIDE TWO LAYERS OF 4 MESH GALVANIZED WELDED 23 GAUGE (0.025) 6" WIDE AROUND PIPES UNDER THE BASE PLATE AND SECURE IT WITH TIES IF NECESSARY.
- PREFERRED LOCATION FOR THE I.D. TAG IS AS SHOWN IN DETAIL-A: DIRECTLY ABOVE THE CONDUIT ENTERING THE FOUNDATION.

**REINFORCING STEEL TABLE FOR STANDARD DRILL PIER SHAFT (4'-0" DIAMETER)**

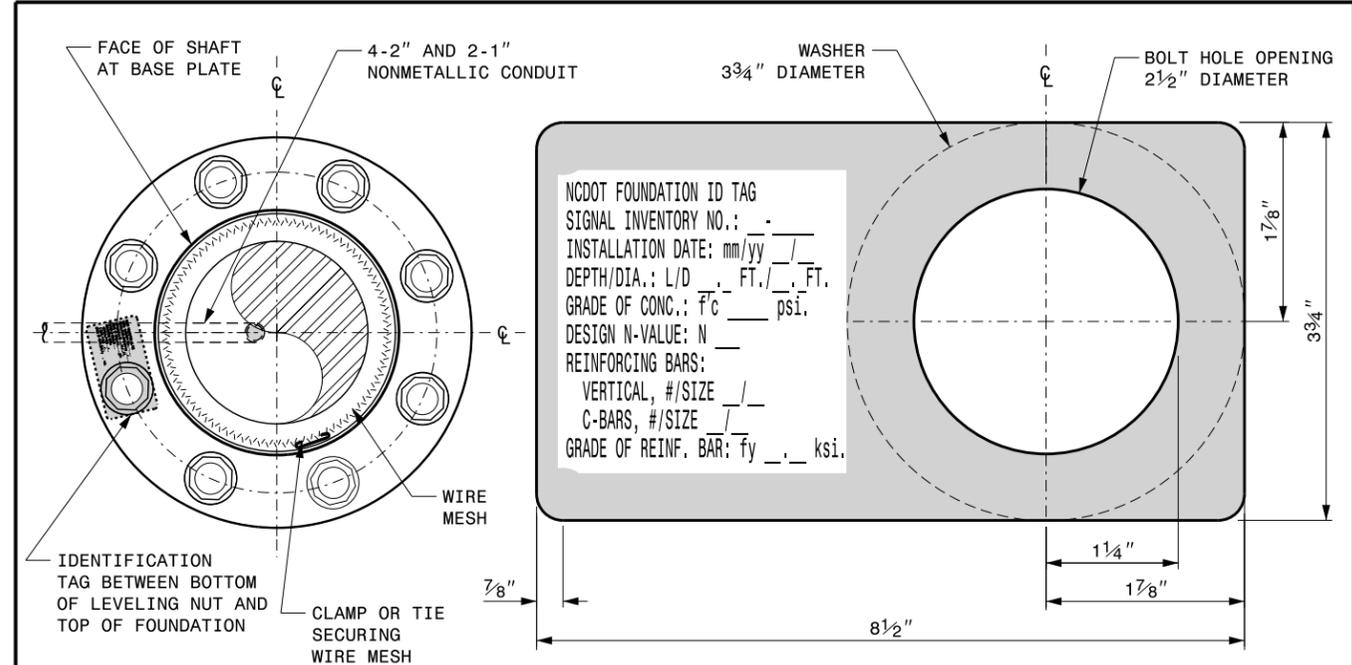
"D" SHAFT DIAMETER	CONCRETE VOLUME (CU. YDS)	BAR NAME	MIN. SIZE	TYPE	LENGTH
4'-0"	.465 X L	V1	-	#8 STR.	**
		C	*	#4 CIR.	12'-6"

\* SEE NOTE 2  
\*\* SEE NOTE 3



**TYPICAL FOUNDATION ANCHOR BOLT DETAILS**

(REINFORCING CAGE NOT SHOWN FOR CLARITY)



**CONCRETE FOUNDATION IDENTIFICATION TAG DETAILS**

D = DIAMETER  
L = LENGTH / DEPTH  
mm = MONTH  
yy = YEAR

**DETAIL-A**

	<p>Construction Details For Foundations</p>		
	<p>PLANNED DATE: SEPTEMBER 2023 DESIGNED BY: K.C. DURIGON</p>	<p>PREPARED BY: K.C. DURIGON REVIEWED BY: D.C. SARKAR</p>	
<p>750 N. Greenfield Pkwy, Garner, NC 27529</p>	<p>SCALE: NA</p>	<p>REVISIONS</p>	<p>DocuSigned by: Kevin Durigon 4B23DC79B3784DA</p>

03-01-2023 12:45 S:\ITS\AS\W\ITS\_Sig\Drawings\2024\_Metal\_Pole\_Sig\Construction\_Details\Sig-M7\_Std\_Construction\_Detail\ss-strain\_Poles.dgn kcdur.1gcn

**Construction Details - Foundations**

# SOIL CONDITION

PROJECT I.D. NO. SHEET NO.

36249.4967 Sig.M8

STANDARD STRAIN POLES						STANDARD FOUNDATIONS 48" Diameter Drilled Pier Length (L) – Feet							Reinforcement			
Case No.	Pole Height (Ft.)	Base Plate BC (In.)	Reactions at the Pole Base			Clay				Sand			Longitudinal		Stirrups	
			Axial (kip)	Shear (kip)	Moment (ft-kip)	Medium N-Value 4-8	Stiff N-Value 9-15	Very Stiff N-Value 16-30	Hard N-Value >30	Loose N-Value 4-10	Medium N-Value 11-30	Dense N-Value >30	Bar Size (#)	Quantity (ea.)	Bar Size (#)	Spacing (in.)
S26L1	26	22	2	9	210	19.5	12.5	9	6.5	15.5	14.5	13	8	12	4	12
S26L2	26	23	2	10	240	19.5	12	9	6.5	15.5	14.5	13	8	12	4	12
S26L3	26	25	2	11	260	20.5	12	10	8	16	15	13	8	12	4	12
S30L1	30	22	2	9	230	19	11	9	7	15.5	14	12.5	8	12	4	12
S30L2	30	23	2	10	270	20	12	10	8	16	14.5	13	8	12	4	12
S30L3	30	25	2	11	290	21	12	10	8	17	15	13.5	8	12	4	12
S30H1	30	25	3	13	355	23	13	11	9	18	16.5	14.5	8	12	4	12
S30H2	30	29	3	15	405	25	14	11	9	19	17.5	15.5	8	14	4	12
S30H3	30	29	3	16	430	26	15	12	9	20	18	16	8	14	4	6
S35L1	35	22	3	8	260	19.5	12	10	8	15.5	14.5	13	8	12	4	12
S35L2	35	23	3	10	300	21	12	10	8	16.5	15	13.5	8	12	4	12
S35L3	35	25	3	10	320	21.5	13	10	8	17	15.5	14	8	12	4	12
S35H1	35	25	3	12	390	23.5	14	11	9	18	17	15	8	14	4	12
S35H2	35	29	4	14	460	26	15	12	9	20	18	16	8	14	4	6
S35H3	35	29	4	16	495	28.5	15	13.5	10	21.5	19	17	8	14	4	6

**GENERAL NOTES:**

1. VALUES SHOWN IN THE "REACTIONS AT THE POLE BASE" COLUMN REPRESENT THE MINIMUM ACCEPTABLE CAPACITY ALLOWED FOR DESIGN USING A COMBINED FORCE RATIO (CFR) OF 1.00.
2. USE CHAIRS AND SPACERS TO MAINTAIN PROPER CLEARANCE.
3. FOR FOUNDATION, ALWAYS USE AIR-ENTRAINED CONCRETE MIX.

**FOUNDATION SELECTION:**

1. PERFORM A STANDARD PENETRATION TEST AT EACH PROPOSED FOUNDATION SITE TO DETERMINE "N" VALUE.
2. SELECT THE APPROPRIATE WIND ZONE FROM M1 DRAWING.
3. SELECT THE SOIL TYPE (CLAY OR SAND) THAT BEST DESCRIBES THE SOIL CHARACTERISTICS.
4. GET THE APPROPRIATE STANDARD POLE CASE NUMBER FROM THE PLANS OR FROM THE ENGINEER.
5. SELECT THE APPROPRIATE COLUMN UNDER "STANDARD FOUNDATIONS" BASED ON SOIL TYPE AND "N" VALUE. SELECT THE APPROPRIATE ROW BASED ON THE POLE LOAD CASE.
6. THE FOUNDATION DEPTH IS THE VALUE SHOWN IN THE "STANDARD FOUNDATIONS" CATEGORY WHERE THE COLUMN AND THE ROW INTERSECT.
7. USE CONSTRUCTION PROCEDURES AND DESIGN METHODS PRESCRIBED BY FHWA-NHI-10-016 MANUAL FOR DRILLED SHAFTS.

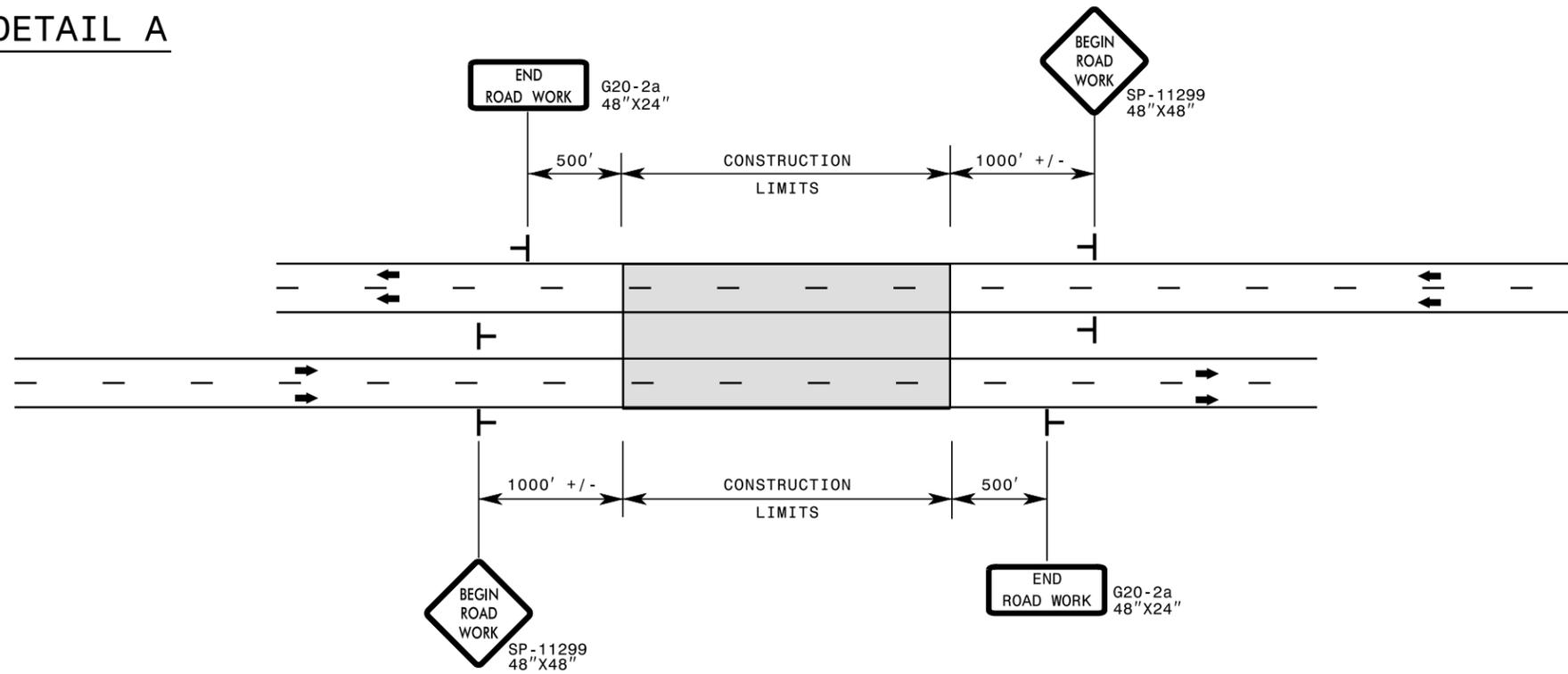
48" DIAMETER FOUNDATION CONCRETE VOLUME (CUBIC YARDS) = (0.465) x DRILLED PIER LENGTH

03-DCT-2023 12-18  
S:\ITS\AS\W\ITS\_Signals\structures\Drawings\2024 Metal Pole Std Drawings for LRFD\2024 Sig.M8 Std. Strain Pole Found.-Saturated Soil Condition.dgn  
Kedur 1000

Standard Strain Pole Foundation – All Soil Conditions

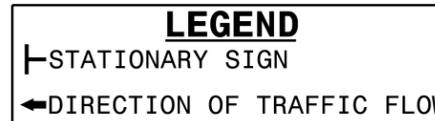
 Prepared in the Offices of: 750 N. Greenfield Pkwy, Garner, NC 27529	<b>Standard Strain Pole Foundation for All Soil Conditions</b>	SEAL 
SCALE: NA NONE	PLAN DATE: SEPTEMBER 2023    DESIGNED BY: K.C. DURIGON PREPARED BY: K.C. DURIGON    REVIEWED BY: D.C. SARKAR	DocuSigned by:  4B23DC79B3784DA
	REVISIONS    INIT.    DATE	09/21/2023 DATE

**DETAIL A**

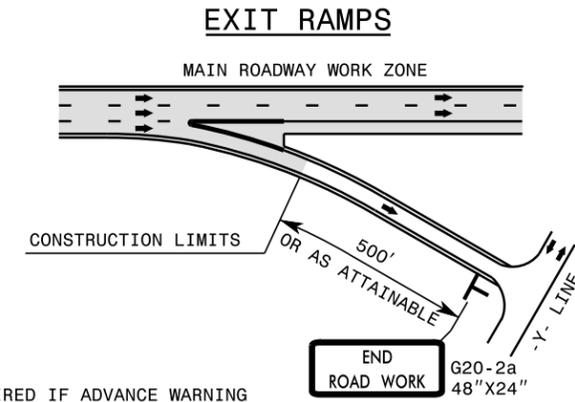


**GENERAL NOTES**

- 1- DO NOT INSTALL ADVANCE WARNING SIGNS MORE THAN 3 DAYS PRIOR TO BEGINNING OF WORK UNLESS COVERED.
- 2- SIGNS SHOWN ARE REQUIRED FOR WORK ZONES THAT WILL REMAIN IN EFFECT LONGER THAN 72 CONSECUTIVE HOURS.
- 3- ALL SIGN SPACING DIMENSIONS ARE APPROXIMATE, FIELD ADJUST AS NECESSARY OR AS DIRECTED.
- 4- ERECT SIGNS PER RSD. 1110.01. PAYMENT FOR WOOD POSTS, 3LB STEEL U-CHANNEL AND SQUARE STEEL TUBING POSTS WITH SIGNS WILL BE MADE ACCORDING TO STANDARD SPECIFICATIONS FOR WORK ZONE SIGNS.
- 5- WHEN NECESSARY, USE SPLICING IN ACCORDANCE WITH RSD. 1110.01.
- 6- DO NOT BACK BRACE SIGN SUPPORTS.
- 7- TWO-WAY UNDIVIDED ADVANCE WARNING SIGN CONFIGURATION MAY BE USED ON URBAN MULTI-LANE FACILITIES WHERE CONDITIONS LIMIT THE USE OF DUAL MOUNTED SIGNS AS DETERMINED BY THE ENGINEER.

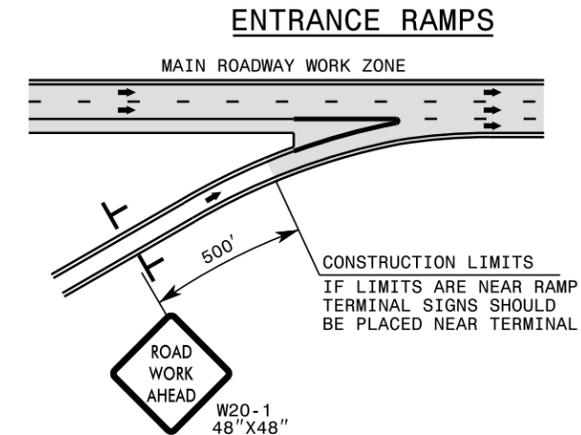


**DETAIL B**

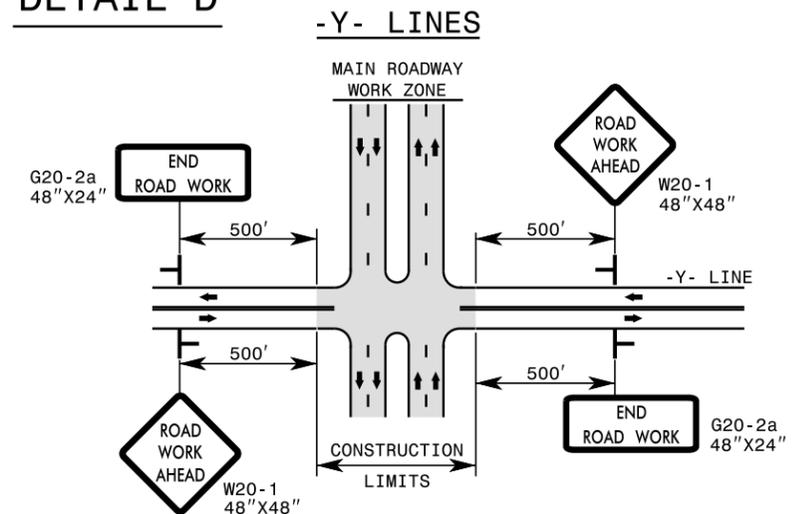


NOTE: SIGN NOT REQUIRED IF ADVANCE WARNING SIGNS HAVE BEEN PLACED ALONG -Y- LINE THAT RAMP INTERSECTS. IF CONSTRUCTION LIMITS ARE AT END OF RAMP, PLACE SIGN AT END OF RAMP.

**DETAIL C**



**DETAIL D**



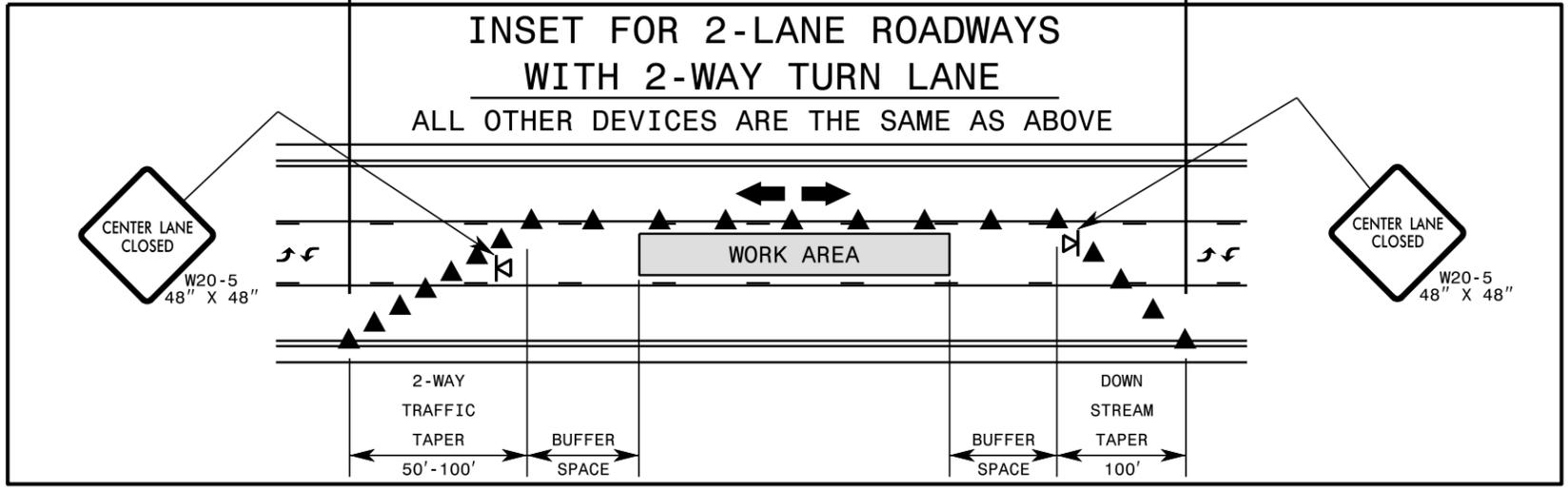
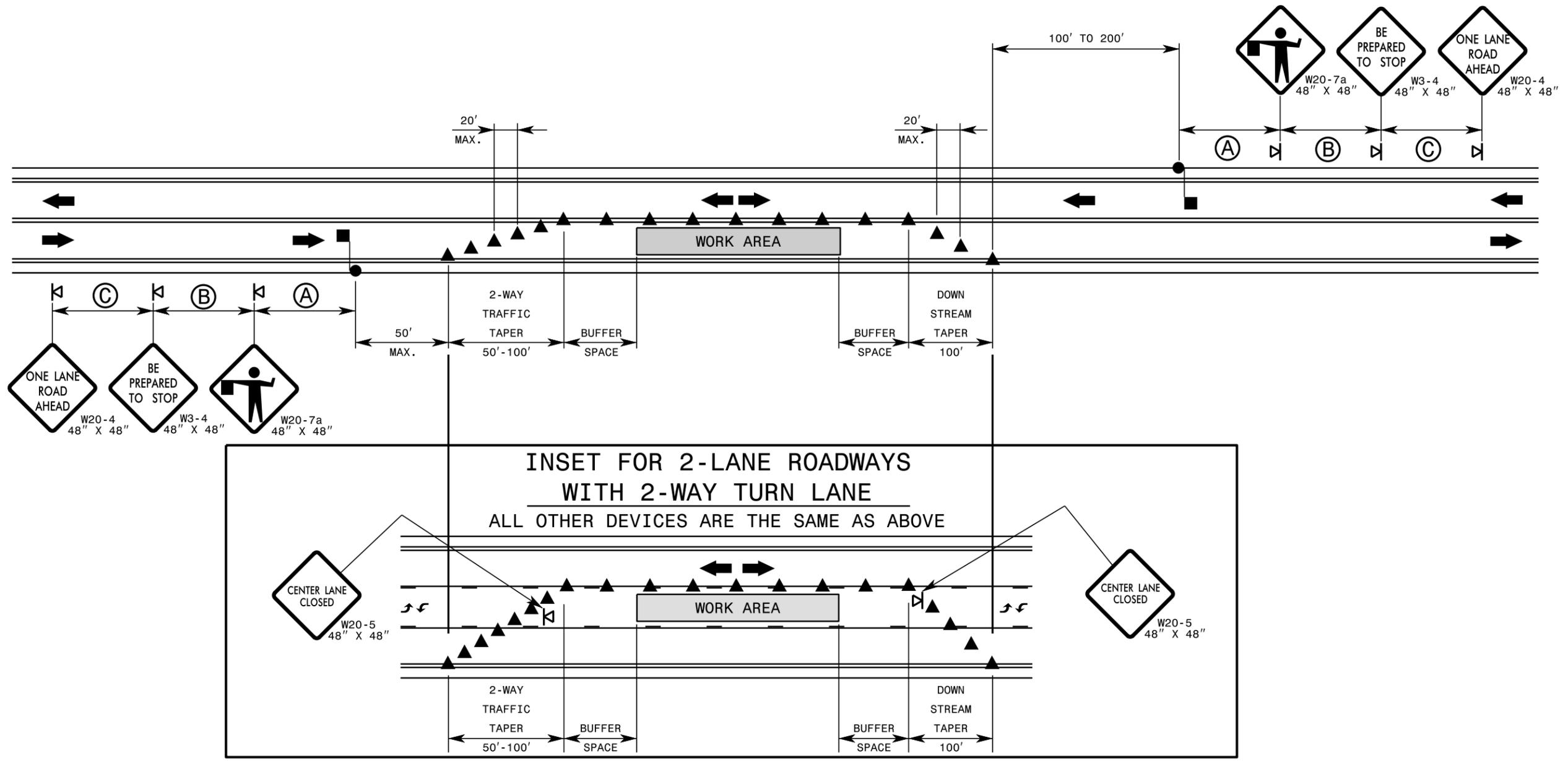
DUAL MOUNT SIGNS ON DIVIDED HIGHWAYS AND INCREASE SIGN SPACING TO 1000'+/-.

NO STATIONARY -Y- LINE ADVANCE WARNING SIGNAGE IS REQUIRED UNLESS THERE IS MORE THAN 1000' OF CONSTRUCTION ALONG THE -Y- LINE.

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ROADWAY STANDARD DRAWING FOR  
**WORK ZONE ADVANCE WARNING SIGNS FOR FACILITIES < 55 MPH**



**GENERAL NOTES FOR FLAGGING OPERATIONS**

- REFER TO RSD. 1101.11, SHEETS 1 & 4, FOR "L" DISTANCE AND SIGN SPACING.
- INSTALL LANE CLOSURES WITH THE TRAFFIC FLOW, BEGINNING WITH DEVICES ON THE UPSTREAM SIDE OF TRAFFIC.
- REMOVE LANE CLOSURES AGAINST THE TRAFFIC FLOW, BEGINNING WITH DEVICES ON THE DOWNSTREAM SIDE OF TRAFFIC.
- FOR POSTED SPEED LIMITS BELOW 45 MPH, CHANNELIZING DEVICE SPACING SHALL BE 20 FEET IN THE TAPERS AND THE SHIFTS AND 40 FEET IN THE TANGENTS. FOR POSTED SPEED LIMITS GREATER THAN OR EQUAL TO 45 MPH, CHANNELIZING DEVICE SPACING SHALL BE 40 FEET IN THE TAPERS AND THE SHIFTS AND 80 FEET IN THE TANGENTS.
- EXTEND LANE CLOSURES AT THE BUFFER SPACE SUCH THAT STOPPING SIGHT DISTANCE IS PROVIDED TO THE FLAGGER (REFER TO RSD. 1101.11, SHEET 2).
- DO NOT STOP ALL DIRECTIONS OF TRAFFIC FOR MORE THAN 5 MINUTES AT A TIME.
- DRUMS OR SKINNY DRUMS MAY BE USED IN LIEU OF CONES. REFER TO RSD. 1180.01 FOR SKINNY DRUM REQUIREMENTS.
- USE FLAGGERS TO CONTROL TRAFFIC AT INTERSECTIONS AFFECTED BY THE LANE CLOSURE. SUPPLEMENT FLAGGERS LOCATED AT INTERSECTIONS WITH FLAGGER AHEAD SIGNS (W20-7A) PLACED APPROXIMATELY 250 FT. IN ADVANCE OF THE FLAGGER. FOR SIGNALIZED INTERSECTIONS PLACE SIGNALS IN THE FLASH MODE AND USE LAW ENFORCEMENT.
- REFER TO THE CURRENT MUTCD FOR FLAGGER CONTROL, REQUIREMENTS, AND PROCEDURES.
- DO NOT EXCEED A 1 MILE LANE CLOSURE LENGTH UNLESS OTHERWISE SHOWN IN THE TMP OR AS DIRECTED BY THE ENGINEER.

- IF VEHICLE QUEUES WILL REACH WITHIN 100' OF EITHER SIDE OF ACTIVE RAILROAD TRACKS, PROVIDE A UNIFORMED LAW ENFORCEMENT OFFICER OR FLAGGER TO PREVENT VEHICLES FROM STOPPING WITHIN THE GRADE CROSSING. PROVIDE OFFICER OR FLAGGER EVEN IF AUTOMATIC WARNING MEASURES ALREADY EXIST.
- THIS DETAIL IS APPLICABLE FOR OPERATIONS IN PLACE FOR 72 HOURS OR LESS. FOR LONGER DURATION OPERATIONS, SIGNING AND PAVEMENT MARKINGS MAY NEED TO BE ALTERED.

**GENERAL NOTES FOR PILOT CAR OPERATIONS**

- USE PILOT CARS WHEN DIRECTED BY THE ENGINEER.
- IF ROADWAY WIDTH IS LESS THAN 22 FEET (EOP TO EOP), CONES MAY NOT BE REQUIRED ALONG WORK AREA, AND AT THE DISCRETION OF THE ENGINEER, CONES MAY BE OMITTED ALONG THE WORK AREA IF USING A PILOT CAR.
- CONES ARE ALWAYS REQUIRED IN THE UPSTREAM AND DOWNSTREAM TAPERS.
- MOUNT SIGN G20-4 "PILOT CAR FOLLOW ME" AT A CONSPICUOUS POSITION ON THE REAR OF THE PILOT VEHICLE.
- UNLESS APPROVED BY THE ENGINEER, DO NOT INSTALL MORE THAN ONE (1) MILE OF LANE CLOSURE, MEASURED FROM THE BEGINNING OF THE MERGE TAPER TO THE END OF THE LANE CLOSURE.
- ADVISE RESIDENTS AND BUSINESSES WITHIN THE LANE CLOSURE LIMITS ABOUT METHODS OF SAFE EGRESS AND INGRESS FROM DRIVEWAYS DURING FLAGGING AND PILOT CAR OPERATIONS.

**LEGEND**

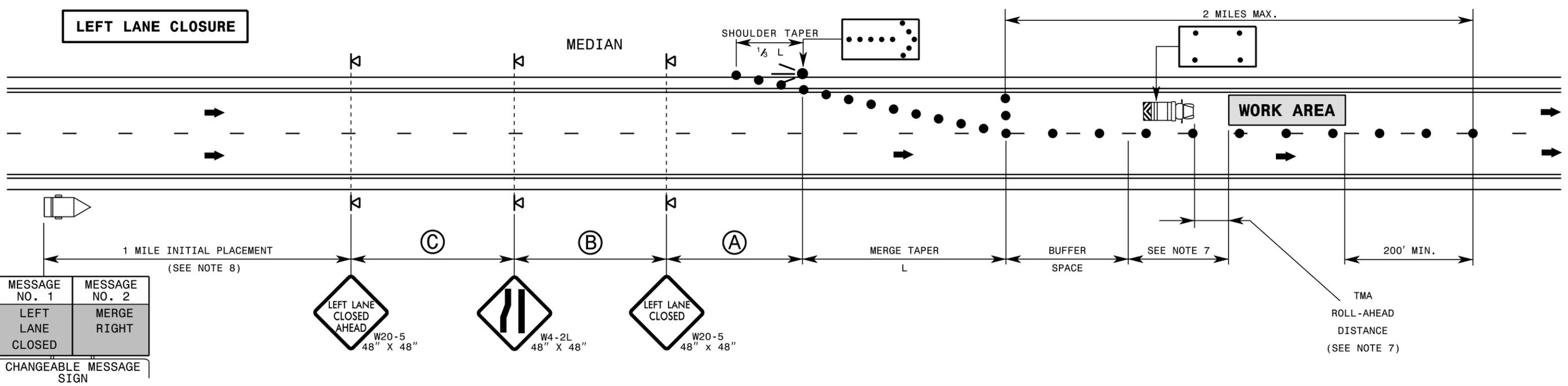
- FLAGGER
- CONE
- PORTABLE SIGN
- DIRECTION OF TRAFFIC FLOW



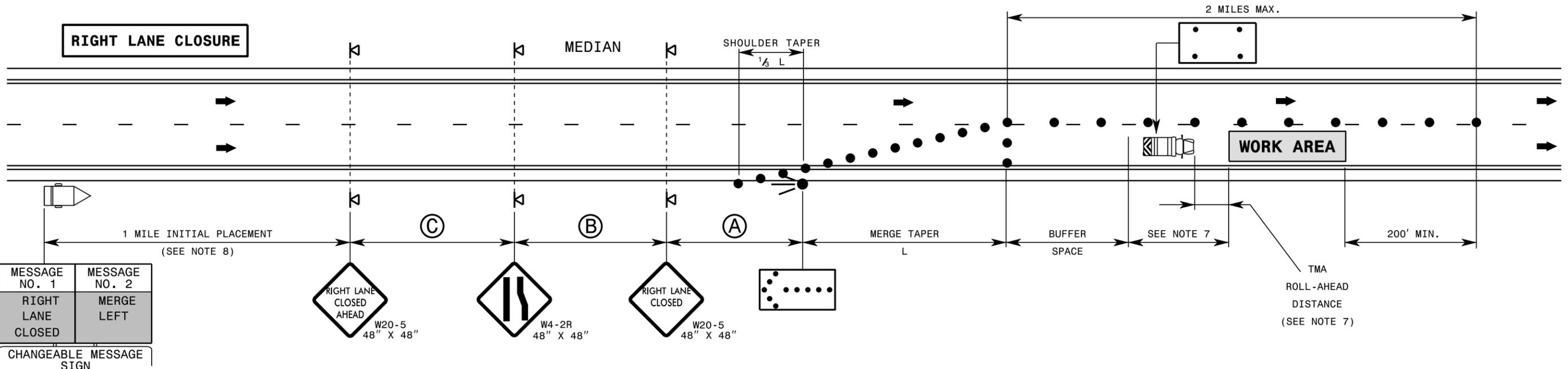
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ROADWAY STANDARD DRAWING FOR  
**TEMPORARY LANE CLOSURES**  
DIVIDED MULTI-LANE ROADWAY-1 LANE CLOSED  
(FOR ROADWAYS < 60 MPH)

**LEFT LANE CLOSURE**



**RIGHT LANE CLOSURE**



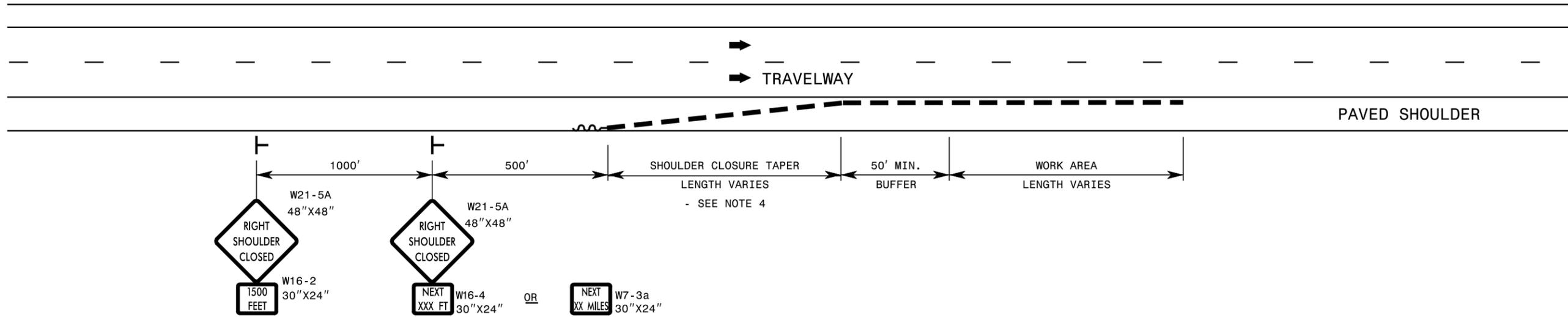
**GENERAL NOTES**

- IF NECESSARY USE THIS RSD. FOR ONE-WAY CITY TYPE STREETS WHERE SIGNS MAY BE MOUNTED ON BOTH SIDES OF THE ROADWAY.
- PLACE FLASHING ARROW BOARDS (FAB) ON THE SHOULDER (PAVED OR UNPAVED). PLACE FAB WITHIN THE TAPER IF SHOULDERS DO NOT EXIST. MEET THE REQUIREMENTS FOR STOPPING SIGHT DISTANCE AT THE FAB LOCATION. IF NEEDED, EXTEND LANE CLOSURES AT THE BUFFER SPACE, SUCH THAT STOPPING SIGHT DISTANCE TO THE FAB IS MET (SEE RSD. 1101.11, SHEET 2).
- PLACE DRUMS IN TAPERS AT THE MAXIMUM SPACING EQUAL IN FEET TO THE POSTED SPEED LIMIT. PLACE DRUMS ALONG THE WORK AREA AT THE MAXIMUM SPACING EQUAL IN FEET TO 2 TIMES THE POSTED SPEED LIMIT.
- REFER TO RSD. 1101.11, SHEETS 1, 2, & 4, FOR "L" DISTANCE, BUFFER SPACE, AND SIGN SPACING.
- REFER TO RSD. 1101.02, SHEETS 10 & 11, FOR TREATMENT OF LANE CLOSURES THRU INTERCHANGES.
- INSTALL LANE CLOSURES WITH THE TRAFFIC FLOW, BEGINNING WITH DEVICES ON THE UPSTREAM SIDE OF TRAFFIC. REMOVE LANE CLOSURES AGAINST THE TRAFFIC FLOW, BEGINNING WITH DEVICES ON THE DOWNSTREAM SIDE OF TRAFFIC.
- POSITION THE TMAs TO MAINTAIN A ROLL-AHEAD DISTANCE AS RECOMMENDED BY RSD 1165.01 AND CONTINUOUSLY ADVANCE TMAs AS WORK PROGRESSES. TMA IS NOT REQUIRED WHEN POSTED SPEED LIMIT IS BELOW 45 MPH.
- PLACE CHANGEABLE MESSAGE SIGN (CMS) ON THE OUTSIDE OF THE TRAVELWAY, UNLESS DIRECTED OTHERWISE BY THE ENGINEER. PLACE CMS APPROXIMATELY 1 MILE IN ADVANCE OF THE FIRST W20-5 SIGNS. IF TRAFFIC BACKS UP TO WHERE THE CMS IS INITIALLY PLACED, RELOCATE CMS 1/2 MILE IN ADVANCE OF BACKUP. MONITOR TRAFFIC, AND WHEN NECESSARY, MOVE CMS APPROXIMATELY 1/2 MILE IN ADVANCE OF BACKUP. CMS IS NOT REQUIRED WHEN POSTED SPEED LIMIT IS BELOW 45 MPH.
- DO NOT EXCEED A 2 MILE LANE CLOSURE LENGTH UNLESS OTHERWISE SHOWN IN THE TMP OR AS DIRECTED BY THE ENGINEER.
- THIS DETAIL IS APPLICABLE FOR OPERATIONS IN PLACE FOR 72 HOURS OR LESS. FOR LONGER DURATION OPERATIONS, SIGNING AND PAVEMENT MARKINGS MAY NEED TO BE ALTERED.

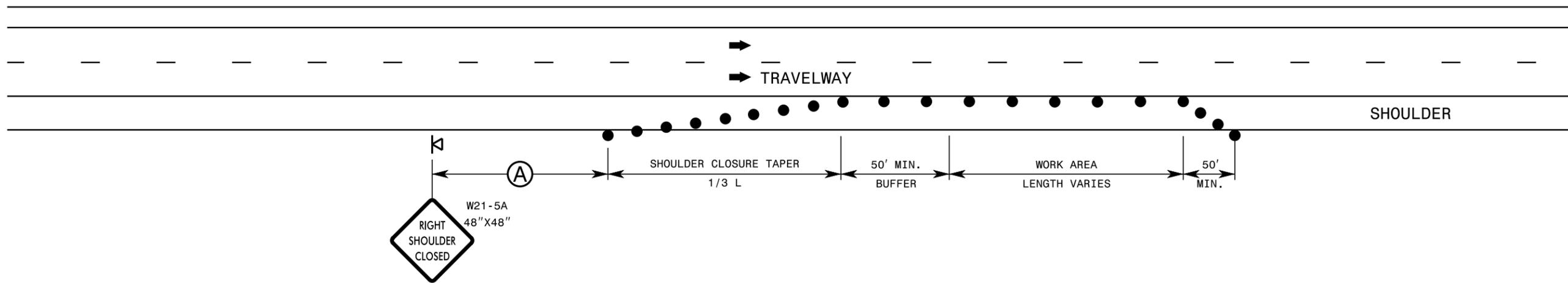
**LEGEND**

- FLASHING ARROW BOARD
- FLASHING ARROW BOARD (96"X48" MIN.), "CAUTION MODE"
- TRUCK MOUNTED ATTENUATOR(TMA)
- CHANGEABLE MESSAGE SIGN (CMS)
- DRUM
- PORTABLE SIGN
- DIRECTION OF TRAFFIC FLOW

## SHOULDER CLOSURE ON DIVIDED FACILITIES USING BARRIER



## SHOULDER CLOSURE ON DIVIDED FACILITIES USING CHANNELIZING DEVICES



### GENERAL NOTES

- 1- PLACE SHOULDER CLOSURE SIGNS ON THE SAME SIDE AS THE SHOULDER THAT IS CLOSED.
- 2- PLACE DRUMS IN THE SHOULDER TAPER AT THE MAXIMUM SPACING EQUAL IN FEET TO THE POSTED SPEED LIMIT. THE MAXIMUM SPACING OF DRUMS ALONG THE WORK AREA IS EQUAL IN FEET TO 2 TIMES THE POSTED SPEED LIMIT.
- 3- USE STATIONARY SIGNS FOR OPERATIONS IN EFFECT LONGER THAN 72 HOURS.
- 4- REFER TO RSD. 1101.11, SHEETS 1, 3 & 4, FOR "L" DISTANCE, BARRIER FLARE RATES, AND SIGN SPACING.

### LEGEND

- DRUM
- T STATIONARY SIGN
- ⊠ PORTABLE SIGN
- - PORTABLE CONCRETE BARRIER
- ➔ DIRECTION OF TRAFFIC FLOW
- ~ TEMPORARY CRASH CUSHION

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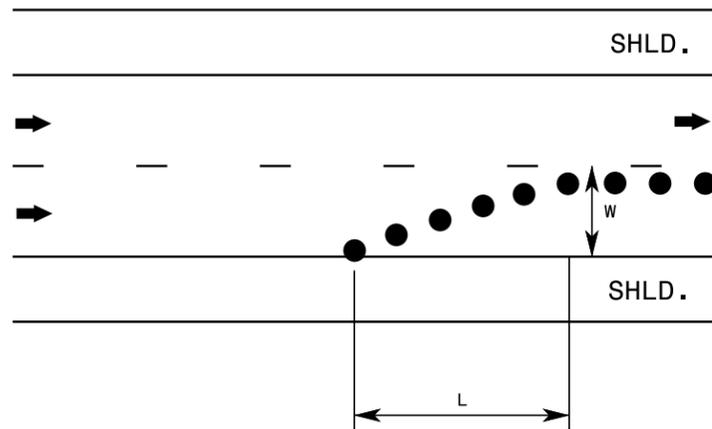
ROADWAY STANDARD DRAWING FOR

## TEMPORARY SHOULDER CLOSURES

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SHEET 1 OF 2  
**1101.04**

**EXAMPLE OF "L" & "W" DESIGNATIONS**



**TAPER LENGTH CRITERIA FOR CHANNELIZING DEVICES IN WORK ZONES**

**TYPES OF TAPERS**

**TAPER LENGTH**

**UPSTREAM TAPER**

- MERGING TAPER.....L MINIMUM
- SHIFTING TAPER.....½ L MINIMUM \*
- SHOULDER TAPER.....¼ L MINIMUM
- TWO-WAY TRAFFIC TAPER.....50 - 100 FEET MAXIMUM

**DOWNSTREAM TAPER.....100 FEET MAXIMUM**

DO NOT INTRODUCE A MERGING OR SHIFTING TAPER WITHIN A CURVE OF THE ROADWAY

\*USE FULL L FOR CONTROLLED-ACCESS FACILITIES UNLESS RESTRICTED BY FIELD CONDITIONS AS DETERMINED BY THE TRAFFIC CONTROL SUPERVISOR OR THE ENGINEER.

QUICK REFERENCE - "L" DISTANCE TABLE												
MINIMUM LONGITUDINAL DISTANCE "L" (FEET) (ROUNDED VALUES)												
POSTED SPEED "S" (MPH)	LATERAL WIDTH "W" (FEET)											
	1	2	3	4	5	6	7	8	9	10	11	12
20	10	15	20	30	35	40	50	55	60	70	75	80
25	15	25	35	45	55	65	75	85	95	105	115	125
30	15	30	45	60	75	90	105	120	135	150	165	180
35	25	45	65	85	105	125	145	165	185	205	225	245
40	30	55	80	110	135	160	190	215	240	270	295	320
45	45	90	135	180	225	270	315	360	405	450	495	540
50	50	100	150	200	250	300	350	400	450	500	550	600
55	55	110	165	220	275	330	385	440	495	550	605	660
60	60	120	180	240	300	360	420	480	540	600	660	720
65	65	130	195	260	325	390	455	520	585	650	715	780
70	70	140	210	280	350	420	490	560	630	700	770	840

**GENERAL NOTES**

- TABLE FOR "L" DISTANCE IS BASED ON CHANNELIZATION TAPER FORMULA FROM THE MUTCD. WHERE:

**SPEED LIMIT**

**FORMULA**

40 MPH OR LESS

$$L_{MIN} = \frac{W \times S^2}{60}$$

45 MPH OR GREATER

$$L_{MIN} = W \times S$$

L = MINIMUM TAPER LENGTH IN FEET (LONGITUDINAL DISTANCE)  
 W = WIDTH OF OFFSET IN FEET (LATERAL DISTANCE)  
 S = EXISTING POSTED SPEED LIMIT PRIOR TO THE IMPLEMENTATION OF A WORK ZONE SPEED LIMIT REDUCTION

- "L" DISTANCE IS FOR APPLICATION WITH CHANNELIZING DEVICE AND PAVEMENT MARKING TAPERS AND TRANSITIONS. CHANNELIZING DEVICES INCLUDE DRUMS, CONES, TUBULAR MARKERS, BARRICADES, RAISED ASPHALT ISLANDS, AND VERTICAL PANELS.

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ROADWAY STANDARD DRAWING FOR  
**TRAFFIC CONTROL DESIGN TABLES**  
 "L" DISTANCE AND CHANNELIZING  
 DEVICE TAPER CRITERIA

DESIGN SPEED (MPH)	MINIMUM SIGHT DISTANCE	MINIMUM LONGITUDINAL BUFFER SPACE (FEET)
	STOPPING SIGHT DISTANCE (FEET)	
30	200	85
35	250	120
40	305	155
45	360	195
50	425	240
55	495	290
60	570	345
65	645	405
70	730	470
75	820	540
80	910	615

**GENERAL NOTES**

- 1- TABLES ARE BASED ON THE AASHTO GREEN BOOK "A POLICY ON GEOMETRIC DESIGN OF HIGHWAYS AND STREETS" AND THE "MANUAL ON UNIFORM TRAFFIC CONTROL DEVICES". MINIMUM SIGHT DISTANCE VALUES ARE FOR PASSENGER CAR VEHICLES ON WET AND LEVEL ROADWAYS. CONSULT THE AASHTO GREEN BOOK TO MAKE FINAL DETERMINATION OF STOPPING SIGHT DISTANCE REQUIREMENTS.
- 2- BUFFER SPACE TABLE IS BASED ON THE BRAKING DISTANCE PORTION OF STOPPING SIGHT DISTANCE FOR WET AND LEVEL PAVEMENTS.
- 3- USE OF STOPPING SIGHT DISTANCE IN TRAFFIC CONTROL PLAN APPLICATIONS INCLUDES PROVIDING SIGHT DISTANCE FOR TRAFFIC APPROACHING A LANE CLOSURE. PROVIDE 2-LANE, 2-WAY ROADWAYS STOPPING SIGHT DISTANCE TO THE FLAGGER. FOR LANE CLOSURES ON MULTILANE ROADWAYS PROVIDE STOPPING SIGHT DISTANCE TO THE BEGINNING OF THE LANE CLOSURE MERGE TAPER, OR FLASHING ARROW BOARD. EXTEND LANE CLOSURES AT THE BUFFER SPACE SUCH THAT STOPPING SIGHT DISTANCE IS PROVIDED.

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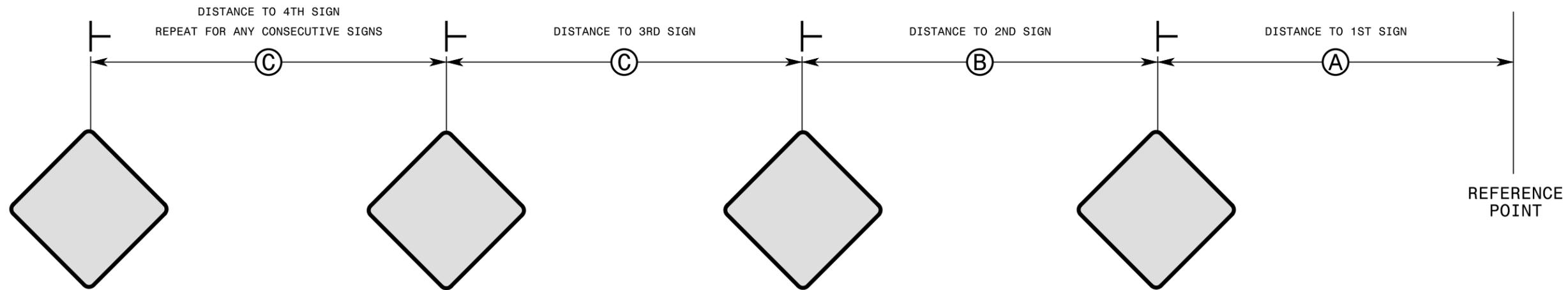
ROADWAY STANDARD DRAWING FOR

**TRAFFIC CONTROL DESIGN TABLES**

BUFFER SPACE & SIGHT DISTANCE

ADVANCE WARNING SIGN SPACING CHART			
POSTED SPEED LIMIT (MPH)	RECOMMENDED DISTANCE BETWEEN SIGNS (FEET)±		
	(A)	(B)	(C)
≤ 35	200	200	200
40-50	350	350	350
55	500	500	500
CONTROLLED ACCESS ROADS (≥ 55)	1000	1500	2700

**STATIONARY OR PORTABLE SIGNS**



**GENERAL NOTES**

- 1- REFER TO THE LATEST EDITION OF THE MUTCD.
- 2- USE THIS STANDARD DRAWING IN CONJUNCTION WITH OTHER TRAFFIC CONTROL ROADWAY STANDARD DRAWINGS WHERE SIGN SPACING DISTANCES A, B, C, ARE SPECIFIED.
- 3- APPLY THE ADVANCE WARNING SIGN SPACING CHART WHERE A SERIES OF 2 OR MORE SIGNS ARE USED. ALL SIGN SPACING DIMENSIONS ARE APPROXIMATE. FIELD ADJUST AS VARIOUS CONDITIONS OCCUR, SUCH AS LIMITED SIGHT DISTANCE, OBSTRUCTION INTERFERENCE, ETC.